

Clerk of the House of Representatives  
Legislative Resource Center  
B-106 Cannon Building  
Washington, DC 20515

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Office of Public Records  
232 Hart Building  
Washington, DC 20510

SECRETARY OF THE SENATE

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**LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name <b>Cauthen &amp; Associates</b>			
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address <b>825 Cauthen Dr.</b> City <b>Montgomery</b> State/Zip (or Country) <b>AL 36105</b>			
3. Principal Place of Business (if different from line 2) City _____ State/Zip (or Country) _____			
4. Contact Name <b>Harvey E. Cauthen, Jr.</b>	Telephone <b>(334) 264-1155</b>	E-mail (optional)	5. Senate ID # <b>8500-253</b>
7. Client Name <input type="checkbox"/> Self <b>Vesta Insurance Group</b>			6. House ID # <b>31320-018</b>

**TYPE OF REPORT** 8. Year 2004 Midyear (January 1-June 30)  **OR** Year End (July 1-De

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  >> Termination Date \_\_\_\_\_ 11. No Lobby

**INCOME OR EXPENSES - Complete Either Line 12 OR Line 13**

12. Lobbying Firms	13. Organizations
<b>INCOME</b> relating to lobbying activities for this reporting period was:	<b>EXPENSES</b> relating to lobbying activities for this reporting period were:
Less than \$10,000 <input checked="" type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input type="checkbox"/> >> \$ _____ Income (nearest \$20,000)	\$10,000 or more <input type="checkbox"/> >> \$ _____ Expenses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description of
	<input type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definition
	<input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 603 the Internal Revenue Code
	<input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162 Internal Revenue Code

Signature [Handwritten Signature] Date 01/10/2007

Printed Name and Title Harvey E. Cauthen, Jr. - President & CEO Pa

Registrant Name: Cauthen & Associates

Client Name: Vesta Insurance Group

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

- 15. General issue area code INS (one per page)
- 16. Specific Lobbying issues  
**Tax provisions relating to insurance securitization, reinsurance**  
**H.R. 2, Jobs and Growth Reconciliation Tax Act of 2003**  
**S. 2, Jobs and Growth Reconciliation Tax Act of 2003**

- 17. House(s) of Congress and Federal agencies contacted  Check if None  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Cauthen, Jr., Harvey E.</b>	
<b>Williams, Zachary</b>	

- 19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title **Harvey E. Cauthen, Jr. - President & CEO** \_\_\_\_\_ Pg

Registrant Name: Cauthen & Associates

Client Name: Vesta Insurance Group

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.


- 15. General issue area code TAX (one per page)
- 16. Specific Lobbying issues  
**Tax provision relating to insurance securitization, reinsurance**  
**H.R. 2, Jobs and Growth Reconciliation Tax Act of 2003**  
**S. 2, Jobs and Growth Reconciliation Tax Act of 2003**

- 17. House(s) of Congress and Federal agencies contacted  Check if None  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Cauthen, Jr., Harvey E.</b>	
<b>Williams, Zachary</b>	

- 19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature: 

Signature Harvey E. Cauthen, Jr. Date \_\_\_\_\_

Printed Name and Title Harvey E. Cauthen, Jr. - President & CEO Pa