

Clerk of the House of Representatives
 Legislative Resource Center
 B-106 Cannon Building
 Washington, DC 20515

Secretary of the Senate
 Office of Public Records
 232 Hart Building
 Washington, DC 20510

SECRETARY OF THE SENATE
 01 FEB 14 PM 1:03

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name PG&E CORPORATION			
2. Address <input type="checkbox"/> Check if different than previously reported 77 BEALE ST., P.O. BOX 770000, MAIL CODE: B-28E SAN FRANCISCO, CA 94120-7442			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name Steven S. Lucas Atty./Agent for Filer	Telephone (415) 389-6800	E-mail (optional)	5. Senate ID # 30518-12
7. Client Name <input checked="" type="checkbox"/> Self	6. House ID # 30110000		

TYPE OF REPORT S. Year 2000 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report → Termination Date _____

11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p>12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ <small>Income (nearest \$20,000)</small></p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p>13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>1,160,000.00</u> ** <small>Expenses (nearest \$20,000)</small></p> <p>14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input checked="" type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p>
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Signature SEE LAST PAGE

Printed Name and Title _____

LD-2 (REV. 6/98)

** INDICATES AMENDED INFORMATION

PAGE 1 of 26

Registrant Name PG&E Corporation Client Name N/A

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues
SEE ATTACHMENT

17. House(s) of Congress and Federal agencies contacted Check if None
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Thomas M. Hill</u>	<u>N/A</u>	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature SEE LAST PAGE Date _____

Printed Name and Title _____

Registrant: PG&E Corporation Client Name: N/A

15. General issue area code BUD (con't.) (Page 2 of 2)

16. Specific lobbying issues

H.R. 2605: Energy and Water Development Appropriations
Act of 2000; Provisions relating to funding
for nuclear waste program.

Registrant Name PG&E Corporation Client Name N/A

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CAW (one per page)

16. Specific lobbying issues
SEE ATTACHMENT

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Megan Smith Janis</u>	<u>N/A</u>	<input type="checkbox"/>
<u>Steven L. Kline</u>	<u>N/A</u>	<input type="checkbox"/>
<u>Richard Carter</u>	<u>N/A</u>	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature SEE LAST PAGE Date _____

Printed Name and Title _____

Registrant: PG&E Corporation Client Name: N/A

15. General issue area code CAW (con't.) (Page 2 of 2)

16. Specific lobbying issues

H.R. 2520: Credit for Voluntary Actions Act; All provisions of the bill.

S. 547: Credit for Voluntary Reduction Act; All provisions of the bill.

S. 882: Energy and Climate Policy Act of 1999; All provisions of the bill.

S. 2591: Alternative Fuels Tax Incentives Act; All provisions of the bill.

Registrant Name PG&E Corporation Client Name N/A

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code ENG (one per page)

16. Specific lobbying issues
SEE ATTACHMENT

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate
Department of Energy

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Richard Carter	N/A	<input type="checkbox"/>
Paulette C. Pidcock	N/A	<input type="checkbox"/>
Megan Smith Janis	N/A	<input type="checkbox"/>
Steven L. Kline	N/A	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature SEE LAST PAGE Date _____

Printed Name and Title _____

Registrant Name: PG&E Corporation

Client Name: N/A

15. General issue area code ENG (con't.) (Page 2 of 4)

16. Specific lobbying issues:

S. 161: Power Marketing Administration Reform Act of 1999; Provisions relating to providing competition in the electric utility industry.

S. 292: Transition to Competition in the Electric Industry Act; Provisions relating to providing competition in the electric utility industry.

S. 516: Electric Utility Restructuring Empowerment and Competitiveness Act of 1999; Provisions relating to providing competition in the electric utility industry.

S. 1048: Comprehensive Electricity Competition Tax Act; All provisions of the bill; Provisions relating to providing competition in the electric utility industry.

S. 1273: Federal Power Act Amendments of 1999; All provisions of the bill; Provisions relating to providing competition in the electric utility industry.

S. 1284: Electric Consumers Choice Act; All provisions of the bill; Provisions relating to providing competition in the electric utility industry.

S. 2071: Electric Reliability 2000 Act; All provisions of the bill; Provisions relating to providing competition in the electric utility industry.

S. 2098: Electric Power Market Competition and Reliability Act/Public Utility Holding Company Act of 1999; Provisions relating to providing competition in the electric utility industry.

S. 2438: King & Tsiorvas Pipeline Safety Improvement Act of 2000; All provisions of the bill.

Registrant Name: PG&E Corporation

Client Name: N/A

15. General issue area code ENG (con't.) (Page 3 of 4)

16. Specific lobbying issues:

H.R. 1253: A bill to amend Internal Revenue Code of 1986 to restrict the use of tax-exempt financing by governmentally owned electric utilities and to subject certain activities of such utilities to income tax; All provisions of the bill.

H.R. 1587: Electric Energy Empowerment Act of 1999; Provisions relating to providing competition in the electric utility industry.

H.R. 2050: Ratepayer Protection Act of 1999/Public Utility Holding Company Act of 1999/Electric Consumers' Power to Choose Act of 1999; All provisions of the bill; Provisions relating to providing competition in the electric utility industry.

H.R. 2363: Public Utility Holding Company Act of 1999; Provisions relating to providing competition in the electric utility industry.

H.R. 2464: Credit for Voluntary Actions Act; All provisions of the bill.

H.R. 2569: Fair Energy Competition Act of 1999; All provisions of the bill.

H.R. 2718: Brownfields Remediation Waste Act; All provisions of the bill; Superfund Brownfield RCRA; All provisions relating to gas, environment and safety.

H.R. 2734: Community Choice for Electricity Act of 1999; All provisions of the bill.

H.R. 2786: Interstate Transmission Act; All provisions of the bill.

H.R. 2944: Electricity Competition & Reliability Act; All provisions of the bill.

Registrant Name: PG&E Corporation

Client Name: N/A

15. General issue area code ENG (con't.) (Page 4 of 4)

16. Specific lobbying issues:

H.R. 4849: Pipeline Safety Improvement Act of 2000; All provisions of the bill.

S. 386/

H.R. 721: Bond Fairness and Protection Act of 1999; All provisions of the bill.

S. 740/

H.R. 2335: Hydroelectric Re-licensing Process Improvement Act of 1999; All provisions of the bill.

S. 1000/

H.R. 1713: A bill to amend the Internal Revenue Code of 1986 to treat certain dealer derivative financial instruments, hedging transactions, and supplies as ordinary assets; All provisions of the bill.

S. 1047/

H.R. 1828: Comprehensive Electricity Competition Act; All provisions of the bill; Provisions relating to providing competition in the electric utility industry.

S. 2004/

H.R. 3558: Pipeline Safety Act of 2000; All provisions of the bill.

S. 2409/:

H.R. 4276: Pipeline Safety and Community Protection Act of 2000; All provisions of the bill.

PG&E Utility and hydro divestiture issues and general hydro issues.

General issues regarding energy efficiency, including LIHEAP.

Registrant Name PG&E Corporation Client Name N/A

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code ENV (one per page)

16. Specific lobbying issues

SEE ATTACHMENT

17. House(s) of Congress and Federal agencies contacted Check if None
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Thomas M. Hill</u>	<u>N/A</u>	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature SEE LAST PAGE Date _____

Printed Name and Title _____

Registrant: PG&E Corporation Client Name: N/A

15. General issue area code ENV (con't.) Page 2 of 2

16. Specific lobbying issues

S. 547: Credit for Voluntary Reductions Act;
Provisions relating to voluntary action or
reduction of green house gas emissions.

S. 1047/H.R. 1928: Comprehensive Electricity Competition
Act; All provisions of the bills.

H.R. 2050: Electric Consumers Choice Act; All provisions of
the bill.

S. 1048: Comprehensive Electricity Competition Tax Act;
All provisions of the bill.

Registrant Name PG&E Corporation Client Name N/A

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FUE (one per page)

16. Specific lobbying issues

SEE ATTACHMENT

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Megan Smith Janis	N/A	<input type="checkbox"/>
Steven L. Kline	N/A	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature SEE LAST PAGE Date _____

Printed Name and Title _____

Registrant: PG&E Corporation Client Name: N/A

15. General issue area code FUE (con't.) Page 2 of 2

16. Specific lobbying issues

S. 2004: Pipeline Safety Act of 2000; All provisions of the bill.

S. 2438: King and Tsiorvas Pipeline Safety Improvement Act of 2000; All provisions of the bill.

Registrant Name PG&E Corporation Client Name N/A

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code NAT (one per page)

16. Specific lobbying issues
SEE ATTACHMENT

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
<u>Richard Carter</u>	<u>N/A</u>	<input type="checkbox"/>
<u>Megan Smith Janis</u>	<u>N/A</u>	<input type="checkbox"/>
<u>Steven L. Kline</u>	<u>N/A</u>	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature SEE LAST PAGE Date _____

Printed Name and Title _____

Registrant: PG&E Corporation Client Name: N/A

15. General issue area code NAT (con't.) Page 2 of 2

16. Specific Lobbying issues

H.R. 701: Conservation and Reinvestment Act of 2000;
All provisions of the bill.

S. 740/
H.R. 2335: Hydroelectric Licensing Process Improvement
Act of 1999; All provisions of the bills.

Registrant Name PG&E Corporation Client Name N/A

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues
SEE ATTACHMENT

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate
Department of Energy

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Paulette C. Pidcock	N/A	<input type="checkbox"/>
Steven L. Kline	N/A	<input type="checkbox"/>
Megan Smith Janis	N/A	<input type="checkbox"/>
Thomas M. Hill	N/A	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature SEE LAST PAGE Date _____

Printed Name and Title _____

Registrant Name: PG&E Corporation

Client Name: N/A

15. General issue area code TAX (con't.) (Page 2 of 2)

16. Specific lobbying issues

S. 1047/

H. 1828:

Comprehensive Electricity Competition Act;
All provisions of the bill.

S. 1048:

Comprehensive Electricity Competition Tax
Act; All provisions of the bill.

S. 386/

H.R. 721:

Bond Fairness and Protection Act of 1999; All
provisions of the bill.

S. 2718:

Energy Efficient Buildings Incentives Act;
Provisions of the bill providing tax benefits
for improving energy efficiency.

H.R.

1253:

A bill to amend the Internal Revenue Code of
1986 to restrict the use of tax-exempt
financing by governmentally owned electric
utilities and to subject certain activities
of such utilities to income tax; All
provisions of the bill.

H.R.

2464:

A bill to amend the Internal Revenue Code of
1986 to provide that certain amounts received
by electric energy, gas, or steam utilities
shall be excluded from gross income as
contributions to capital; All provisions of
the bill.

Registrant Name PG&E Corporation Client Name N/A

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code UTI (one per page)

16. Specific lobbying issues

SEE ATTACHMENT

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate
Department of Energy

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Richard Carter	N/A	<input type="checkbox"/>
Thomas M. Hill	N/A	<input type="checkbox"/>
Megan Smith Janis	N/A	<input type="checkbox"/>
Paulette C. Pidcock	N/A	<input type="checkbox"/>
Steven L. Kline	N/A	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature SEE LAST PAGE Date _____

Printed Name and Title _____

Registrant Name: PG&E Corporation

Client Name: N/A

15. General issue area code UTI (con't.) (Page 2 of 5)

16. Specific lobbying issues:

S. 161: Power Marketing Administration Reform Act of 1999; Provisions relating to providing competition in the electric utility industry.

S. 282: Transition to Competition in the Electric Industry Act; Provisions relating to providing competition in the electric utility industry.

S. 516: Electric Utility Restructuring Empowerment and Competitiveness Act of 1999; Provisions relating to providing competition in the electric utility industry.

S. 1048: Comprehensive Electricity Competition Tax Act; All provisions of the bill.

S. 1273: Federal Power Act Amendments of 1999; All provisions of the bill; Provisions relating to providing competition in the electric utility industry.

S. 1284: Electric Consumers Choice Act; All provisions of the bill; Provisions relating to providing competition in the electric utility industry.

S. 2004: Pipeline Safety Act of 2000; All provisions of the bill.

S. 2071: Electric Reliability 2000 Act; All provisions of the bill; Provisions relating to providing competition in the electric utility industry.

S. 2098: Electric Power Market Competition and Reliability Act/Public Utility Holding Company Act of 1999; Provisions relating to providing competition in the electric utility industry.

Registrant Name: PG&E Corporation

Client Name: N/A

15. General issue area code UTI (con't.) (Page 3 of 5)

16. Specific lobbying issues:

- S. 2438: King & Tsiorvas Pipeline Safety Improvement Act of 2000; All provisions of the bill.
- S. 2591: Alternative Fuels Tax Incentives Act; All provisions of the bill.
- S. 2718: Energy Efficient Buildings Incentives Act; All provisions of the bill.
- H.R. 667: The Power Bill; Provisions relating to providing competition in the electric utility industry; provisions relating to the removal of federal impediments to retail competition; Amend Public Utilities Regulatory Policies Act of 1978 (PURPA).
- H.R. 971: Electric Power Consumer Rate Relief Act of 1999; Provisions relating to providing competition in the electric utility industry; Amend Public Utilities Regulatory Policies Act of 1978 (PURPA).
- H.R. 1138: Electric Power Consumer Rate Relief Act of 1999; Provisions relating to providing competition in the electric utility industry; provisions relating to repealing sec. 210 of Public Utilities Regulatory Policies Act of 1978 (PURPA).
- H.R. 1253: A bill to amend Internal Revenue Code of 1986 to restrict the use of tax-exempt financing by governmentally owned electric utilities and to subject certain activities of such utilities to income tax; All provisions of the bill.
- H.R. 1587: Electric Energy Empowerment Act of 1999; Provisions relating to providing competition in the electric utility industry.

Registrant Name: PG&E Corporation

Client Name: N/A

15. General issue area code UTI (con't.) (Page 4 of 5)

16. Specific lobbying issues:

H.R. 2050: Electric Consumers' Power to Choose Act of 1999; All provisions of the bill; Provisions relating to providing competition in the electric utility industry.

H.R. 2363: Public Utility Holding Company Act of 1999; Provisions relating to providing competition in the electric utility industry.

H.R. 2464: Credit for Voluntary Actions Act; All provisions of the bill.

H.R. 2569: Fair Energy Competition Act of 1999; All provisions of the bill.

H.R. 2734: Community Choice for Electricity Act of 1999; All provisions of the bill.

H.R. 2786: Interstate Transmission Act; All provisions of the bill.

H.R. 2944: Electricity Competition & Reliability Act; All provisions of the bill.

S. 386/
H.R. 721: Bond Fairness and Protection Act of 1999; All provisions of the bill.

S. 740/
H.R. 2335: Hydroelectric Re-licensing Process Improvement Act of 1999; All provisions of the bill.

S. 1000/
H.R. 1713: A bill to amend the Internal Revenue Code of 1986 to treat certain dealer derivative financial instruments, hedging transactions, and supplies as ordinary assets; All provisions of the bill.

Registrant Name: PG&E Corporation

Client Name: N/A

15. General issue area code UTI (con't.) (Page 5 of 5)

16. Specific lobbying issues:

S. 1047/

H.R. 1828: Comprehensive Electricity Competition Act;
All provisions of the bill; Provisions
relating to providing competition in the
electric utility industry.

PG&E Utility and hydro divestiture issues and general hydro
issues.

General issues regarding energy efficiency, including
LINEAP.

Registrant Name PG&E Corporation Client Name N/A

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code WAS (one per page)

16. Specific lobbying issues
SEE ATTACHMENT

17. House(s) of Congress and Federal agencies contacted Check if None
House of Representatives
Senate
Department of Energy

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Thomas M. Hill	N/A	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature SEE LAST PAGE Date _____

Printed Name and Title _____

Registrant: PG&E Corporation Client Name: N/A

15. General issue area code WAS (con't.) (Page 2 of 2)

16. Specific lobbying issues

S. 608/

H.R. 45: Nuclear Waste Policy Act of Act of 1999; All provisions relating to utility nuclear waste.

S. 1287:

Nuclear Waste Policy Amendment Act of 2000; All provisions relating to utility nuclear waste.

Registrant Name PG&E Corporation Client Name N/A

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

N/A

21. Client new principal place of business (if different from line 20)

City N/A

State/Zip (or Country)

22. New general description of client's business or activities

N/A

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

N/A

ISSUE UPDATE

24. General lobbying issues previously reported that no longer pertain

N/A

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)
National Energy Group, Inc.	One Market, Spear Tower Suite 2400 San Francisco, CA 94105	San Francisco, CA

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

N/A

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client
N/A				

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

Signature

Date 2/13/01

Printed Name and Title Steven S. Lucas, Attorney/Agent for Filer