

Clerk of the House of Representatives
Legislative Resource Center
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Washington, DC 20515

Secretary of the Senate
Office of Public Records
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Washington, DC 20510

SECRETARY OF THE SENATE

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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name Clark & Weinstock			
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address 1775 I Street NW, Ste 700 City Washington, State/Zip (or Country) DC 20006			
3. Principal Place of Business (if different from line 2) City New York, State/Zip (or Country) NY 10017			
4. Contact Name Lisa Simpson	Telephone 202-261-4025	E-mail (optional) lisa@cwdc.com	5. Senate ID #
7. Client Name <input type="checkbox"/> Self The Investment Company Institute			6. House ID # 316-98074

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) **OR** Year End (July 1-Dec

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report >> Termination Date 5/31/01 11. No Lobbyi

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> >> \$ <u>\$120,000.00</u> Income (nearest \$20,000)	EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> >> \$ _____ Expenses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING METHOD. Check box to indicate reporting method. See instructions for description of method. <input type="checkbox"/> Method A. Reporting amounts using LDA definition <input type="checkbox"/> Method B. Reporting amounts under section 6033(c) of the Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature _____ Date 8/9/01



Registrant Name: Clark & Weinstock

Client Name: The Investment Company Institute

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific Lobbying issues


H.R. 1836, Economic Growth and Tax Relief Reconciliation Act of 2001, Title V1, IRA and pension provisions that increase contributions limits to 401(K) plans, IRAs, including "catch-up" contributions for individuals 5

17. House(s) of Congress and Federal agencies contacted Check if None
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Fazio, Vic	
Kutler, Ed	
Stach, Deirdre	
Stuart, Sandi	
Urban, Anne	Legislative Director, Sen. Robert Kerrey
Weber, Vin	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 8/9/01

