

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

ALCATEL USA RESOURCES INC

2. Address:

1100 New York Avenue, NW Suite 640 West, Washington, DC 20005

3. Principal place of business (if different from line 2):

4. Contact Name: KEVIN KRUFKY

Telephone: 2023155914

E-mail (optional): kevin.krufky@alcatel-lucent.com

Senate ID #: 46734-12

House ID #:

7. Client Name: ☐ Self

ALCATEL USA MARKETING

TYPE OF REPORT

8. Year 2006 Midyear (January 1 - June 30): ☐ **OR** Year End (July 1 - December 31): ☒

9. Check if this filing amends a previously filed version of this report: ☒

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): 700,000.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

- ☒ **Method A.** Reporting amounts using LDA definitions only
☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code
☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BAN (one per page)

16. Specific lobbying issues:

H.R. 5337, Reform of National Security Reviews of Foreign Direct Investments Act (S. 3549 - all sections), Foreign Investment and National Security Act of 2006 (all sections), Alcatel merger with Lucent Technologies.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CUTTS, JOHN

Covered Official Position (if applicable): N/A

Name: KENEFICK, PAUL

Covered Official Position (if applicable): N/A

Name: KRUFY, KEVIN

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above.
Investment in the United States, regulatory oversight of proposed merger.

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: COM (one per page)

16. Specific lobbying issues:

Multi-cast must-carry, cable franchise reform (S. 1504/S. 1349/S. 2686/H.R. 5252 - all sections), digital television transition (S. 1268, budget reconciliation - all sections), DTV tuner mandate (H.R. 2354 - all sections).

17. House(s) of Congress and Federal agencies contacted:

Federal Communications Commission (FCC)

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CUTTS, JOHN

Covered Official Position (if applicable): N/A

Name: KENEFICK, PAUL

Covered Official Position (if applicable): N/A

Name: KRUFY, KEVIN

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above.
Broadband deployment and video policies.

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ENV (one per page)

16. Specific lobbying issues:

Electronic Waste (H.R. 320/H.R. 510/S. 1237 - all sections).

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CUTTS, JOHN

Covered Official Position (if applicable): N/A

Name: KENEFICK, PAUL

Covered Official Position (if applicable): N/A

Name: KRUFY, KEVIN

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above.

Regulatory obligations associated with disposal of telecom equipment.

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

Broadband tax credit (S. 14/S. 1147), R&D Tax Credit (H.R. 1736), Internet tax moratorium, Communications excise tax repeal (S. 758/H.R. 1898), USF surcharge.

17. House(s) of Congress and Federal agencies contacted:

Federal Communications Commission (FCC)

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CUTTS, JOHN

Covered Official Position (if applicable): N/A

Name: KENEFICK, PAUL

Covered Official Position (if applicable): N/A

Name: KRUFY, KEVIN

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TEC (one per page)

16. Specific lobbying issues:

CONTINUED deployment (H.R. 144/H.R. 146/S. 497 - all sections, e-commerce, Internet access, privacy regulation, wireless infrastructure issues, digital television transition (S. 1268, budget reconciliation - all sections), CALEA obligations, Voice Over IP (H.R. 214/H.R. 2418/S. 1063 - all sections), Rural Utilities Service broadband, Spectrum issues (S. 1268 - all sections), e-911 (H.R. 2418/S. 1063 - all sections), network unbundling, NIST funding, e-health technology (H.R. 747/H.R. 2234 - all sections), municipal provision of broadband services (H.R. 2726/S. 1294 - all sections), network neutrality (S. 2113/S. 2917 - all sections), Communications, Opportunity, Promotion and Enhancement Act of 2006 (H.R. 5252 - all sections), Communications, Consumer's Choice, and Broadband Deployment Act of 2006 (S. 2686 - all sections), Alcatel merger with Lucent Technologies, Video franchise reform (FCC 05-311) (S. 1268/S. 1504/S. 1349/H.R. 1730/H.R. 951 - all sections), Internet growth and development, critical infrastructure issues, Telecom Act of 1996 - implementation issues, privacy and encryption export, broadband - CONTINUED ON NEXT PAGE

17. House(s) of Congress and Federal agencies contacted:

Commerce, Dept of (DOC)
Federal Communications Commission (FCC)
HOUSE OF REPRESENTATIVES
SENATE
White House Office

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CUTTS, JOHN
Covered Official Position (if applicable): N/A
Name: KENEFICK, PAUL
Covered Official Position (if applicable): N/A
Name: KRUFY, KEVIN
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Feb 22, 2007

Printed Name and Title: KEVIN KRUFKY, LEGISLATIVE COUNSEL -

Information Update Page:

Complete ONLY where registration information has changed.

LOBBYIST UPDATE

23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client

Name: KENEFICK, PAUL

ISSUE UPDATE

24. General lobbying issues previously reported that NO LONGER pertain

AFFILIATED ORGANIZATIONS

25. Add the following organization(s)

26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization

Signature: ON FILE Date: Feb 22, 2007

Printed Name and Title: -