

LOBBYING REPORT

09 AUG 13 PM 1:38

Lobbying Disclosure Act of 1995 (Section 5) - All Filers are Required to Complete This Page

|   |                               |   |                            |
|---|-------------------------------|---|----------------------------|
| 1. Registrant Name<br>Capitol Associates, Inc.  |                               |   | H. D.                      |
| 2. Address <input type="checkbox"/> Check if different than previously reported<br>426 C Street, NE, Washington, DC 20002 |                               |   |                            |
| 3. Principal Place of Business (if different from line 2)<br>City: _____ State/Zip (or Country) _____                     |                               |   |                            |
| 4. Contact Name<br>Debra M. Hardy Havens  | Telephone<br>(202) 544-1880   | E-mail (optional)<br>dh@capitolassociates.com | 5. Senate ID #<br>8101-277 |
| 7. Client Name<br>Glaxo Wellcome, Inc.  | <input type="checkbox"/> Self |   | 6. House ID #<br>30813023  |

TYPE OF REPORT 8. Year 1999 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  Termination Date \_\_\_\_\_ 11. No Lobbying Activity

|  |   |
|--|---|
| <b>INCOME OR EXPENSES - Complete Either Line 12 OR Line 13</b>   |   |
| <b>12. Lobbying Firms</b><br><br>INCOME relating to lobbying activities for this reporting period was:<br><br>Less than \$10,000 <input type="checkbox"/><br><br>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>100,000</u><br>Income (nearest \$20,000)<br><br>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client). | <b>13. Organizations</b><br><br>EXPENSES relating to lobbying activities for this reporting period were:<br><br>Less than \$10,000 <input type="checkbox"/><br><br>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____<br>Expenses (nearest \$20,000)<br><br><b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of options.<br><input type="checkbox"/> Method A. Reporting amounts using LDA definitions only<br><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code<br><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code |

Signature Debra M Hardy Havens  
Printed Name and Title Debra M. Hardy Havens, CEO

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

H. Con. Res. 68, A concurrent resolution establishing the congressional budget for the United States Government for fiscal year 2000 and setting forth appropriate budgetary levels for each of fiscal years 2001 through 2009 -- tracked, monitored, reported consideration of legislation.

S. Con. Res. 20, An original concurrent resolution setting forth the congressional budget for the United States Government for fiscal years 2000 through 2009 -- tracked, monitored, reported consideration of legislation.

H.R. 1906, Appropriations for Agriculture, Rural Development, Food and Drug Administration, and Related Agencies for the Fiscal Year 2000, Title VI: Secure support for funding for the Food and Drug Administration -- tracked, monitored, reported consideration of legislation.

S. 1233, Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2000, Title VI: Secure support for funding for the Food and Drug Administration -- tracked, monitored, reported consideration of legislation.

Department of Labor, Health and Human Services, and Education and Related Agencies Appropriations Bill, 2000, Title II: Secure support for the National Institutes of Health at the Department of Health and Human Services and medical research -- tracked, monitored, reported consideration of legislation.

17. House(s) of Congress and Federal agencies contacted  Check if None

House  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

| Name                       | Covered Official Position (if applicable) | New                      |
|----------------------------|---|--------------------------|
| Marguerite Donoghue Baxter |   | <input type="checkbox"/> |
| Ed Long                    |   | <input type="checkbox"/> |
|                            |   | <input type="checkbox"/> |

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_

Printed Name and Title Debra M. Hardy Havens, CEO

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CPT (one per page)

16. Specific lobbying issues

H.R. 209, An act to improve the ability of federal agencies to license federally owned inventions - tracked, monitored, reported consideration of legislation.

S. 804, An act to improve the ability of federal agencies to license federally owned inventions - tracked, monitored, reported consideration of legislation.

17. House(s) of Congress and Federal agencies contacted  Check if None

House  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

| Name                              | Covered Official Position (if applicable) | New                                 |
|-----------------------------------|---|-------------------------------------|
| <u>Marguerite Donoghue Baxter</u> |   | <input type="checkbox"/>            |
| <u>Tricia Brooks</u>              |   | <input checked="" type="checkbox"/> |
|                                   |   | <input type="checkbox"/>            |
|                                   |   | <input type="checkbox"/>            |

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_

Printed Name and Title Debra M. Hardy Havens, CEO

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code MED (one per page)

16. Specific lobbying issues

H.R. 10, Financial Services Act of 1999, Title III, Subtitle D, The Confidentiality of Health and Medical Information -- tracked, monitored, reported consideration of legislation.

H.R. 1057, Medical Information Privacy and Security Act -- tracked, monitored, reported consideration of legislation.

H.R. 1941, Health Information Privacy Act -- tracked, monitored, reported consideration of legislation.

H.R. 2404, Personal Medical Information Protection Act of 1999 -- tracked, monitored, reported consideration of legislation.

H.R. 2455, Consumer Health and Research Technology Protection Act -- tracked, monitored, reported consideration of legislation.

H.R. 2470, Medical Information Protection and Research Enhancement Act of 1999 -- tracked, monitored, reported consideration of legislation.

S. 573, Medical Information Privacy and Security Act -- tracked, monitored, reported consideration of legislation.

S. 578, Health Care PIN Act -- tracked, monitored, reported consideration of legislation.

S. 881, Medical Information Protection Act of 1999 -- tracked, monitored, reported consideration of legislation.

H. Res. 89, A resolution to express the sense of the House of Representatives that the Federal investment in biomedical research should be increased by 2,000,000,000 in fiscal year 2000 -- tracked, monitored, reported consideration of legislation.

S. Res. 19, A resolution to express the sense of the Senate that the Federal investment in biomedical research should be increased by \$2,000,000,000 in fiscal year 2000 -- tracked, monitored, reported consideration of legislation.

Legislative initiatives relative to stem cell research.

Federal activities relative to expedited review.

17. House(s) of Congress and Federal agencies contacted  Check if None

House  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

| Name                       | Covered Official Position (if applicable) | New                                 |
|----------------------------|---|-------------------------------------|
| Marguerite Donoghue Baxter |   | <input type="checkbox"/>            |
| Tricia Brooks              |   | <input checked="" type="checkbox"/> |
|                            |   | <input type="checkbox"/>            |

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_

Printed Name and Title Debra M. Hardy Havens, CEO

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City \_\_\_\_\_ State/Zip (or Country) \_\_\_\_\_

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

Name change: Marguerite Donoghue Baxter

**ISSUE UPDATE**

24. General lobbying issues previously reported that no longer pertain

MMM

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

| Name | Address | Principal Place of Business<br>(city and state or country) |
|------|---------|--|
|      |         |  |

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

| Name | Address | Principal place of business<br>(city and state or country) | Amount of contribution<br>for lobbying activities | Ownership<br>percentage in<br>client |
|------|---------|--|---|--------------------------------------|
|      |         |  |   |                                      |

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

Signature Debra M Hardy Havens Date 8/12/99  
 Printed Name and Title Debra M. Hardy Havens, CEO