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LOBBYING REP
07 JUN 14 PM 2:01

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name ☐ Organization ☒ Individual

Prefix Mr. First Peter A. Last Carroll

2. Address ☒ Check if different than previously reported

Address1 28691 Hope Circle Address2 _____
City Easton State MD Zip Code 21601 - Co _____

3. Principal place of business (if different than line 2)

City _____ State _____ Zip Code _____ - Co _____

4a. Contact Name

b. Telephone Number

c. E-mail

Mr. Peter A. Carroll

☐ International Number

(410) 822-4830

pcarroll@goeaston.net

5. Se

517

7. Client Name ☐ Self

Caterpillar INC

6. Hc

348

TYPE OF REPORT

8. Year 2006

Midyear (January 1-June 30) ☒

Year End (July 1-Dec)

9. Check if this filing amends a previously filed version of this report ☒

10. Check if this is a Termination Report ☐ Termination Date _____

11. No Lobbying Ac

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000 ☐

\$10,000 or more ☒

\$ 12,000.00

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSE relating to lobbying activities for this were:

Less than \$10,000 ☐

\$10,000 or more ☐

\$ _____

14. REPORTING

Check box to indicate

accounting method. See instructions for descriptive

☐ Method A. Reporting amounts using LDA definition

☐ Method B. Reporting amounts under section 6032 Internal Revenue Code

☐ Method C. Reporting amounts under section 162 Internal Revenue Code

Signature

Peter Carroll

Date

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Printed Name and Title Peter A. Carroll

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Registrant CarrollClient Name Caterpillar Inc.

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code **ENG** Energy/Nuclear (one per page)

16. Specific lobbying issues

Gas Engine Programs and Distributed Energy Systems

17. House(s) of Congress and Federal agencies ☐ Check if None ☒ House ☒ Senate

Department of Energy
Energy and Water Sub Committees
EPA

18. Name of each individual who acted as a lobbyist in this issue area

Name			Covered Official Position (if applicable)
First	Last	Suffix	
<u>Peter A.</u>	<u>Carroll</u>		

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title Peter A. Carroll

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