

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	<input checked="" type="checkbox"/> Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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SECRETAR
02 JUN

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name <u>FINANCIAL PLANNING ASSOCIATION ("FPA")</u>		
2. Address <input type="checkbox"/> Check if different than previously reported <u>1615 L STREET, N.W., STE 650</u>		
3. Principal Place of Business (if different from line 2) City: <u>WASHINGTON, D.C.</u> State/Zip (or Country) <u>20036</u>		
4. Contact Name <u>DUANE THOMPSON</u>	Telephone <u>202.426.8770</u>	E-mail (optional)
7. Client Name <input checked="" type="checkbox"/> Self		5. Senate ID # <u>53733</u>
		6. House ID # <u>34962</u>

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) OR Year End (July 1-Dec)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇒ Termination Date _____

11. No Lobbying

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
<p>12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p>13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>120,000</u> Expenses (nearest \$)</p> <p>14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definiti</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033 Internal Revenue Code</p> <p><input checked="" type="checkbox"/> Method C. Reporting amounts under section 162 Internal Revenue Code</p>

Signature Duane R. Thompson

Printed Name and Title DUANE R. THOMPSON, DIR. OF GOVT. RELS



Registrant Name FPA Client Name SELF

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues
16 CFR PART 313
NASD PROPOSED AMENDMENTS TO RULE 2210
SEC RELEASE IA-1845 S. 1838
H.R. 2269 S. 1677
H.R. 3463

17. House(s) of Congress and Federal agencies contacted Check if None

SEC FTC
HOUSE
SENATE
DEPT. OF LABOR

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<u>DUANE THOMPSON</u>	
<u>ROBERT MELL</u>	
<u>AL CAMPOS</u>	
<u>SUZANNE MORGAN</u>	

19. Interest of each foreign entity in the specific Issues listed on line 16 above Check if None

Signature *Duane L. Thompson* Date 2/13/02
Printed Name and Title DUANE L. THOMPSON, DIR. OF GOVT. RELAT

Registrant Name FPA Client Name SELF

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

*IRS Rev. 142499-01
H.R. 1836
S. 1383
H.R. 2695*

17. House(s) of Congress and Federal agencies contacted Check if None

*SENATE
HOUSE*

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<i>AL CAMPOS</i>	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Duane R. Thompson* Date 2/13/02
Printed Name and Title DUANE R. THOMPSON, DIR. OF GOV'T. RELATI

Registrant Name FPA Client Name SELF

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues previously reported that no longer pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Bu (city and state or co

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant affiliated organization

Signature Doane R. Thompson Date 2/13/02

Printed Name and Title DOANE R. THOMPSON, DIR. OF GOVT. RELATION

