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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Cornell University			
2. Address ☐ Check if different than previously reported Office of Government Affairs, 114 Day Hall			
3. Principal Place of Business (if different from line 2) City: Ithaca, State/Zip (or Country) New York 14853-2801			
4. Contact Name Stephen Philip Johnson		Telephone 607/255-4344	5. Senate ID # 10877-12
		Email (optional) spj2@cornell.edu	
7. Client Name ☒ Self Stephen Philip Johnson		6. House ID # 32667000	

TYPE OF REPORT 8. Year 2000 Midyear (January 1-June 30) ☒ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ Termination Date _____ 11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 ☐ \$10,000 or more ☐ $\Rightarrow$ \$ _____ (Income (nearest \$20,000)) Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	13. Organizations EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 ☐ \$10,000 or more ☐ $\Rightarrow$ \$ <u>\$100,000.00</u> Expenses (nearest \$20,000) 14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options. ☐ Method A. Reporting amounts using LDA definitions only ☐ Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code ☐ Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature

Stephen Philip Johnson

Printed Name and Title

Stephen Philip Johnson, Asst. VP for Govt Affairs

LD-2 (REV. 6/98)

PAGE 1 of 16

Registrant Name Cornell University Client Name Stephen Philip Johnson

LOBBYING ISSUES

11. General lobbying issue areas. Select all applicable codes listed in instructions and on the reverse side of Form LD-1, page 1.

AGR BUD CPI EDU HCR INN MMI SCI TAX

12. Specific lobbying issues (current and anticipated)

AFFILIATED ORGANIZATIONS

13. Is there an entity other than the client that contributes more than \$10,000 to the lobbying activities of the registrant in a semiannual period and in whole or in major part plans, supervises or controls the registrant's lobbying activities?

☐ No → Go to line 14.

☐ Yes → Complete the rest of this section for each entity matching the criteria above, then proceed to line 14.

Name	Address	Principal Place of Business (city and state or country)

FOREIGN ENTITIES

14. Is there any foreign entity that:

- a) holds at least 20% equitable ownership in the client or any organization identified on line 13; **OR**
- b) directly or indirectly, in whole or in major part, plans, supervises, controls, directs, finances or subsidizes activities of the client or any organization identified on line 13; **OR**
- c) is an affiliate of the client or any organization identified on line 13 and has a direct interest in the outcome of the lobbying activity?

☐ No → Sign and date the registration.

☐ Yes → Complete the rest of this section for each entity matching the criteria above, then sign and date the registration.

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client

Signature Stephen Philip Johnson Date 8-10-00

Printed Name and Title Stephen Philip Johnson, Asst. VP for Govt. Affairs

Registrant Name Cornell University Client Name Stephen Philip Johnson

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

Michael P. Voiland, Assistant Director for Government Affairs, Effective 7/3/00

ISSUE UPDATE

24. General lobbying issues previously reported that no longer pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

Signature

Stephen Philip Johnson

Date

8-10-00

Printed Name and Title Stephen Philip Johnson, Asst. VP for Govt. Affairs

Form LD-2 (Rev. 6/98)

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Registrant Name Cornell University Client Name Stephen Philip Johnson

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code AGR (one per page)

16. Specific lobbying issues
Rabies Control & Elimination Act (Draft)
Risk Management
Initiative for the Future Ag & Food Systems - HR 3908

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
United States Senate
United States Department of Agriculture

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Stephen Philip Johnson	Asst. VP for Government Affairs	☐
Michael P. Voiland	Asst. Dir. for Government Affairs	☐
Elizabeth K. LaPolt	Leg. Assoc. for Government Affairs	☐
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19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Stephen Philip Johnson Date 8-10-00
Printed Name and Title Stephen Philip Johnson, Asst. VP. for Govt. Affairs

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Registrant Name Cornell University Client Name Stephen Philip Johnson

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

Initiative for Future Agriculture & Food Systems for Emergency Supplemental Appropriations - S.3908

Issues of Higher Education, Research, Medicare and Medicaid

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. House of Representatives

United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Stephen Philip Johnson</u>	<u>Asst. VP for Government Affairs</u>	☐
<u>Michael P. Voiland</u>	<u>Asst. Dir. for Government Affairs</u>	☐
<u>Elizabeth K. LaPolt</u>	<u>Leg. Assoc. for Government Affairs</u>	☐
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19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature

Stephen Philip Johnson

Date

8-10-00

Printed Name and Title

Stephen Philip Johnson, Asst. VP for Govt Affairs

Form LD-2 (Rev. 6/98)

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Registrant Name Cornell University Client Name Stephen Philip Johnson

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

USDA - HR 4461, S. 2563

Commerce - HR 4690

Energy - HR 4733

Foreign Opps. - S. 2522

Labor/HHS/Education - HR 4577, S. 2553

National Security/Defense - HR 4576, S. 2593

VA/HUD/Independent Agencies - HR 4635

Interior - HR 4578, S. 2549

17. House(s) of Congress and Federal agencies contacted

U.S. House of Representatives

United States Senate

☐ Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Current Official Position (if applicable)	New
<u>Stephen Philip Johnson</u>	<u>Asst. VP for Government Affairs</u>	☐
<u>Michael P. Voiland</u>	<u>Asst. Dir. for Government Affairs</u>	☐
<u>Elizabeth K. LaPolt</u>	<u>Leg. Assoc. for Government Affairs</u>	☐
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19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature

Stephen Philip Johnson

Date 8-10-00

Printed Name and Title

Stephen Philip Johnson, Asst. VP for Govt. Affairs

Form LD-2 (Rev. 6/98)

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Registrant Name Cornell University Client Name Stephen Philip Johnson

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CPI (one per page)

16. Specific lobbying issues

Consumer & Investor Access to Education Act - HR 1858
Collection of Information Anti-privacy Act - HR 354
Freedom of Use Encryption - HR 850

17. House(s) of Congress and Federal agencies contacted

U.S. House of Representatives
United States Senate

☐ Check if None

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Stephen Philip Johnson</u>	<u>Asst. VP for Government Affairs</u>	☐
<u>Michael P. Voiland</u>	<u>Asst. Dir. for Government Affairs</u>	☐
<u>Elizabeth K. LaPolt</u>	<u>Leg. Assoc. for Government Affairs</u>	☐
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19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature

Stephen Philip Johnson

Date

8-10-00

Printed Name and Title

Stephen Philip Johnson, Asst. VP for Govt. Affairs

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Registrant Name Cornell University Client Name Stephen Philip Johnson

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code EDU (one per page)

16. Specific lobbying issues
Disclosure of Fire Safety Standards - HR 3895
Technical Amendments Higher Education Act

17. House(s) of Congress and Federal agencies contacted ☐ Check if None
U.S. House of Representatives
United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Stephen Philip Johnson</u>	<u>Asst. VP for Government Affairs</u>	☐
<u>Michael P. Voiland</u>	<u>Asst. Dir. for Government Affairs</u>	☐
<u>Elizabeth K. LaPolt</u>	<u>Leg. Assoc. for Government Affairs</u>	☐
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19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature *Stephen Philip Johnson* Date 8-10-00
Printed Name and Title Stephen Philip Johnson Asst. VP for Gov't Affairs

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Registrant Name Cornell University Client Name Stephen Philip Johnson

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

National Health Service Corps. Taxation - HR 7, S. 1134
Medicare Direct Graduate Medical Education - HR 5005, HR 5089
Labor/HHS/Education Appropriations - HR 4377, S. 2553
Amendment to Title XVIII of the Social Security Act to stabilize indirect graduate medical education payments - S.1023, S.2394, HR 4239
Medical Education Trust Fund to provide for financial graduate medical education-HR 1225
Amendment Title XVIII of the Social Security Act to revise the update factor in payments to PPS hospitals - S. 2018, HR 3580

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. House of Representatives
United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Stephen Philip Johnson</u>	<u>Asst. VP for Government Affairs</u>	☐
<u>Michael P. Voiland</u>	<u>Asst. Dir. for Government Affairs</u>	☐
<u>Elizabeth K. LaPorte</u>	<u>Leg. Assoc. for Government Affairs</u>	☐
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19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature

Stephen Philip Johnson

Date

8-10-00

Printed Name and Title

Stephen Philip Johnson, Asst. VP for Govt Affairs

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Registrant Name Cornell University Client Name Stephen Philip Johnson

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

National Health Service Corps. - HR 1414, S. 288
Health Care Research & Quality Act - S. 326
Carve out Payments for Medicare Choice Organizations - HR 1103
Stabilize Indirect Graduate Medical Education Payments - HR 1285
Innovation Tax Credits - S. 1010, HR 2488
State Disproportionate Share - S. 2299, HR 3698, S. 2308, HR 3710
Consumer Health & Research Technology Act - HR 2455
Teaching Hospital Preservation Act - S. 1268, HR 4431

17. House(s) of Congress and Federal agencies contacted ☐ Check if None
U.S. House of Representatives
United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Stephen Philip Johnson</u>	<u>Asst. VP for Government Affairs</u>	☐
<u>Michael P. Voiland</u>	<u>Asst. Dir. for Government Affairs</u>	☐
<u>Elizabeth K. LaPolt</u>	<u>Leg. Assoc. for Government Affairs</u>	☐
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19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Stephen Philip Johnson Date 8-10-02
Printed Name and Title Stephen Philip Johnson, Asst. VP for Govt Affairs

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Registrant Name Cornell University Client Name Stephen Philip Johnson

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code IMM (one per page)

16. Specific lobbying issues

Amendment to the Immigration & National Act - HR 3983

Amendment to the Immigration & National Act - S. 2045

Amendment to the Immigration & National Act - HR 4227

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. House of Representatives

United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Stephen Philip Johnson</u>	<u>Asst. VP for Government Affairs</u>	☐
<u>Michael P. Voiland</u>	<u>Asst. Dir. for Government Affairs</u>	☐
<u>Elizabeth K. LaPolt</u>	<u>Leg. Assoc. for Government Affairs</u>	☐
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19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature

Stephen Philip Johnson

Date

8-10-00

Printed Name and Title

Stephen Philip Johnson, Asst VP for Gov't Affairs

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Registrant Name Cornell University Client Name Stephen Philip Johnson

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific lobbying issues

Direct & Indirect Graduate Medical Education

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. House of Representatives
United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Stephen Philip Johnson</u>	<u>Asst. VP for Government Affairs</u>	☐
<u>Michael P. Volland</u>	<u>Asst. Dir. for Government Affairs</u>	☐
<u>Elizabeth K. LaPolt</u>	<u>Leg. Assoc. for Government Affairs</u>	☐
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19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Stephen Philip Johnson Date 8-10-00
Printed Name and Title Stephen Philip Johnson, Asst. VP for Govt Affairs

Form LO-2 (Rev. 6/98)

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Registrant Name Cornell University Client Name Stephen Philip Johnson

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code SCI (one per page)

16. Specific lobbying issues

USDA Appropriations - HR 4461, S. 2563
Commerce Appropriations - HR 4690
Energy Appropriations - HR 4733
Foreign Operations Appropriations - S.2522
Labor/HHS/Education Appropriations - HR 4577, S.2553
National Security Appropriations - HR 4576, S.2593
VA/HUD Appropriations - HR 4653

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives
United States Senate
National Science Foundation

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Stephen Philip Johnson	Asst. VP for Government Affairs	☐
Michael P. Voiland	Asst. Dir. for Government Affairs	☐
Elizabeth K. LaPolt	Leg. Assoc. for Government Affairs	☐
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19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature: Stephen Philip Johnson Date: 8-10-00
Printed Name and Title: Stephen Philip Johnson, Asst. VP for Gov't. Affairs

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Registrant Name Cornell University Client Name Stephen Philip Johnson

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code SCI (one per page)

16. Specific lobbying issues

NSF Reauthorization - HR 4491, HR 4500

Stem Cell Research

Federal Research Investment - S.2217, S.296, HR 3161

Initiative for the Future Agriculture & Food Systems for emergency supplemental appropriations - HR 3908

International Traffic in Arms Regulations (ITAR)

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. House of Representatives

United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Stephen Philip Johnson</u>	<u>Asst. VP for Government Affairs</u>	☐
<u>Michael P. Voiland</u>	<u>Asst. Dir. for Government Affairs</u>	☐
<u>Elizabeth K. LaPolt</u>	<u>Leg. Assoc. for Government Affairs</u>	☐
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		☐

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature Stephen Philip Johnson Date 8-10-00

Printed Name and Title Stephen Philip Johnson, Asst VP for Gov't Affairs

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Registrant Name Cornell University Client Name Stephen Philip Johnson

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

IRA Charitable Rollover Incentive - HR 1311, S.1086
Education Savings Accounts/Prepaid Tuition Plans - HR 7
Employer Provided Education Assistance - S.211, HR 1014
College Opportunity Tax Cut
Student Loan Interest Deduction - HR 2141

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. House of Representatives
United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Current Official Position (if applicable)	New
<u>Stephen Philip Johnson</u>	<u>Asst. VP for Government Affairs</u>	☐
<u>Michael P. Voiland</u>	<u>Asst. Dir. for Government Affairs</u>	☐
<u>Elizabeth K. LaPolt</u>	<u>Leg. Assoc. for Government Affairs</u>	☐
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19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature

Stephen Philip Johnson

Date

8-10-00

Printed Name and Title

Stephen Philip Johnson, Asst. VP for Govt Affairs

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Registrant Name Cornell University Client Name Stephen Philip Johnson

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

IRS Code - Tax Free Distribution from Qualified Plans - HR 1446

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. House of Representatives
United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Stephen Philip Johnson	Asst. VP for Government Affairs	☐
Michael P. Voiland	Asst. Dir. for Government Affairs	☐
Elizabeth K. LaPolt	Leg. Assoc. for Government Affairs	☐
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19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature



Date

8/10/00

Printed Name and Title

Stephen Philip Johnson, Asst. VP for Govt Affairs

Form LD-2 (Rev. 6/98)

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