

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

NEW YORK UNIV

2. Address:

70 WASHINGTON SQUARE SOUTH, NEW YORK, NY 10012-1091

3. Principal place of business (if different from line 2):

4. Contact Name: ALICIA D. HURLEY

Telephone: 2129986859

E-mail (optional): alicia.hurley@nyu.edu

Senate ID #: 29232-12

House ID #:

7. Client Name: ☒ Self

TYPE OF REPORT

8. Year 2007 Midyear (January 1 - June 30): ☒ **OR** Year End (July 1 - December 31): ☐

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): 240,000.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

☒ **Method A.** Reporting amounts using LDA definitions only

☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: NEW YORK UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ART (one per page)

16. Specific lobbying issues:

H.R. 2643 / S. 1696 - FY2008 Interior Appropriations, Funding for the National Endowment for Humanities (NEH). H.R. 3074 / S. 1789 - FY2008 Trans./Treasury/HUD/Judiciary/DC Appropriations, Funding for the National Historical Publications and Records Commission.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HEUER, STEVEN

Covered Official Position (if applicable): ASSOCIATE DIRECTOR, FEDERAL RELATIONS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: NEW YORK UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BUD (one per page)

16. Specific lobbying issues:

H.J. Res 20 -- Revised Continuing Appropriations Resolution for Fiscal Year 2007 H.J. Res 102 -- Continuing Appropriations for Fiscal Year 2007 H. Con. Res 99 -- House FY 2008 Budget Resolution S. Con. Res 21 -- Senate FY 2008 Budget Resolution S. 1710 -- Labor-HHS-Education Appropriations for Fiscal Year 2008 H.R. 5647/S. 3708 -- Labor-HHS-Education Appropriations Bill H.R. 2638/S. 1644 -- FY 2008 Homeland Security Appropriations H.R. 1591/S. 965 -- FY 2007 Emergency Supplemental Appropriations H.R. 2206 -- FY 2007 Emergency Supplemental Appropriations H.R. 5631 -- Defense Appropriations Bill

17. House(s) of Congress and Federal agencies contacted:

Defense, Dept of (DOD)
HOUSE OF REPRESENTATIVES
Health & Human Services, Dept of (HHS)
Interior, Dept of (DOI)
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ECROYD, GILDA VENTRESCA

Covered Official Position (if applicable): ASSOCIATE DEAN, OFFICE OF GOVERNMENT AFFAIRS

Name: HEUER, STEVEN

Covered Official Position (if applicable): ASSOCIATE DIRECTOR, FEDERAL RELATIONS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: NEW YORK UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: CPT (one per page)

16. Specific lobbying issues:

H.R. 1908/S. 1145 -- Patent Reform Act of 2007

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HEUER, STEVEN

Covered Official Position (if applicable): ASSOCIATE DIRECTOR, FEDERAL RELATIONS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: NEW YORK UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: DEF (one per page)

16. Specific lobbying issues:

H.R. 3222 | FY2008 Department of Defense Appropriations, 6.1 and 6.2 research accounts, National Defense Education Program

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HEUER, STEVEN

Covered Official Position (if applicable): ASSOCIATE DIRECTOR, FEDERAL RELATIONS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: NEW YORK UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: EDU (one per page)

16. Specific lobbying issues:

H.R. 3043 /S. 1710 - FY 2008 Labor-HHS-Education Appropriations Bill, student financial aid programs, geriatric education centers S. 1642 -- Higher Education Amendments of 2007 S. 1762 -- Higher Education Access Act of 2007 H.R. 2669 -- College Cost Reduction Act of 2007 H.R. 890 -- The Student Loan Sunshine Act

17. House(s) of Congress and Federal agencies contacted:

Education, Dept of
HOUSE OF REPRESENTATIVES
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BROWN, LYNNE

Covered Official Position (if applicable): SR. VP, UNIVERSITY RELATIONS AND PUBLIC AFFAIRS

Name: ECROYD, GILDA VENTRESCA

Covered Official Position (if applicable): ASSOCIATE DEAN, OFFICE OF GOVERNMENT AFFAIRS

Name: HEUER, STEVEN

Covered Official Position (if applicable): ASSOCIATE DIRECTOR, FEDERAL RELATIONS

Name: HURLEY, ALICIA

Covered Official Position (if applicable): ASSOC. VP, GOVERNMENT & COMMUNITY AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: NEW YORK UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ENG (one per page)

16. Specific lobbying issues:

H.R. 2641 / S. 1751 - FY2008 Energy & Water Appropriations Bill, DOE Office of Science funding

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HEUER, STEVEN

Covered Official Position (if applicable): ASSOCIATE DIRECTOR, FEDERAL RELATIONS

Name: HURLEY, ALICIA

Covered Official Position (if applicable): ASSOC. VP, GOVERNMENT & COMMUNITY AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: NEW YORK UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: FOR (one per page)

16. Specific lobbying issues:

H.R. 1469 / S. 991 -- The Senator Paul Simon Study Abroad Foundation Act

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HEUER, STEVEN

Covered Official Position (if applicable): ASSOCIATE DIRECTOR, FEDERAL RELATIONS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: NEW YORK UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: HCR (one per page)

16. Specific lobbying issues:

H.R. 1108 / S. 1108 -- Family Smoking Prevention and the Tobacco Control Act H.R. 1892 -- National Amniotic and Placental Stem Cell Bank Act of 2007 S.51 -- Pluripotent Stem Cell Therapy Enhancement Act of 2007 S. 5 -- Stem Cell Research Enhancement Act of 2007 S. 957 -- Amniotic Fluid and Placental Stem Cell Banking Act of 2007 H.R. 3043 /S. 1710 - FY 2008 Labor-HHS-Education Appropriations Bill, funding for the National Institutes of Health, funding for Title VII Geriatric Education Centers (GECs), BARDA, funding for NYU Child Study Center's ParentCorp Program, line item for NYU Cancer Institute I Cancer Vaccine Program, suicide prevention funding H.R. 976 -- Children's Health Insurance Program Reauthorization Act of 2007

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

Health & Human Services, Dept of (HHS)

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ECROYD, GILDA VENTRESCA

Covered Official Position (if applicable): ASSOCIATE DEAN, OFFICE OF GOVERNMENT AFFAIRS

Name: HEUER, STEVEN

Covered Official Position (if applicable): ASSOCIATE DIRECTOR, FEDERAL RELATIONS

Name: HURLEY, ALICIA

Covered Official Position (if applicable): ASSOC. VP, GOVERNMENT & COMMUNITY AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: NEW YORK UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: HOM (one per page)

16. Specific lobbying issues:

H.R. 2638 / S. 1644 I FY 2008 Homeland Security Appropriations

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HEUER, STEVEN

Covered Official Position (if applicable): ASSOCIATE DIRECTOR, FEDERAL RELATIONS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: NEW YORK UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: IMM (one per page)

16. Specific lobbying issues:

S. 1348 -- Comprehensive Immigration Reform Act of 2007

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HEUER, STEVEN

Covered Official Position (if applicable): ASSOCIATE DIRECTOR, FEDERAL RELATIONS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: NEW YORK UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: MED (one per page)

16. Specific lobbying issues:

H.R. 3043 / S. 1710 -- FY2008 Labor-HHS-Education Appropriations bill, NIH/Biomedical Research Funding, NIDCR Funding S. 5 / H. Rept. 110-179 I Stem Cell Research Enhancement Act of 2007

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ECROYD, GILDA VENTRESCA

Covered Official Position (if applicable): ASSOCIATE DEAN, OFFICE OF GOVERNMENT AFFAIRS

Name: HEUER, STEVEN

Covered Official Position (if applicable): ASSOCIATE DIRECTOR, FEDERAL RELATIONS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: NEW YORK UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: SCI (one per page)

16. Specific lobbying issues:

H.R. 3093/ S. 1745 - FY 2008 Commerce-Justice-State Appropriations Bill, funding for the National Science Foundation (NSF) H.R. 2641/ S. 1751 - FY 2008 Energy and Water Appropriations Bill, funding for DOE Office of Science, S. 761 / H.R. 2272 -- The America COMPETES Act H.R. 362 -- To Authorize Science Scholarships for Educating Math and Science Teachers H.R. 363 -- Sowing the Seeds Through Science and Engineering Research Act

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HEUER, STEVEN

Covered Official Position (if applicable): ASSOCIATE DIRECTOR, FEDERAL RELATIONS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: NEW YORK UNIV Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

Senate Finance Committee education tax proposals relating to university endowments, Section 117(d), and university business income tax (UBIT)

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: HEUER, STEVEN

Covered Official Position (if applicable): ASSOCIATE DIRECTOR, FEDERAL RELATIONS

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Aug 14, 2007

Printed Name and Title: ALICIA D. HURLEY, ASSOCIATE VICE PRESIDENT, GOVERN -

Information Update Page:

Complete ONLY where registration information has changed.

LOBBYIST UPDATE

23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues previously reported that NO LONGER pertain

AFFILIATED ORGANIZATIONS

25. Add the following organization(s)

26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization

Signature: ON FILE Date: Aug 14, 2007

Printed Name and Title: -