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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name <u>Financial Services Roundtable</u>			
2. Address <input type="checkbox"/> Check if different than previously reported <u>1001 Pennsylvania Ave, NW, Suite 500 South</u>			
3. Principal Place of Business (if different from line 2) City: <u>Washington</u> State/Zip (or Country) <u>DC</u>			
4. Contact Name <u>Joel Kopperod</u>	Telephone <u>202-289-4322</u>	E-mail (optional) <u>joel@fsround.org</u>	5. Senate ID # <u>5290-1</u>
7. Client Name <input type="checkbox"/> Self <u>Financial Services Roundtable</u>			6. House ID # <u>308210</u>

TYPE OF REPORT 8. Year 2004 Midyear (January 1-June 30) OR Year End (July 1-December)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇒ Termination Date _____

11. No Lobbying

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000

\$10,000 or more ⇒ \$ _____
Income (nearest \$20,000)

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000

\$10,000 or more ⇒ \$ 2,240,000
Expenses (nearest \$20,000)

14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of

Method A. Reporting amounts using LDA definition

Method B. Reporting amounts under section 6033 Internal Revenue Code

Method C. Reporting amounts under section 162 Internal Revenue Code

Signature Richard M. White

Printed Name and Title Richard M. Whiting, Executive Director

LD-2 (REV. 6/98)

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Registrant Name _____ Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code _____ (one per page)

* See attached page

16. Specific lobbying issues

* See attached page

17. House(s) of Congress and Federal agencies contacted

Check if None

* See attached page

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Andy Barbour	
Steve Bartlett	
John Beccia	
Janise Clarke	
Irving Daniels	
Jael Kopperud	
Paul Leonard	
* See attached page	

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature Richard M White Date 8/12/04

Printed Name and Title Richard M. Whiting, Executive Director & General

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Registrant Name _____ Client Name _____

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

Kate Ennis, Jonathan Smith

ISSUE UPDATE

24. General lobbying issues previously reported that no longer pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Busin (city and state or coun

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	C P C

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant affiliated organization

Signature *Rene M. White* Date *August 12, 2011*

Printed Name and Title Richard M. Whiting

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Line 15. GENERAL LOBBYING ISSUE AREA

ACC Accounting
 HOU Housing
 INS Insurance
 LBR Labor Issues/Antitrust/Workplace
 BAN Banking
 BNK Bankruptcy
 BUD Budget/Appropriations
 CPT Copyright/patent/Trademark
 ECN Economics/Economic Development
 FIN Financial Institutions/Investments/Securities
 GOV Government Issues
 POS Postal
 RET Retirement

Line 16. SPECIFIC LOBBYING ISSUES

House Bill Nos. – 3108, 2622, 1115, 2, 1000, 975, 4520, 1375, 253, 205, 108, 522, 4520

Senate Bill Nos. – 380, 2062, 1637

Executive and Federal Agency Actions: 1) Class Action Reform 2) Retirement Savings/Pension Reform 3) Optional Federal insurance Charter 4) Mutual Funds 5) Corporate Owned Life Insurance 6) Uniform National Financial Standards 7) Nonprime Lending/predatory lending 8) GSE Regulatory Reform 9) 815/809 Reform/Consolidated Tax Returns 10) Asbestos Litigation Reform 11) Annuities 12) Bankruptcy Reform 13) Basel II 14) Business Activity Tax 15) Corporate Governance 16) Critical Infrastructure/Software Security 17) Deposit Insurance Reform 18) Extending Flood Insurance 19) FACT Act Regulations 20) Fair Labor Standards Act 21) GSE Regulatory Reform 22) HMDA 23) International Tax/Accounting issues 24) Market Structure 25) Matricula 26) Nonprime/Predatory Lending 27) Overseas Outsourcing 28) Patent Reform 29) Patent Reform 30) Permanency of Tax Cuts 31) Postal Reform 32) Primacy of Federal Security Laws 33) Real Estate Brokerage 34) Regulatory Relief 35) Replacing 30 Year Treasury 36) RESPA 37) SBA Funding 38) Student Loans 39) Tax Shelters/ Leasing Provisions 40) Terrorism Insurance Reauthorization

Line 17. HOUSE(S) OF CONGRESS AND FEDERAL AGENCIES CONTACTED

U.S. Senate, U.S. House of Representatives, Dept. of Treasury (DOT), President of the U.S., Vice President of the U.S., Executive Office of the President, White House Office, Office of Management and Budget (OMB), Dept. of Commerce (DOC), Dept. of Housing and Urban Development (HUD), Federal Communications Commissions (FCC), Federal Deposit Insurance Commission (FDIC), Federal Housing Finance Board (FHFB), Federal Reserve System, Federal Trade Commission (FTC), National Credit Union Administration (NCUA), Postal Rate Commission, Securities and Exchange Commission

(SEC), U.S. International Trade Commission (ITC), U.S. Postal Service (USPS), Bureau of Economic Analysis, Patent & Trademark Office (PTO), Federal Bureau of Investigation (FBI), Office of the Comptroller Currency (OCC), Office of Thrift Supervision (OTS), Office of Federal Housing(OFHEO)

Line 18. NAMES OF EACH INDIVIDUAL WHO ACTED AS A LOBBYIST IN THIS ISSUE AREA

1. Andy Barbour
2. Steve Bartlett
3. John Beccia
4. Janice Clarke
5. Irving Daniels
6. Kate Ennis
7. Jeffrey Green
8. Joel Kopperud
9. Paul Leonard
10. Lisa McGreevy
11. Kim Sears
12. Jennifer Smith
13. Jonathan Smith
14. Katie Stevens
15. Scott Talbott
16. Richard Whiting
17. Nancy Wilkins

