

Clerk of the House of Representatives  
Legislative Resource Center  
B-106 Cannon Building  
Washington, D.C. 20515

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, D.C. 20510

SECRETARY OF THE

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# LOBBYING REPORT

Lobby Disclosure Act of 1995 (Section 5) — All Filers Are Required To Complete This Page

|   |                           |                                       |                           |
|---|---------------------------|---------------------------------------|---------------------------|
| 1. Registrant Name<br>Hogan & Hartson L.L.P.  |                           |                                       |                           |
| 2. Address <input type="checkbox"/> Check if different than previously reported<br>Columbia Square, 555 Thirteenth Street, N.W.<br>Washington DC 20004-1109 |                           |                                       |                           |
| 3. Principal Place of Business (if different from line 2)<br>City   |                           | State/Zip (or Country)                |                           |
| 4. Contact Name<br>Peña, Humberto R.  | Telephone<br>202-637-5938 | E-mail (optional)<br>HRPena@HHLAW.com | 5. Senate ID #            |
| 7. Client name <input type="checkbox"/> Self<br>BrokerTec Global, L.L.C.  |                           |                                       | 6. House ID #<br>30470222 |

**TYPE OF REPORT** 8. Year 2001 Midyear (January 1-June 30)  OR Year End (July 1-Dec)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇒ Termination Date \_\_\_\_\_ 11. No Lobbyin

## INCOME OR EXPENSES — Complete Either Line 12 OR Line 13

| 12. Lobbying Firms   | 13. Organizations   |
|--|---|
| INCOME relating to lobbying activities for this reporting period was:<br>Less than \$10,000 <input checked="" type="checkbox"/><br>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____<br>Income (nearest \$20,000)           | EXPENSES relating to lobbying activities for this report were:<br>Less than \$10,000 <input type="checkbox"/><br>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____<br>Expenses (near   |
| Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client). | 14. <b>REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description of options:<br><input type="checkbox"/> Method A. Reporting amounts using LDA definition<br><input type="checkbox"/> Method B. Reporting amounts under section 6011 Internal Revenue Code<br><input type="checkbox"/> Method C. Reporting amounts under section 162(e) Internal Revenue Code |

Signature Bert R. Peña

Date August 13, 2001

Printed Name and Title Peña, Humberto R. Partner



Registrant Name Hogan & Hartson L.L.P.

Client Name BrokerTec Global, L.L.C.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which t engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c** information as requested. Attach individual page(s) as needed.

15. General issue area code AGR (one per page)

16. Specific lobbying issues  
Introduction of BrokerTec Global, L.L.C. to the House and Senate Agriculture Committee,; Commodity Exchange Act and issues related to the Commodity Future Trading Commission

17. House(s) of Congress and Federal agencies contacted  Check if None  
House and Senate Agricultural Committees, Commodity Future Trading Commission

18. Name of each individual who acted as a lobbyist in this issue area

| Name              | Covered Official Position (if applicable) |
|-------------------|---|
| Bultena, Lance D. | Counsel                                   |
| Peña, Humberto R. | Partner                                   |
|                   |   |
|                   |   |
|                   |   |
|                   |   |
|                   |   |

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature Bert R. Peña

Printed Name and Title Peña, Humberto R. Partner



Registrant Name Hogan & Hartson L.L.P. Client Name BrokerTec Global, L.L.C.

**Information Update Page — Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

**ISSUE UPDATE**

24. General lobbying issues previously reported that **no longer** apply

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

| Name | Address | Principal Place of Business (city and state or country) |
|------|---------|---|
|      |         |   |

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

| Name | Address | Principal place of business (city and state or country) | Amount of contribution for lobbying activities |
|------|---------|---|--|
|      |         |   |  |

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the client or affiliated organization

Signature Bert R. Peña

Printed Name and Title Peña, Humberto R. Partner

