Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF THE SENAT

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## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) -All Filers Are Required to Complete This Page

| 1. Pro-internal Name  |   |   |
|---|---|---|
| 1. Registrant Name  Cauthen and Associates  |   |   |
| 2. Registrant Address   | AL 36105  |   |
| 3. Principal Place of Business (if different from line 2)   |   | <del></del>   |
| City State/Zip (or Country)   |   |   |
| 4. Contact Name Telephone E-mai Harvey E. Cauthen, Jr. (334) 264-1155   | il (optional)   | 5. Senate ID # <b>8500-113</b>  |
| 7. Client Name   Self  Alabama State University   |   | 6. House ID #   |
| INCOME OR EXPENSES - Complete Either  |   | <del></del>   |
| 12. Lobbying Firms  |   |   |
|   | 13. Organizati  | ons   |
| <b>INCOME</b> relating to lobbying activities for this reporting period was:  | 13. Organizati EXPENSES relating to lobbying activi period were:  |   |
|   | EXPENSES relating to lobbying activi  |   |
| period was:  Less than \$10,000   \$10,000 or more   >> \$ \$20,000.00  Income (nearest \$20,000)  Provide a good faith estimate, rounded to the nearest  | EXPENSES relating to lobbying activi period were:  Less than \$10,000   \$10,000 or more  | ties for this rep   |
| period was:  Less than \$10,000   \$10,000 or more   \$\int \frac{\\$\\$20,000.00}{\} \]  Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity)                              | EXPENSES relating to lobbying activity period were:  Less than \$10,000   \$10,000 or more  >> \$  Expenses the state of the  | ties for this repoenses (nearest \$20 box to indicate description of                              |
| period was:  Less than \$10,000   \$10,000 or more   >> \$ \$\frac{\$20,000.00}{\text{Income (nearest \$20,000)}}\$  Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client  | EXPENSES relating to lobbying activity period were:  Less than \$10,000   \$10,000 or more  >> \$  Expenses Expens | penses (nearest \$20 box to indicate description of ag LDA definition of the section 6033         |
| period was:  Less than \$10,000 □  \$10,000 or more ⋈ >> \$ \$\frac{\$20,000.00}{\$\text{Income (nearest \$20,000)}}\$  Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity | EXPENSES relating to lobbying activity period were:  Less than \$10,000   \$10,000 or more  >> \$  Expense  | penses (nearest \$20<br>box to indicate<br>description of<br>ag LDA definition<br>er section 6033 |

Harvey E. Cauthen. Jr. - President & CEO Filing #bcedf27e-36bc-46c8-9c41-9aecadbc70b8 - Page 1 of 6

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|---|--|--|
| Registrant Name:                        | Cauthen and Associates   |  |
| Client Name:                            | Alabama State University   |  |
| engaged in lobbyi                       | TIVITY. Select as many codes as necessaring on behalf of the client during the report puested. Attach additional page(s) as needed | y to reflect the general issue areas in which the registrant<br>ing period. Using a separate page for each code, provided. |
| 15. General issue                       | e area code <u>EDU</u> (one per page)  | ·  |
|   | bying issues opropriations FY 2002. Ication appropriations.  |  |
|   | • ,  |  |
|   |  |  |
|   |  | <del>.</del>   |
|   |  | ·  |
|   |  |  |
| Department<br>Department                | Congress and Federal agencies contacted of Education of Housing & Urban Development presentatives                                  | ☐ Check if None .  |
|   |  |  |
|   |  | •  |
| 18 Name of each                         | n individual who acted as a lobbyist in this   | inana ama  |
| 10. Italic of cac                       | i marviduar who acted as a roodyist iii tills  | issue area   |
| Name                                    |  | Covered Official Position (if applicable)  |
| Cauthen, Jr.                            | , Harvey E.  |  |
| Crater, Jeffr                           | ey   |  |
| Levine, Jeffr                           | ey   |  |
| Marlowe, Ste                            |  |  |
| Williams, Za                            |  |  |
| *************************************** | chai y   |  |
|   |  |  |
|   |  |  |
|   |  |  |
| 19. Interest of eac                     | h foreign entity in the specific issues listed   | on line 16 above   |
|   | <del>-</del>   |  |
| ,                                       |  | ·  |
| 415                                     | The March  | 8/14/01  |
| Signature /                             | men !  | Date   |

Printed Name and Title Harvey E. Cauthen, Jr. - President & CEO Filing #bcedf27e-36bc-46c8-9c41-9aecadbc70b8 - Page 3 of 6

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| Registrant Name:                               | Cauthen and Asso                    | ciates                  | j (Pariti  |  |  |
|--|-------------------------------------|-------------------------|--|--|--|
| Client Name:                                   | Alabama State University            |                         |  |  |  |
| Information <b>l</b>                           | J <b>pdate Page - C</b>             | omplete ONLY wh         | ere registration information h                             | as changed.                                    |  |
| 20. Client new add                             | ress                                |                         |  |  |  |
| 21. Client new prin                            | cipal place of business (if         | different from line 20) |  |  |  |
| City   |                                     | State/Zip (or Country)  |  | ·  |  |
| 22. New general de                             | scription of client's busine        | ess or activities       |  |  |  |
| 23. Name of eac<br>Crater, Jeff<br>Marlowe, Se | h previously reported<br>rey        | l individual who is n   | no longer expected to act as a lol                         | obyist for the client                          |  |
| ISSUE UPDAT<br>24. General lobb                | E<br>ying issues previous           | ly reported that no lo  | onger pertain  |  |  |
|  | ORGANIZATION Owing affiliated organ |                         |  | Principal Place of E                           |  |
|  | Name                                |                         | Address  | (city and state or co                          |  |
|  |                                     |                         |  |  |  |
| FOREIGN EN                                     |                                     |                         | no longer affiliated with the reg                          | istrant or client                              |  |
| Name   |                                     | Address                 | Principal Place of Business<br>(city and state or country) | Amount of contribution for lobbying activities |  |
| 28. Name of each                               |                                     | foreign entity that n   | o longer owns, or controls,or is                           | affiliated with the registr                    |  |

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