

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF THE SENATE
07 FEB 20 PM 2: 27

LOBBYING REGISTRATION

Lobbying Disclosure Act of 1995 (Section 4)

Check One: New Registrant New Client for Existing Registrant Amendment

1. Effective Date of Registration 01/

2. House Identification 391480000

Senate Identification _____

REGISTRANT Organization Individual

3. Registrant Organization Duke University

Address PO Box 90433 Address2 _____
City Durham State NC Zip 27708 - 0433 Cou _____

4. Principal place of business (if different than line 3)

City _____ State _____ Zip _____ Cou _____

5. Contact name and telephone number

International Number

Contact Ms. Leslie Pardue Telephone (919) 668-6270 E-mail leslie.pardue@duke.edu

6. General description of registrant's business or activities

Education - Higher Education

CLIENT *A Lobbying Firm is required to file a separate registration for each client. Organizations employing in-house lobbyists should be labeled "Self" and proceed to line 10.* Self

7. Client name Duke University

Address _____
City _____ State _____ Zip _____ Cou _____

8. Principal place of business (if different than line 7)

City _____ State _____ Zip _____ Cou _____

9. General description of client's business or activities

LOBBYISTS

10. Name of each individual who has acted or is expected to act as a lobbyist for the client identified on line 7. If any person in this section has served as a "covered executive branch official" or "covered legislative branch official" within two years of the date of registration as a lobbyist for the client, *state the executive and/or legislative position(s) in which the person served.*

Name			Covered Official Position (if applicable)
First	Last	Suffix	
Christopher	Simmons		

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LOBBYING ISSUES

11. General lobbying issue areas. Select all applicable codes listed in instructions and on the reverse side of Form LD-1, p

EDU

IMM

SCI

12. Specific lobbying issues (current and anticipated)

Appropriations, Higher Education Issues, Tax Policy, Immigration Policy - education related

AFFILIATED ORGANIZATIONS

13. Is there an entity other than the client that contributes more than \$10,000 to the lobbying activities of the registrant in a semiannual period and in whole or in major part plans supervises or controls the registrant's lobbying activities?

No --> Go to line 14.

Yes --> Complete the rest of this section for each entity matchi criteria above, then proceed to line 14.

Name	Address			Principal Place of Busi
	Street City	State/Province	Zip Code Country	
			City	Country
			City	Country
			City	Country
			City	Country
			City	Country

FOREIGN ENTITIES

14. Is there any foreign entity

- a) holds at least 20% equitable ownership in the client or any organization identified on line 13; or
- b) directly or indirectly, in whole or in major part, plans, supervises, controls, directs, finances or subsidizes acti the client or any organization identified on line 13; or
- c) is an affiliate of the client or any organization identified on line 13 and has a direct interest in the outcome of lobbying activity?

No --> Sign and date the registration.

Yes --> Complete the rest of this section for each entity matchi the criteria above, then sign the registration.

Name	Address			Principal place of business (city and state or country)	Amount of contribution for lobbying activities
	Street City	State/Province	Country		
			City	Country	
			City	Country	
			City	Country	

Signature Digitally Signed By: Leslie Pardue Date 02/08

US, DST ACES Business Representative, DUKE UNIVERSITY, Leslie Pardue

Printed Name and Title Leslie Pardue, Project Coordinator

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ADDITIONAL LOBBYISTS

10. Supplemental. List any additional lobbyists for this client not listed on page 1, number 10.

Name			Covered Official Position (if applicable)
First	Last	Suffix	
Melissa	Vetterkind		

ADDITIONAL LOBBYING ISSUES

11. Supplemental. General lobbying issue areas. Enter any additional codes for issues not listed on page 2, number 11.

BUD DEF

AFFILIATED ORGANIZATIONS

13. Is there an entity other than the client that contributes more than \$10,000 to the lobbying activities of the registrant in a semiannual period and in whole or in major part plans supervises or controls the registrant's lobbying activities?

Name	Address			Principal Place of Bu
	Street City	State/Province	Zip Code Country	
				City State Country
				City State Country
				City State Country
				City State Country

ADDITIONAL FOREIGN ENTITIES

14. Supplemental. List any other foreign entity that meets the criteria specified and is not listed on page 2, number 14.

Name	Address			Principal place of business (city and state or country)	Amount of contribution for lobbying activities
	Street City	State/Province	Country		
				City State Country	
				City State Country	
				City State Country	

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