

Clerk of the House of Representatives  
Legislative Resource Center  
B-106 Cannon Building  
Washington, DC 20515

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, DC 20510

SECRETARY OF THE SENATE  
04 FEB 20 PM 3:

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name <b>Caterpillar Inc.</b>			
2. Address <input type="checkbox"/> Check if different than previously reported <b>100 NE Adams Street</b>			
3. Principal Place of Business (if different from line 2) City: <b>Peoria</b> State/Zip (or Country) <b>IL 61629-1430</b>			
4. Contact Name <b>Douglas P. Crew</b>	Telephone <b>(309) 675-5248</b>	E-mail (optional) <b>crew douglas p@cat.com</b>	5. Senate ID # <b>8475-12</b>
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # <b>31319000</b>

**TYPE OF REPORT** 8. Year 2003 Midyear (January 1-June 30) ☐ OR Year End (July 1-Dec)


9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇒ Termination Date \_\_\_\_\_

11. No Lobbying

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>240,000</u> Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description of method.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 60 Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 16 Internal Revenue Code</p>
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Signature   
Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs

LD-2 (REV. 6/98)

Registrant Name Caterpillar Inc. Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code SCI (one per page)

16. Specific lobbying issues

Dept. of Energy, Freedom Car and Heavy Vehicle Technologies, R&D Appropriations - Support  
 —HDDE Program  
 —Advanced Petroleum Based Fuels  
 21st Century Truck Initiative - Support

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U. S. House  
 U. S. Senate  
 Dept. of Energy

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Rita L. Castle	Issues Analysis Manager

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Douglas R. Crew, manager, Governmental Affairs

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15. General issue area code TOR (one per page)

16. Specific lobbying issues

Interstate Class Action Fairness Act - Support  
Asbestos Reform - Support

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U. S. House  
U. S. Senate  
Dept. of Justice  
Administration

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Rita L. Castle	Issues Analysis Manager

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs

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15. General issue area code NAT (one per page)

16. Specific lobbying issues

Dept. of Interior/BLM/Mountain Top Mining Reform/Millsite Issues - Support  
Expanded Access to Oil and Natural Gas on Federal Lands - Support

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U. S. House  
U. S. Senate  
U. S. Dept. of Interior

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Rita L. Castle	Issues Analysis Manager

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs

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15. General issue area code ENG (one per page)

16. Specific lobbying issues

Electricity Restructuring - Support

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U. S. House  
U. S. Senate  
Dept. of Energy  
EPA

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Rita L. Castle	Issues Analysis Manager
Richard S. Brent	Director, Solar Turbines Incorporated Government Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Douglas F. Crew, Manager, Governmental Affairs

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15. General issue area code TRD (one per page)

16. Specific lobbying issues

Support Free Trade Agreements with Singapore, Chile, Australia, Thailand, Sri Lanka and the Andean Countries  
 Support various bills to improve relations with Cuba  
 Support Normalized Trade Relations with Vietnam  
 Support Funding for EX-IM Bank, OPIC, TDA and World Bank  
 Support WTO Doha Round and FTAA Negotiation  
 Oppose Trade Restriction on Fairly Traded Steel (Section 201)

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U. S. House  
 U. S. Senate  
 White House  
 State Dept.  
 Treasury Dept.  
 Commerce Dept.  
 USTR and USITC

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
William C. Lane	Washington Director, Governmental

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs

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15. General issue area code TRD (one per page)

16. Specific lobbying issues

Continued ...  
 Support Repeal of the Byrd Amendment  
 Support enforcement of China's WTO Commitment  
 Support Customs Reform Legislation and Funding  
 Support a re-evaluation of U. S. Unilateral Sanctions

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U. S. House and Senate  
 White House  
 State, Treasury, and Commerce Departments  
 USTR  
 USITC

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
William C. Lane	Washington Director, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs

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15. General issue area code ENV (one per page)

16. Specific lobbying issues

Various Diesel Engine Issues

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

Transportation Department  
Office of Management and Budget  
Environmental Protection Agency  
U. S. House  
U. S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
William C. Lane	Washington Director, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs

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15. General issue area code TAX (one per page)

16. Specific lobbying issues

Support Deferral for Active Finance (No bill number)  
 Support HRI769 Job Protection Act of 2003  
 Capital Cost Recovery/Depreciation Reform (No bill number)- Support  
 American Jobs Creation Act of 2003 H.R. 2896 Thomas Bill - Opposed  
 Jobs & Growth Reconciliation Tax Act of 2003 H.R. 2/S.2 - Support  
 American Competitiveness Act of 2002 H.R. 5090: Thomas Bill - Opposed  
 Jumpstart Our Business Strength (Jobs) Act S.1637 - Support

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U. S. House and Senate  
 Treasury  
 USTR  
 White House  
 State Dept.  
 Commerce Dept.

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
William N. Ball	Washington Manager, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs

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15. General issue area code SCI (one per page)

16. Specific lobbying issues

Department of Energy ARES Program Interior Appropriations - Support

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U. S. House and Senate  
Department of Energy

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
William N. Ball	Washington Manager, Governmental A

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs

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15. General issue area code HCR (one per page)

16. Specific lobbying issues

Support HR 4954 - Medicare Modernization & Prescription Drug Act of 2002  
 Oppose S. 1052 Patients' Bill of Rights  
 Oppose HR 526 Patients' Bill of Rights  
 Oppose HIPPA Privacy Regulations  
 Support S. 812 - Greater Access to Affordable Pharmaceuticals Act  
 Support HR 1862 - Greater Access to Affordable Pharmaceuticals Act  
 Support HR 1 - Support S.1 - Prescription Drug & Medicare Improvement Act of

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U. S. House and Senate  
 Health and Human Services Administration  
 White House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ed Kaleta	Washington Manager, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Douglas F. Crew, Manager, Governmental Affairs

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15. General issue area code DEF (one per page)

16. Specific lobbying issues

Support Defense Appropriations - HR 5010

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U. S. House and Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ed Kaleta	Washington Manager, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs

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15. General issue area code LBR (one per page)

16. Specific lobbying issues

Oppose S. 2184 - Ergonomics Rule Bill

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U. S. House and Senate  
Department of Labor

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ed Kaleta	Washington Manager, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs

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15. General issue area code RET (one per page)

16. Specific lobbying issues

Oppose S. 1992 America's Pension Act of 2002  
HR 1776 - Pension Preservation & Savings Expansion Act of 2003 - Support

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U. S. House and Senate  
White House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ed Kaleta	Washington Manager, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs

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15. General issue area code FW (one per page)

16. Specific lobbying issues

Oppose S. 1940 Double Standard for Stock Options Act  
Oppose HR 4075 Double Standard for Stock Options Act

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U. S. House and Senate  
White House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ed Kaleta	Washington Manager, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature

*[Handwritten Signature]*

Date

*Feb 12, 2000*

Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs

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15. General issue area code FW (one per page)

16. Specific lobbying issues

Oppose S. 1940 Double Standard for Stock Options Act  
Oppose HR 4075 Double Standard for Stock Options Act


17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U. S. House and Senate  
White House

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Ed Kaleta	Washington Manager, Governmental A

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature  Date Feb 12, 16

Printed Name and Title / Douglas P. Crew, Manager, Governmental Affairs

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