

Clerk of the House of Representatives  
Legislative Resource Center  
B-106 Cannon Building  
Washington, DC 20515

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, DC 20510

SECRETARY  
04 FEB 17

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <b>Bergner, Bockorny, Castagnetti, Hawkins &amp; Brain</b>			
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address <b>1101 16th Street, N.W.</b> Suite <b>500</b> City <b>Washington</b> State/Zip (or Country) <b>DC 20036</b> <b>USA</b>			
3. Principal Place of Business (if different from line 2) City _____ State/Zip (or Country) _____			
4. Contact Name <b>David Bockorny</b> Telephone _____ E-mail (optional) _____			5. Senate ID # <b>6006-912</b>
7. Client Name <input type="checkbox"/> Self <b>Chicago Board Options Exchange</b>			6. House ID # <b>31317071</b>

**TYPE OF REPORT** 8. Year 2003 Midyear (January 1-June 30)  OR Year End (July 1-Dec

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  >> Termination Date \_\_\_\_\_

11. No Lobby

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
<p><b>INCOME</b> relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> &gt;&gt; \$ <u>\$60,000.00</u> Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p><b>EXPENSES</b> relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> &gt;&gt; \$ _____ Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description of</p> <p><input type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definit</p> <p><input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 603: the Internal Revenue Code</p> <p><input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162: Internal Revenue Code</p>

Signature \_\_\_\_\_ Date **2-4-2004**

Printed Name and Title **David Bockorny - Chairman** \_\_\_\_\_ Pa

Registrant Name: Bergner, Bockorny, Castagnetti, Hawkins & Brain

Client Name: Chicago Board Options Exchange

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code AGR (one per page)

16. Specific Lobbying issues

**All issues, legislation, and regulations governing the options market.**

**No specific legislation**

17. House(s) of Congress and Federal agencies contacted

Check if None

**House of Representatives**

**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Bergner, Jeffrey</b>	
<b>Bockorny, David</b>	
<b>Brain, Charles</b>	
<b>Castagnetti, David</b>	
<b>Hawkins, James</b>	
<b>Hutton, Michael</b>	<b>Chief of Staff</b>
<b>Moll, Daniel R.</b>	<b>Deputy Staff Director</b>

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature \_\_\_\_\_ Date 2-4-2004

Printed Name and Title David Bockorny - Chairman Pa

Registrant Name: Bergner, Bockorny, Castagnetti, Hawkins & Brain

Client Name: Chicago Board Options Exchange

Item	Description	Data
18a	Lobbyist Name	Reese, Brenda
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Schulman, Melissa
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Shearer, P. Scott
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Styles, Scott
18b	Covered Official Position	
18c	New Lobbyist	No



Registrant Name: Bergner, Bockorny, Castagnetti, Hawkins & Brain

Client Name: Chicago Board Options Exchange

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15. General issue area code FIN (one per page)

16. Specific Lobbying issues

**All issues, legislation, and regulations governing the options market.**

**No specific legislation**

17. House(s) of Congress and Federal agencies contacted

Check if None

**House of Representatives**

**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Bockorny, David</b>	
<b>Brain, Charles</b>	
<b>Castagnetti, David</b>	
<b>Hawkins, James</b>	
<b>Hutton, Michael</b>	<b>Chief of Staff</b>
<b>Moll, Daniel R.</b>	<b>Deputy Staff Director</b>
<b>Reese, Brenda</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature \_\_\_\_\_ Date 2-4-2004

Printed Name and Title David Bockorny - Chairman Page

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18c	New Lobbyist	No
18a	Lobbyist Name	Shearer, P. Scott
18b	Covered Official Position	
18c	New Lobbyist	No
18a	Lobbyist Name	Styles, Scott
18b	Covered Official Position	
18c	New Lobbyist	No



Registrant Name: Bergner, Bockorny, Castagnetti, Hawkins & Brain

Client Name: Chicago Board Options Exchange

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

- 15. General issue area code TAX (one per page)
- 16. Specific Lobbying issues  
**All issues, legislation, and regulations governing the options market.**  
**No specific legislation**


- 17. House(s) of Congress and Federal agencies contacted  Check if None  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Bergner, Jeffrey</b>	
<b>Bockorny, David</b>	
<b>Brain, Charles</b>	
<b>Castagnetti, David</b>	
<b>Hawkins, James</b>	
<b>Hutton, Michael</b>	<b>Chief of Staff</b>
<b>Moll, Daniel R.</b>	<b>Deputy Staff Director</b>

- 19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None



Signature  \_\_\_\_\_ Date 4-4-2004

Printed Name and Title **David Bockorny - Chairman** \_\_\_\_\_ Page

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