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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

| | | | |
|--|-----------------------------|----------------------------|--------------------------|
| 1. Registrant Name Philip S. Smith & Associates, Inc. | | | |
| 2. Address <input checked="" type="checkbox"/> Check if different than previously reported 611 Pennsylvania Ave. S.E. Suite 381, Washington, D.C. 20003 | | | |
| 3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____ | | | |
| 4. Contact Name Philip S. Smith | Telephone (202) 543-1444 | E-mail (optional) _____ | 5. Senate ID # 48257- |
| 7. Client Name <input checked="" type="checkbox"/> Self | | | 6. House ID # 3448- |

TYPE OF REPORT 8. Year 2002 Midyear (January 1-June 30) OR Year End (July 1-Dec)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇒ Termination Date _____

11. No Lobbying

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms
INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000

\$10,000 or more ⇒ \$ _____
Income (nearest \$20,000)

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000

\$10,000 or more ⇒ \$ 40,000.
Expenses (nearest \$20,000)

14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of

Method A. Reporting amounts using LDA definition

Method B. Reporting amounts under section 6033 Internal Revenue Code

Method C. Reporting amounts under section 162 Internal Revenue Code

Signature

Philip S. Smith, Pres. 7-24-02

Printed Name and Title Philip S. Smith, President

LD-2 (REV. 6/98)

PA

Registrant Name Philip S. Smith & Assoc, Inc Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FOR (one per page)

16. Specific lobbying issues

In Support Of The "Loya Jirga" (Grand Assembly) Process to promote democracy and a post-Taliban Afghanistan.

17. House(s) of Congress and Federal agencies contacted Check if None

- U.S. House of Representatives
- U.S. Senate
- U.S. Department of State
- U.S. Department of Defense
- U.S. CIA
- U.S. AID

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|------------------------|---|
| <u>Philip S. Smith</u> | <u>N/A</u> |
| <u>Ms. Chia Kue</u> | <u>N/A</u> |
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19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Philip S. Smith, Pres.* Date 7-24-0

Printed Name and Title Philip S. Smith, President

Registrant Name Philip S. Smith & ASSO Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the r engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code FOR (one per page)

16. Specific lobbying issues

In support of increased funding for the reconstruction and development of a post-Taliban Afghanistan under the Karzai Administration and the Afghanistan Interim Government(Authorization & Appropriations, All Titles).

Afghanistan Freedom and Reconstruction Act of 2001 (H.R. 3427; All Titles).

17. House(s) of Congress and Federal agencies contacted Check if None

- U.S. House of Representatives
- U.S. Senate
- U.S. Department of State
- U.S. AID
- U.S. Department of Defense
- CIA

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|-----------------|---|
| Philip S. Smith | N/A |
| Chia Kue | N/A |
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19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Philip S. Smith, Pres Date 7-24-02

Printed Name and Title Philip S. Smith, President

Form LD-2 (Rev. 6/98)

Page 4

Registrant Name Philip S. Smith & Assoc Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code FOR (one per page)

16. Specific lobbying issues

Urging the U.S. Congress to support the "Loya Jirga" Process to seek to restore democracy and human rights to the people of Afghanistan.

Urging support for various bipartisan U.S. Congressional letters regarding humanitarian assistance, human rights and other matters regarding Afghanistan.

17. House(s) of Congress and Federal agencies contacted

Check if None

- U.S. House of Representatives
- U.S. Senate
- U.S. Department of State
- U.S. Department of Defense
- Central Intelligence Agency
- U.S. AID
- Others

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|-----------------|---|
| Philip S. Smith | N/A |
| Chia Kue | N/A |
| Lisa Kue | N/A |
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19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature *Philip S. Smith, Pres.* Date 7-24-0
 Printed Name and Title Philip S. Smith, President

Registrant Name Philip S. Smith & Assoc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cot information as requested. Attach additional page(s) as needed.

15. General issue area code FOR (one per page)

16. Specific lobbying issues

Urging the U.S. Congress to press for, and help provide, U.S. military and covert assistance, as well as emergency humanitarian aid, to the Northern Alliance and other anti-Taliban regime groups in Afghanistan.

H.R. 1646, Foreign Relations Authorization Act, Fiscal Years 2 and 2003 (All Titles).

17. House(s) of Congress and Federal agencies contacted Check if None

- U.S. House of Representatives
- U.S. Senate
- U.S. State Department
- U.S. Department of Defense
- U.S. Central Intelligence Agency
- U.S. AID

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|-----------------|---|
| Philip S. Smith | N/A |
| Chia Kue | N/A |
| Lisa Kue | N/A |
| Philip J. Smith | N/A |
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19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Philip S. Smith, President Date 7-24-

Printed Name and Title Philip S. Smith, President

Registrant Name Philip S. Smith & Assoc, Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code : FOR (one per page)

16. Specific lobbying issues

In support of the creation of Radio Free Afghanistan and Congressional Oversight and implementation of the Radio Free Afghanistan Act of 2001 (H.R. 2998/S. 1779; All Titles).

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives

U.S. Senate

U.S. Department of State

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|------------------------|---|
| <u>Philip S. Smith</u> | <u>N/A</u> |
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19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Philip S. Smith, Pres. Date 7-24-02
Printed Name and Title Philip S. Smith, President

Registrant Name Philip S. Smith & Associates Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FOR (one per page)

16. Specific lobbying issues

Sudan Peace Act, H.R. 2052, S. 180, All Titles.
H.R. 2069 & S. Con. Res. 53, Regarding Sub-Saharan Africa, All Titles.

H.R. 2069, Global Access to HIV & AIDS Prevention, Awareness, Education and Treatment Act, All Titles.

S. Con. Res. 34, To Congratulate the Baltic Nations of Estonia, Latvia & Lithuania on the 10th Anniversary of the end of their incorporation into the U.S. House(s) of Congress and Federal agencies contacted Check if None

- U.S. House
- U.S. Senate
- U.S. Department of State
- U.S. AID

Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|-----------------|---|
| Philip S. Smith | NA |
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19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Philip S. Smith, Pres Date 7-24-06

Registrant Name Philip Smith & Assoc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code. Information as requested. Attach additional page(s) as needed.

15. General issue area code FOR (one per page)

16. Specific lobbying issues

H. Con. Res. 15 (S. Con. Res. 6), Expressing sympathy for the victims of the devastating earthquake that struck India on January 26, 2001, and support for ongoing aid efforts.

S. 1401 & H.R. 1646, Foreign Relations Authorization Act for FY 2002 & FY 2003, All Titles.

17. House(s) of Congress and Federal agencies contacted

Check if None

- U.S. House of Representatives
- U.S. Senate
- U.S. Department of State
- USAID

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|-----------------|---|
| Philip S. Smith | NA |
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19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature Philip S. Smith, Pres. Date 7-24-01

Registrant Name Philip S. Smith & Assoc, Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FOR (one per page)

16. Specific lobbying issues

In opposition to funding of Nam Theun II Dam Project in Laos until certain basic conditions are met by the Communist regime, including political, human rights and economic reforms and progress toward an open society and the rule of law.

17. House(s) of Congress and Federal agencies contacted Check if None

- U.S. House of Representatives
- U.S. Senate
- U.S. Department of State

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|------------------------|---|
| <u>Philip S. Smith</u> | <u>N/A</u> |
| <u>Chis Kue</u> | <u>N/A</u> |
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19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Philip S. Smith, Pres* Date 7-24-02
 Printed Name and Title Philip S. Smith, President

Registrant Name Philip S. Smith & Assoc, Inc Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FOR (one per page)

16. Specific lobbying issues

Congressional Oversight and Implementation of the "Religious Freedom Act", especially with regard to the plight of persecuted religious minorities in Southeast Asia, South Asia, the Middle East and Africa.

17. House(s) of Congress and Federal agencies contacted Check if None

- U.S. House of Representatives
- U.S. Senate
- U.S. Department of State
- U.S. Commission on Religious Freedom

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|------------------------|---|
| <u>Philip S. Smith</u> | <u>N/A</u> |
| <u>Chia Kue</u> | <u>N/A</u> |
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19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Philip S. Smith, Pres* Date 7-24-11
Printed Name and Title Philip S. Smith, President

Registrant Name Philip S. Smith & Assoc. Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which th engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each co information as requested. Attach additional page(s) as needed.

15. General issue area code DEF (one per page)

16. Specific lobbying issues

Issues regarding counter-terrorism and increased Congressional support for counter-terrorism efforts.

Urging the U.S. Congress to press for, and help provide, U.S. military and covert assistance, as well as emergency humanitar aid, to the Northern Alliance and other anti-Taliban regime groups in Afghanistan.

17. House(s) of Congress and Federal agencies contacted.

Check if None

- U.S. House of Representatives
- U.S. Senate
- U.S. State Department
- U.S. Department of Defense
- U.S. Central Intelligence Agency
- U.S. AID

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|-----------------|---|
| Philip S. Smith | N/A |
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19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature *Philip S. Smith, Pres.* Date 7/24

Printed Name and Title Philip S. Smith, President

Registrant Name Philip Smith & Assoc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide the following information as requested. Attach additional page(s) as needed.

15. General issue area code DEF (one per page)

16. Specific lobbying issues

H.R. 2586 (H.Res. 246 S. 1416 S. 1438) To authorize appropriations for fiscal year 2002 for military activities of the Department of Defense and for other purposes.

Intelligence Authorization Act for FY 2003, All Titles.

17. House(s) of Congress and Federal agencies contacted Check if None

- US House of Representatives
- US Senate
- US Department of Defense
- US Department of State
- Central Intelligence Agency

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|-----------------|---|
| Philip S. Smith | NA |
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19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature Philip S. Smith, Pres Date 7-24-01

Registrant Name Philip S. Smith & Assoc. Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the regist engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, pro information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific lobbying issues

In support of extending Normalized Trade Relations (NTR) to the Karzai Administration and the Afghanistan Interim Government following the ousting of the Taliban regime and its Al Qaida supporters.

17. House(s) of Congress and Federal agencies contacted Check if None

- U.S. House of Representatives
- U.S. Senate
- U.S. Department of Commerce
- USTR
- USAID
- U.S. Department of State

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|-----------------|---|
| Philip S. Smith | N/A |
| Chia Kue | N/A |
| Lisa Kue | N/A |
| Philip J. Smith | N/A |
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19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Philip S. Smith, Pres* Date 7-24-0

Printed Name and Title Philip S. Smith, President

Registrant Name Philip S. Smith & Associates Agent Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which th engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each co information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific lobbying issues

Urging the U.S. Congress to continue to deny Normal Trade Relations (NTR) status to the Lao Peoples Democratic Republic (LPDR).

17. House(s) of Congress and Federal agencies contacted Check if None

- U.S. House of Representatives
- U.S. Senate
- U.S. Department of State
- U.S. Department of Commerce

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|-----------------|---|
| Philip S. Smith | N/A |
| Chia Kue | N/A |
| Lisa Kue | N/A |
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19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Philip S. Smith, Pres* Date 7-2

Printed Name and Title Philip S. Smith, President

Registrant Name Philip Smith & Assoc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code VET (one per page)

16. Specific lobbying issues

Congressional oversight regarding the implementation of the Hmong Veterans Naturalization Act (PL 106-207; H.R. 371 & SS.890).

Educating Members of Congress and Administration officials about the critical role of the Hmong and Laotian people in assisting U.S. military and clandestine forces during the Vietnam War.

Congressional Oversight regarding implementation of the "Bruce Vent

17. House(s) of Congress and Federal agencies contacted Check if None Hmong Veterans Naturalization Act."

- U.S. House of Representatives
- U.S. Senate
- U.S. Department of Veterans Affairs
- U.S. Department of Justice
- Immigration & Naturalization Service
- U.S. Department of Defense
- U.S. Central Intelligence Agency
- Others

Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|--------------------------|---|
| Philip S. Smith | NA |
| Chia Kue | NA |
| Lisa Kue | NA |
| Chris Johnson, Esq. | NA |
| B. Jenks Middleton, Esq. | NA |
| Lawrence Devlin | NA |
| Philip J. Smith | NA |

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Philip S. Smith* Date 7-24-0

Registrant Name Philip S. Smith & Assoc Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code IMM (one per page)

16. Specific lobbying issues

Urging increased humanitarian assistance to Afghan refugees Pakistan and internally displaced inside Afghanistan.

In support of political asylum for political prisoners and persecuted asylum seekers from South East Asia and other regions, especially Asia, Africa and the Middle East.

17. House(s) of Congress and Federal agencies contacted

Check if None

U.S. House of Representatives
 U.S. Senate
 U.S. Department of State
 INS
 USAID

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|-----------------|---|
| Philip S. Smith | N/A |
| Chia Kue | N/A |
| Lisa Kue | N/A |
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19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature *Philip S. Smith* Date 7-24-02
 Printed Name and Title Philip S. Smith, President

Registrant Name Philip S. Smith & Assoc. Inc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code IMM (one per page)

16. Specific lobbying issues

Congressional Oversight regarding implementation of The Hmong Veterans Naturalization Act (PL-106-207; H.R. 371)

Congressional Oversight regarding implementation of the Bruce Vento Hmong Veterans Naturalization Extension Act (H.R. 2690/S. 1467 as incorporated into public law), All Titles.

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives

U.S. Senate

U.S. Department of Justice

U.S. Department of Defense

CIA
U.S. Department of State

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Covered Official Position (if applicable) |
|-----------------------|---|
| Philip S. Smith | N/A |
| Chia Kue | N/A |
| Lisa Kue | N/A |
| Capt. Pappy Hicks | N/A |
| Chris Johnson, Esq. | N/A |
| Jenks Middleton, Esq. | N/A |
| Lawrence Devlin | N/A |

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Philip S. Smith, Pres.* Date 7-24-0
 Printed Name and Title Philip S. Smith, President

