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SECRETARY OF THE SENATE

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H. D.

**LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Policy & Advocacy Associates			
2. Address <input type="checkbox"/> Check if different than previously reported 1800 Diagonal Road, Suite 600, Alexandria, VA 22314			
3. Principal Place of Business (if different from line 2) City: same State/Zip (or Country)			
4. Contact Name Karen Phillips	Telephone (703) 684-4417	E-mail (optional) kphillip@interofc.com	5. Senate ID # 48920-12
7. Client Name <input type="checkbox"/> Self Association of American Railroads			6. House ID # 34551000

**TYPE OF REPORT** 8. Year 1999 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇒ Termination Date \_\_\_\_\_ 11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
<p><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ 40,000 income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p>

Signature: Karen Borlaug Phillips

Printed Name and Title: Karen Borlaug Phillips, President

Registrant Name Policy & Advocacy Associates Client Name Association of American Railroads

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code RRR (one per page)

16. Specific lobbying issues

- S. 98, the Surface Transportation Board Reauthorization Act of 1999
- S. 621, the Railroad Competition and Service Improvement Act of 1999
- S. 747, the Surface Transportation Board Reauthorization and Improvement Act of 1999
- H.R. 2084, FY 2000 Department of Transportation Appropriations
- S. 1143, FY 2000 Department of Transportation Appropriations
- S. 820, Transportation Tax Equity and Fairness Act
- H.R. 1001, Transportation Tax Equity and Fairness Act
- H.R. 2060, Railway Safety Funding and Equity Act of 1999

17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. House of Representatives  
 United States Senate  
 Surface Transportation Board  
 Railroad Retirement Board

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Karen Borlaug Phillips	n/a	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Karen Borlaug Phillips Date 8/2/99

Printed Name and Title Karen Borlaug Phillips, President

Registrant Name Policy & Advocacy Associates Client Name Association of American Railroads

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific lobbying issues

Railroad Retirement reform (no legislation)

17. House(s) of Congress and Federal agencies contacted  Check if None

U.S. House of Representatives  
United States Senate  
Railroad Retirement Board

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Karen Borlaug Phillips	n/a	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Karen Borlaug Phillips Date 8/2/99  
Printed Name and Title Karen Borlaug Phillips, President

Registrant Name Policy & Advocacy Associates Client Name Association of American Railroads

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

H.R. 1001, Transportation Tax Equity and Fairness Act  
S. 820, Transportation Tax Equity and Fairness Act  
H.R. 2060, Railway Safety Funding and Equity Act of 1999

17. House(s) of Congress and Federal agencies contacted  Check if None  
U.S. House of Representatives  
United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Karen Borlaug Phillips</u>	<u>n/a</u>	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Karen Borlaug Phillips Date 8/2/99  
Printed Name and Title Karen Borlaug Phillips, President

Registrant Name Policy & Advocacy Client Name Association of American Railroads Associates

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TRA (one per page)

16. Specific lobbying issues

- S. 98, the Surface Transportation Board Reauthorization Act of 1999
- S. 621, the Railroad Competition and Service Improvement Act of 1999
- S. 747, the Surface Transportation Board Reauthorization and Improvement Act of 1999
- H.R. 2084,, FY 2000 Department of Transportation Appropriations
- S. 1143, FY 2000 Department of Transportation Appropriations
- S. 820, Transportation Tax Equity and Fairness Act
- H.R. 1001, Transportation Tax Equity and Fairness Act
- H.R. 2060, Railway Safety Funding and Equity Act of 1999

17. House(s) of Congress and Federal Agencies contacted  Check if None  
U.S. House of Representatives  
United States Senate  
Surface Transportation Board

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Karen Borlaug Phillips</u>	<u>n/a</u>	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Karen Borlaug Phillips Date 8/2/99  
Printed Name and Title Karen Borlaug Phillips, President