

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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SECRETARY OF T:  
03 FEB 21 AM**LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Prudential Financial Inc.			
2. Address <input type="checkbox"/> Check if different than previously reported 751 Broad Street			
3. Principal Place of Business (if different from line 2) City: Newark State/Zip (or Country) New Jersey 07102			
4. Contact Name Bryan M. Pickel	Telephone (973) 802-8435	E-mail (optional) bryan.pickel@prudential.c	5. Senate ID # 327
7. Client Name <input checked="" type="checkbox"/> Self Same			6. House ID # 317

**TYPE OF REPORT** 8. Year 2002 Midyear (January 1-June 30)  OR Year End (July 1-December 31) 
9. Check if this filing amends a previously filed version of this report 10. Check if this is a Termination Report  ⇒ Termination Date \_\_\_\_\_11. No Lobbying **INCOME OR EXPENSES - Complete Either Line 12 OR Line 13**

<p align="center"><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center"><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>1,646,000.</u> Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for descriptor</p> <p><input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 162(e) Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) Internal Revenue Code</p>
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Signature \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

Brian W. Clymer, Vice President

LD-2 (REV. 6/98)

Registrant Name Prudential Financial Inc. Client Name Same

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

H.R. 718 The "Anti-Spamming Act of 2001." All provisions of legislation drafted to resolve problems related to the receipt of unwanted and unsolicited e-mail, or SPAM.  
 H.R. 2269 "Retirement Security Advice Act of 2001." All provisions.  
 H.R. 3717 the "Federal Deposit Insurance Reform Act of 2002."  
 H.R. 3762 "Pension Security Act of 2002." All provisions.  
 H.R. 3763 the "Corporate and Auditing Accountability Act of 2002." All provisions relating to CEO certification and conflict of interest.

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
 Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Rex Wackerle, VP, Government Affairs	
Alan Brubaker, VP, Government Affairs	
Kaye F. Williams, VP, Government Affairs	
Peter Begans, VP, Government Affairs	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

Form LD-2 (Rev. 6/98)

Pa

Registrant Name Prudential Financial Inc. Client Name Same

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15. General issue area code FIN (one per page)

16. Specific lobbying issues

H.R. 2036 Social Security Number Privacy and Identity Theft Prevention Act of 2001- Provisions pertaining to the private sector  
H.R. 718 "Unsolicited Commercial Electronic Mail Act of 2001." All provisions relating to internet-based business practices.  
H.R. 3068 "Financial Privacy and National Security Enhancement Act." All provisions concerning privacy standards.  
H.R. 2730 "National Consumer Privacy Act." All provisions to provide for uniform national financial privacy standards for financial institutions, and for other purposes.

17. House(s) of Congress and Federal agencies contacted  Check if None

House of Representatives  
Senate

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Signature \_\_\_\_\_ Date 2/13/2003



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S.848 regarding the "Prohibition or Sale of Social Security Numbers." All provisions relating to Pru's business units' of social security numbers for client identification.  
 S. 1992 "Protecting Americas Pensions Act of 2002." All provisions.  
 S. 2600 Terrorism Risk Insurance act of 2002  
 S. 2673 The Public Company Accounting Reform and Investor Protection Act of 2002 (The Sarbanes-Oxley Act)

17. House(s) of Congress and Federal agencies contacted  Check if None

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 Senate

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Printed Name and Title Brian W. Clymer, Vice President

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S. 630 The CAN SPAM Act of 2001  
S. 848 The Social Security Number Misuse Prevention Act of 2001  
S. 2201 The Online Personal Privacy Act

17. House(s) of Congress and Federal agencies contacted  Check if None

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Senate

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15. General issue area code TAX (one per page)

16. Specific lobbying issues

H.R. 831 The Long-Term Care and Retirement Security Act - All provisions  
 H.R.909 To Amend the Internal Revenue Code of 1986 to permit the consolidation of life insurance companies with other companies. - All provisions  
 H.R.1357 To amend the Internal Revenue Code of 1986 to permanently extend the subpart F exemption for active financing income - All provisions  
 H.R. 3090 The Job Creation and Worker Assistance Act of 2002 - All provisions

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House of Representatives  
 Senate

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16. Specific lobbying issues

H.R. 3320 To amend the Internal Revenue Code of 1986 to encourage guaranteed lifetime income payments from annuities and similar payments of life insurance proceeds  
 H.R.4946 Improving Access to Long-Term Care Act of 2002 -All provisions  
 H.R.4047 The International Tax Simplification and Fairness for American Competitiveness Act of 2002 - Active fina provisions  
 HR 4931 Retirement Savings Security Act of 2002 - All provisions  
 S. 1969 Pension Security Act of 2002

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15. General issue area code TAX (one per page)

16. Specific lobbying issues

S. 1971 The National Employees Savings and Trust Equity Guarantee Act  
S. 1978 Retirement Security Advice Act of 2002

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15. General issue area code TOR (one per page)

16. Specific lobbying issues

H.R. 2341 The "Class Action Fairness Act of 2001." All provisions that would amend procedures that apply to the consideration of interstate class actions.  
S.1712 The "Class Action Fairness Act of 2001.". All provisions.

17. House(s) of Congress and Federal agencies contacted  Check if None

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Signature \_\_\_\_\_ Date 2/13/2003







Registrant Name Prudential Financial Inc. Client Name Same

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City \_\_\_\_\_ State/Zip (or Country) \_\_\_\_\_

22. New general description of client's business activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

Peter Begans, effective 12/1/2002

**ISSUE UPDATE**

24. General lobbying issues previously reported that no longer pertain

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant or affiliated organization

Signature  Date 2/13/2006

Printed Name and Title Brian W. Clymer, Vice President

Form LD-2 (Rev. 6/98)

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