

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

LOBBYING REGISTRATION

SECRETARY OF THE SENATE

07 JUL 25 PM 12:59

Lobbying Disclosure Act of 1995 (Section 4)

Check One: New Registrant New Client for Existing Registrant Amendment

1. Effective Date of Registration 01

2. House Identification 31811

Senate Identification 12675

REGISTRANT Organization Individual

3. Registrant Organization THE DUBERSTEIN GROUP, INC.

Address 2100 PENNSYLVANIA AVENUE, NW Address2 SUITE 500
City WASHINGTON State DC Zip 20037 - Co

4. Principal place of business (if different than line 3)

City _____ State _____ Zip _____ - _____ Co _____

5. Contact name and telephone number International Number

Contact Mr. Michael S. Berman Telephone (202) 728-1100 E-mail mberman@dubersteingroup.c

6. General description of registrant's business or activities

Corporate consulting and government relations

CLIENT *A Lobbying Firm is required to file a separate registration for each client. Organizations employing in-house lobbyists should be labeled "Self" and proceed to line 10.* Self

7. Client name Novartis Corporation

Address 701 Pennsylvania Ave NW, Suite 765
City Washington State DC Zip 20004 - Co

8. Principal place of business (if different than line 7)

City _____ State _____ Zip _____ - _____ Co _____

9. General description of client's business or activities

Research, manufacturing and sale of pharmaceutical and biotechnology products

LOBBYISTS

10. Name of each individual who has acted or is expected to act as a lobbyist for the client identified on line 7. If any person in this section has served as a "covered executive branch official" or "covered legislative branch official" within two years of becoming a lobbyist for the client, state the executive and/or legislative position(s) in which the person served.

Name			Covered Official Position (if applicable)
First	Last	Suffix	
Michael	Berman		
Steven	Champlin		
Kenneth	Duberstein		
Henry	Gandy		
Brian	Griffin		

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LOBBYING ISSUES

11. General lobbying issue areas. Select all applicable codes listed in instructions and on the reverse side of Form LD-1,

MED PHA GOV HCR

12. Specific lobbying issues (current and anticipated)

Liability, pandemic flu issues, vaccine issues, prescription drugs, biologics, patent issues

AFFILIATED ORGANIZATIONS

13. Is there an entity other than the client that contributes more than \$10,000 to the lobbying activities of the registrant in a semiannual period and in whole or in major part plans supervises or controls the registrant's lobbying activities?

No --> Go to line 14. Yes --> Complete the rest of this section for each entity meeting the criteria above, then proceed to line 14.

Name	Address	Principal Place of Business
Street City	State/Province Zip Code Country	City State Country

FOREIGN ENTITIES

14. Is there any foreign entity
 a) holds at least 20% equitable ownership in the client or any organization identified on line 13; or
 b) directly or indirectly, in whole or in major part, plans, supervises, controls, directs, finances or subsidizes the client or any organization identified on line 13; or
 c) is an affiliate of the client or any organization identified on line 13 and has a direct interest in the outcome of the lobbying activity?

No --> Sign and date the registration. Yes --> Complete the rest of this section for each entity meeting the criteria above, then sign the registration.

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities
Street City	State/Province Country	City State Country	

14. Signature Digitally Signed By: Michael S Berman Date 07/

US, DST ACES Business Representative, ACES TrustID Business Certificate, Michael S Berman

Printed Name and Title Michael S. Berman, President

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ADDITIONAL LOBBYISTS

10. Supplemental. List any additional lobbyists for this client not listed on page 1, number 10.

Name			Covered Official Position (if applicable)
First	Last	Suffix	
Eric	Ueland		Chief of Staff, Senator Bill Frist

ADDITIONAL LOBBYING ISSUES

11. Supplemental. General lobbying issue areas. Enter any additional codes for issues not listed on page 2, number 11.

AFFILIATED ORGANIZATIONS

13. Is there an entity other than the client that contributes more than \$10,000 to the lobbying activities of the registrant in a semiannual period and in whole or in major part plans supervises or controls the registrant's lobbying activities?

Name	Address			Principal Place of Business	
	Street City	State/Province	Zip Code	Country	City
					State Country
					City State Country
					City State Country
					City State Country

ADDITIONAL FOREIGN ENTITIES

14. Supplemental. List any other foreign entity that meets the criteria specified and is not listed on page 2, number 14.

Name	Address			Principal place of business (city and state or country)	Amount of contribution for lobbying activities
	Street City	State/Province	Country		
				City State Country	
				City State Country	
				City State Country	
				City State Country	

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