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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name BEN BARNES			
2. Address <input type="checkbox"/> Check if different than previously reported 98 SAN JACINTO BLVD, STE 250			
3. Principal Place of Business (if different from line 2) City: AUSTIN State/Zip (or Country) TEXAS 78701			
4. Contact Name BEN BARNES	Telephone (512) 322-0128	E-mail (optional)	5. Senate ID #
7. Client Name <input type="checkbox"/> Self THE ROCK ISLAND SECURITIES COMPANY, INC.			6. House ID #

TYPE OF REPORT 8. Year 2002 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇨ Termination Date _____

11. No Lobbying

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p style="text-align: center;">12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>30,000.00</u> Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p style="text-align: center;">13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Expenses (nearest \$20,000)</p> <p>14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definition</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 603 Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162 Internal Revenue Code</p>
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Signature

Ben Barnes

Printed Name and Title

BEN BARNES

Registrant Name BEN BARNES Client Name THE ROCK ISLAND SECURITIES COMPANY, II

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

HELPING THEM SOLVE ISSUES RELATING TO FINANCIAL SERVICES COMPANIES.

17. House(s) of Congress and Federal agencies contacted Check if None

SENATE

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
BEN BARNES	
WYETH WIEDEMAN	
JOHN WYMA	
PATSY THOMASSON	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Ben Barnes* Date 1-13-03

Printed Name and Title BEN BARNES

