Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515

Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

SECRETARY OF THE SENATE OF AN IO: 31

LOBBYING REPO

Date

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Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page Individual AMERICAN BANKERS ASSN SECURITIES (ABASA) 2. Address Check if different than previously reported Address1 1120 CONNECTICUT AVENUE, NW Address2 City WASHINGTON State DC Zip Code 20036 Cou 3. Principal place of business (if different than line 2) City State Zip Code Cou 4a. Contact Name b. Telephone Number c. E-mail 5. Ser. International Number Ms. SARAH A. MILLER (202) 663-5325 smiller@aba.com 44-1 7. Client Name \mathbf{V} Self 6. Hoi AMERICAN BANKERS ASSN SECURITIES (ABASA) 3046 TYPE OF REPORT 8. Year 2007 Midyear (January1-June30) ✓ Year End (July 1-Dec 9. Check if this filing amends a previously filed version of this report 10. Check if this is a Termination Report Termination Date 11. No Lobbying Acti INCOME OR EXPENSES - Complete Either Line 12 OR Line 13 12. Lobbying 13. Organizations INCOME relating to lobbying activities for this reporting period EXPENSE relating to lobbying activities for this was: were: Less than \$10,000 Less than \$10,000 \$10,000 or more 12.0 \$10,000 or more \square 40,000.00 Provide a good faith estimate, rounded to the nearest \$20,000, 14. REPORTING Check box to indicat of all lobbying related income from the client (including all accounting method. See instructions for descriptio payments to the registrant by any other entity for lobbying ☐ Method A. Reporting amounts using LDA definition activities on behalf of the client). 7 Method B. Reporting amounts under section 60331 Internal Revenue Code Method C. Reporting amounts under section 162(e Revenue Code

Signature -

Ф	Printed Name and Title Sarah A. Miller, General Counsel	
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	a code FIN Fi	nancial Institutions/Inve	stments/Sec	(one per page)
16. Specific lobbying	issues			J
	ions for Public Law no. 10	06-102: Gramm-Leach-B	Bliley Financial	Modernization Act of 1999 - Tit
		7-56: USA Patriot Act of	f 2001, Title III	- Money Laundering Abatement
·	ress and Federal agencies	☐ Check if None		✓ House ✓ Senate
Department of Treasu Office of Thrift Super Exchange Commissio	vision, National Associati	, Office of the Comptrol on of Securities Dealers	ler of Currency, , Municipal Sec	, Federal Deposit Insurance Corpurates Rulemaking Board, Secur
18. Name of each indi	vidual who acted as a lobb	yist in this issue area		
	Name		Covered (Official Position (if applicable)
First	Last	Suffix		
Beth L.	Climo			
J. Kevin A.	McKechnie			
Sarah A.	Miller			
19. Interest of each for	reign entity in the specific	issues listed on line 16	above 🗸	Check if None

P	Printed Name and Title	and Title Sarah A. Miller, General Counsel				
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ADDENDUM for General Lobbying Issue Area: FIN - Financial Institutions/Investments/Sec

S.1624, A bill to amend the Internal Revenue Code of 1986 to provide that the exception from the treatment of publicly partnerships as corporations for partnerships with passive-type income shall not apply to partnerships directly or indire

H.R. 2834, To amend the Internal Revenue Code of 1986 to treat income received by partners for performing investmen

deriving income from providing investment adviser and related asset management services (all provisions)

management services as ordinary income received for the performance of services (all provisions)

S.761, America Competes Act (Section 5007)

Elimination of Soft Dollars

H.R. 117, Money Market Fund Parity Act of 2007 (all provisions)

ð	Printed Name and Title	Sarah A. Miller, Genera	al Counsel				
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