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Washington, DC 20510

SECRETARY OF THE SENATE

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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name R. Duffy Wall & Associates, Inc.			
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address 601 13th Street, N.W. Suite 410 South City Washington State/Zip (or Country) DC 20005			
3. Principal Place of Business (if different from line 2) City _____ State/Zip (or Country) _____			
4. Contact Name Daniel Scherder		Telephone (202) 737-0100	E-mail (optional) _____
5. Senate ID # 40362-404		6. House ID # 30174050	
7. Client Name <input type="checkbox"/> Self James River Coal Company		8. House ID # 30174050	

TYPE OF REPORT 8. Year 1999 Midyear (January 1-June 30) ☒ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ >> Termination Date _____ 11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input checked="" type="checkbox"/> \$10,000 or more <input type="checkbox"/> >> \$ _____ Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	13. Organizations EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> >> \$ _____ Expenses (nearest \$20,000) 14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options. <input type="checkbox"/> Method A. Reporting amounts using LDA definitions only <input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature _____ Date 08/09/1999

Printed Name and Title Bill K. Brewster - Chairman Page 1 of 4

Registrant Name: R. Duffy Wall & Associates, Inc.

Client Name: James River Coal Company

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code ENG (one per page)
16. Specific Lobbying issues
Environmental issues in utility restructuring legislation

17. House(s) of Congress and Federal agencies contacted ☐ Check if None
- Council of Economic Advisors
Council of Environmental Quality
Department of Commerce
Department of Energy
Department of State
Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Johnston, Ann Thomas</u>	<u>Legislative Assistant, Rep. Richard Burr</u>	<u>Yes</u>
<u>Scherder, Daniel</u>		<u>No</u>
<u>Shaw, Emily</u>	<u>Chief of Staff, Rep. Tauzin</u>	<u>No</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature _____ Date 08/09/1999

Printed Name and Title Bill K. Brewster - Chairman Page 2 of 4

Registrant Name: R. Duffy Wall & Associates, Inc.

Client Name: James River Coal Company

Item	Description	Data
17a	House of Congress/Agencies	House of Representatives
17a	House of Congress/Agencies	Senate

Registrant Name: R. Duffy Wall & Associates, Inc.

Client Name: James River Coal Company

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code ENV (one per page)
16. Specific Lobbying issues
Environmental issues in utility restructuring legislation

17. House(s) of Congress and Federal agencies contacted ☐ Check if None
Department of Energy
Environmental Protection Agency
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Johnston, Ann Thomas</u>	<u>Legislative Asstant, Rep. Richard Burr</u>	<u>Yes</u>
<u>Scherder, Daniel</u>		<u>No</u>
<u>Shaw, Emily</u>	<u>Chief of Staff, Rep. Tauzin</u>	<u>No</u>

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Bill K. Brewster Date 08/09/1999

Printed Name and Title Bill K. Brewster - Chairman Page 4 of 4