

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF THE SENATE

03 FEB 26 PM 2: 55

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

| | | | |
|---|--|------------------------|----------------|
| 1. Registrant Name | | | |
| Union Pacific Corporation | | | |
| 2. Address <input type="checkbox"/> Check if different than previously reported | | | |
| 600 Thirteenth Street, N.W. #340, Washington, D.C. 20005 | | | |
| 3. Principal Place of Business (if different from line 2) | | | |
| City: | | State/Zip (or Country) | |
| 4. Contact Name | Telephone | E-mail (optional) | 5. Senate ID # |
| Mary E. McAuliffe | 202-662-0110 | marymcauliffe@up.com | 38995-12 |
| 7. Client Name | <input checked="" type="checkbox"/> Self | | 6. House ID # |
| | | | 32017000 |

TYPE OF REPORT 8. Year 2002 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇒ Termination Date _____

11. No Lobbying

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13**12. Lobbying Firms**

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000

\$10,000 or more ⇒ \$ _____
Income (nearest \$20,000)

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000

\$10,000 or more ⇒ \$ 1,769,170
Expenses (nearest \$)

14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of

Method A. Reporting amounts using LDA definition

Method B. Reporting amounts under section 6033 Internal Revenue Code

Method C. Reporting amounts under section 162 Internal Revenue Code

Signature _____

Printed Name and Title Mary E. McAuliffe, Vice President - External Relations

LD-2 (REV. 6/98)

Pi

Registrant Name Union Pacific Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the regi engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, p information as requested. Attach additional page(s) as needed.

15. General issue area code RRR (one per page)

16. Specific lobbying issues

- HR1020 - Railroad Track Modernization Act (Support)
- HR2329 - High Speed Rail Investment Act (Monitor)
- S.250 - High Speed Rail Investment Act (Monitor)
- S.298 - Community Rail Line Relocation Assistance Act (Support)
- S.1103 - Railroad Competition Act of 2001 (Oppose)
- HR2950 - The Rail Infrastructure Development & Expansion Act (Support)
- S.1530 - Railroad Advancement & Infrastructure Law of 21st Century (Monitor)
- S.1550 - A bill to provide for rail safety and security assistance (Monitor)
- HR2654 - Transit Rail Accommodations Improvement & Needs Act (Oppose)

17. House(s) of Congress and Federal agencies contacted Check if None
 U.S. House of Representatives
 U.S. Senate
 U.S. Department of Transportation
 The White House

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|------------------------|---|
| Mary E. McAuliffe | |
| Michael A. Rock | |
| Prentiss W. Bolin, Jr. | |
| Katherine W. Maness | |
| Susan Auther Andres | |
| Christian W. Ferry | |
| Joseph R. Bateman, Jr. | |

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title Mary E. McAuliffe, Vice President - External Relations

Page

Registrant Name Union Pacific Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the regi engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, pi information as requested. Attach additional page(s) as needed.

15. General issue area code RRR (#2) (one per page)

16. Specific lobbying issues

- S.1220 - Rail Track Modernization Act (Support)
- S.1528 - The Rail Transportation Safety & Security Act (Monitor)
- S.1871 - To Direct the Secy of Transportation to Conduct Rail Security Risk Assessm
(oppose money for railroads)
- HR5020 - Emergency Directed Service Act (oppose)
- HR4761 - Railroad Safety Reform Act of 2002 (oppose)
- HR4545 - Amtrak Reauthorization Act of 2002 (monitor)
- S.2245 - Railroad Competition, Arbitration, & Service Act of 2002 (oppose)
- S.1991 - National Defense Rail Act (monitor; oppose amendments to franchise Amtrak

17. House(s) of Congress and Federal agencies contacted Check if None

- U.S. House of Representatives
- U.S. Senate
- U.S. Department of Transportation
- The White House

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|------------------------|---|
| Mary E. McAuliffe | |
| Michael A. Rock | |
| Prentiss W. Bolin, JR. | |
| Katherine W. Maness | |
| Susan Auther Andres | |
| Christian W. Ferry | |
| Joseph R. Bateman, Jr. | |

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title Mary E. McAuliffe, Vice President - External Relations

Doc

Registrant Name Union Pacific Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code RRR (#3) (one per page)

16. Specific lobbying issues

- S.1958 - Rail Passenger Service Improvement Act (monitor)
- HR5005/S.2452 - Homeland Security Act of 2002 (support)
- HR2215 - DOJ Authorization Bill Conference Report (support provision to allow rail police to attend FBI Academy)
- Administration Rail Safety Proposal (monitor)
- Reauthorization of TEA-21 - Section 130 Grade Crossing Program (support); Increase truck size and weights (oppose); Commuter rail access to freight lines (oppose); Monitor various projects in California, Illinois, and Texas

17. House(s) of Congress and Federal agencies contacted Check if None

- U.S. House of Representatives
- U.S. Senate
- U.S. Department of Transportation
- The White House

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|------------------------|---|
| Mary E. McAuliffe | |
| Michael A. Rock | |
| Prentiss W. Bolin, Jr. | |
| Katherine W. Maness | |
| Susan Auther Andres | |
| Christian W. Ferry | |
| Joseph R. Bateman, JR. | |

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title Mary E. McAuliffe - Vice President, External Relations

Pac

Registrant Name Union Pacific Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the regi engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, p information as requested. Attach additional page(s) as needed.

15. General issue area code ENG (one per page)

16. Specific lobbying issues

- S.388 - National Energy Security Act (Support)
- HR4 - SAFE Act of 2001 (Support repeal of railroad diesel tax; support funding of technology to reduce diesel emissions; oppose further CAFE standards; overall support of the bill)
- S.597 - SAFE Act of 2001 (Support)
- HJ Res 87/SJ Res 34 - Yucca Mountain Development Bill (Monitor)

17. House(s) of Congress and Federal agencies contacted Check if None

- U.S. House of Representatives
- U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|------------------------|---|
| Mary E. McAuliffe | |
| Michael A. Rock | |
| Prentiss W. Bolin, Jr. | |
| Susan Auther Andres | |
| | |
| | |
| | |
| | |

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title Mary E. McAuliffe, Vice President - External Relations

Page

Registrant Name Union Pacific Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the reg engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, p information as requested. Attach additional page(s) as needed.

15. General issue area code ENV (one per page)

16. Specific lobbying issues

HR2323 - National Electricity and Environmental Technology Act (Support)

17. House(s) of Congress and Federal agencies contacted

Check if None

U.S. House of Representatives
United States Senate

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|------------------------|---|
| Mary E. McAuliffe | |
| Michael A. Rock | |
| Prentiss W. Bolin, Jr. | |
| Susan Auther Andres | |
| | |
| | |
| | |
| | |
| | |

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature _____ Date _____

Printed Name and Title Mary E. McAuliffe, Vice President - External Relations

Page

Registrant Name Union Pacific Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the regi engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, p information as requested. Attach additional page(s) as needed.

15. General issue area code TRA (one per page)

16. Specific lobbying issues

- HR141 - Surface Transportation Board Reform Act of 2001 (Oppose)
- S.1669 - A Bill to Authorize Appropriations for Hazardous Material Transportation S (monitor)
- HR3276 - See S.1669 for description (Monitor)
- S.1602 - Chemical Security Act of 2001 (monitor)
- HR3210 - Terrorism Risk Protection Act (Support)
- HR2983 - Price Anderson Reauthorization Act (monitored; worked to add clarifying a & opposed amendment offered by Reps. Dingell & Tauzin concerning willful

Also monitored in Senate/Administration similar bills to HR3210/HR2983 re: insuran
17. House(s) of Congress and Federal agencies contacted Check if None terrorist attac

- U.S. House of Representatives
- U.S. Senate
- U.S. Department of Transportation

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|------------------------|---|
| Mary E. McAuliffe | |
| Michael A. Rock | |
| Prentiss W. Bolin, Jr. | |
| Susan Auther Andres | |
| Christian W. Ferry | |
| | |

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title Mary E. McAuliffe, Vice President - External Relations

D-2

Registrant Name Union Pacific Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and providing information as requested. Attach additional page(s) as needed.

15. General issue area code TRA (#2) (one per page)

16. Specific lobbying issues

West Coast Port Strike - urge White House intervention
Support Roger Nober's nomination to the Surface Transportation Safety Board
S.2950/HR4466 - National Transportation Safety Board Reauthorization Act of 2002
S.1214 - Maritime Transportation Security Act of 2002 (monitor); opposed user fee
S.3162 - Nuclear Waste Transportation Security Act of 2002 (monitor)
HR2586 - Defense Authorization Bill Conference Report - support 1-year extension of
Price Anderson Act

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
United States Senate
The White House

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|------------------------|---|
| Mary E. McAuliffe | |
| Michael A. Rock | |
| Prentiss W. Bolin, Jr. | |
| Susan Auther Andres | |
| Christian W. Ferry | |
| | |

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date 2/14/03

Printed Name and Title Mary E. McAuliffe, Vice President - External Relations

Page

Registrant Name Union Pacific Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

- HR1024 - Transportation Tax Equity and Fairness Act (support)
- HR4 - SAFE Act of 2001 (support repeal of rr diesel tax; support funding of new technologies to reduce diesel emissions; oppose further CAFE standards; overall support of bill)
- S.597 - SAFE Act of 2001 (support)
- S.661 - Transportation Tax Equity and Fairness Act (support)
- HR3529 - Economic Stimulus Bill (support)
- HR4/S.517 - Energy Conference (repeal of 4.3 cent diesel fuel tax; funds for locomotive fuel efficiency research - SUPPORT)

17. House(s) of Congress and Federal agencies contacted Check if None

- U.S. House of Representatives
- U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|------------------------|---|
| Mary E. McAuliffe | |
| Michael A. Rock | |
| Prentiss W. Bolin, Jr. | |
| Susan Auther Andres | |
| | |
| | |
| | |
| | |
| | |

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title Mary E. McAuliffe, Vice President - External Relations

Form 100

Page

Registrant Name Union Pacific Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the reg engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code LBR (one per page)

16. Specific lobbying issues

- HR3763 - Corporate Accountability Law (monitor)
- HR5242 - Workplace Employee Stock Option Act (monitor)

17. House(s) of Congress and Federal agencies contacted

Check if None

U.S. House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|------------------------|---|
| Mary E. McAuliffe | |
| Michael A. Rock | |
| Prentiss W. Bolin, Jr. | |
| Susan Auther Andres | |
| | |

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature _____ Date _____

Printed Name and Title Mary E. McAuliffe, Vice President - External Relations

5-10-2014

Page

Registrant Name Union Pacific Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the regi engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, p information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

HR2563/S.1052 - Patients Bill of Rights (monitor Floor Activity)

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|-------------------------------|---|
| <u>Mary E. McAuliffe</u> | |
| <u>Prentiss W. Bolin, Jr.</u> | |
| <u>Susan Auther Andres</u> | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title Mary E. McAuliffe, Vice President - External Relations

Pao

Registrant Name Union Pacific Corporation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

HR4775/S.2551 - Supplemental Appropriations FY2002 (monitor)

S.2808 - DOT Appropriations Act for 2003 (Monitor)

HR5559 - DOT Appropriations Act for 2003 (monitor);

17. House(s) of Congress and Federal agencies contacted Check if None

**U.S. House of Representatives
United States Senate**

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|-------------------------------|---|
| <u>Mary E. McAuliffe</u> | |
| <u>Prentiss W. Bolin, Jr.</u> | |
| | |
| | |
| | |
| | |
| | |
| | |

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Mary E. McAuliffe* Date 2/14/05

Printed Name and Title Mary E. McAuliffe, Vice President - External Relations

Form LD-2 (Rev. 6/98)

Page