

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

**RICCHETTI INCORPORATED**

2. Address:

1001 G STREET NW SUITE 600 EAST, WASHINGTON, DC 20001

3. Principal place of business (if different from line 2):

Country: SAME State/Zip(or Country):

4. Contact Name: JAMES HEIMBACH

Telephone: 202-879-9367

E-mail (optional): jay@ricchettiinc.com

Senate ID #: 62778-176

House ID #: 35395008

7. Client Name:  Self

**NOVARTIS**

## TYPE OF REPORT

8. Year 2006 Midyear (January 1 - June 30):  **OR** Year End (July 1 - December 31):

9. Check if this filing amends a previously filed version of this report:

10. Check if this is a Termination Report:  => Termination Date: \_\_\_\_\_ 11. No Lobbying Activity:

## INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

### 12. Lobbying Firms

**INCOME** relating to lobbying activities for this reporting period was:

Less than \$10,000:

\$10,000 or more:  => Income (nearest \$20,000): 60,000.00

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

### 13. Organizations

**EXPENSES** relating to lobbying activities for this reporting period were:

Less than \$10,000:

\$10,000 or more:  => Expenses (nearest \$20,000): \_\_\_\_\_

### 14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

**Method A.** Reporting amounts using LDA definitions only

**Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code

**Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: RICCHETTI INCORPORATED Client Name: NOVARTIS

**LOBBYING ACTIVITY.**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: ADV (one per page)

16. Specific lobbying issues:

Issues pertaining to the direct-to-consumer advertising of prescription drugs

17. House(s) of Congress and Federal agencies contacted:

US HOUSE OF REPRESENTATIVES  
US SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: RICCHETTI, JEFF  
Covered Official Position (if applicable):  
Name: RICCHETTI, STEVE  
Covered Official Position (if applicable):

19. Interest of each foreign entity in the specific issues listed on line 16 above.  
Novartis is owned by Novartis AG, Basel, Switzerland

**LOBBYING ACTIVITY**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: HCR (one per page)

16. Specific lobbying issues:

Issues pertaining to the importation of prescription drugs, including: S 334, the Pharmaceutical Market Access and Drug Safety Act, S 184, the Safe Import Act, S109, the Pharmaceutical Market Access Act, HR 1626, the Medicare Prescription Drug Improvement Act Issues pertaining to the prevention of misuse of products containing DMX, including the Dextromethorphan Distribution Act Issues relating to the distribution practices of contact lens manufacturers, including HR 1800 and S 2480 Issues regarding the effective date of elements of S 103, the Combat Meth bill Issues pertaining to the treatment of malaria

17. House(s) of Congress and Federal agencies contacted:

US HOUSE OF REPRESENTATIVES  
US SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: ALBEE, LUKE

Covered Official Position (if applicable): CHIEF OF STAFF TO SENATOR PATRICK LEAHY (VT)

Name: RICCHETTI, JEFF

Covered Official Position (if applicable):

Name: RICCHETTI, STEVE

Covered Official Position (if applicable):

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: RICCHETTI INCORPORATED Client Name: NOVARTIS

**LOBBYING ACTIVITY.**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: MMM (one per page)

16. Specific lobbying issues:

Issues pertaining to the implementation of the Medicare Modernization Act, including issues affecting patient assistance programs  
Issues pertaining to the reform of the Medicare Modernization Act, including work on: S 445, Medicare Prescription Drug Price Reduction Act S 2354, the Medicare Prescription Drug Gap Reduction Act

17. House(s) of Congress and Federal agencies contacted:

US HOUSE OF REPRESENTATIVES  
US SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: RICCHETTI, JEFF  
Covered Official Position (if applicable):  
Name: RICCHETTI, STEVE  
Covered Official Position (if applicable):

19. Interest of each foreign entity in the specific issues listed on line 16 above.  
Novartis is owned by Novartis AG, Basel, Switzerland.

Signature: ON FILE Date: Aug 08, 2006

Printed Name and Title: James Heimbach, Vice President -

**Information Update Page:**

**Complete ONLY where registration information has changed.**

**LOBBYIST UPDATE**

**23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client**

**ISSUE UPDATE**

**24. General lobbying issues previously reported that NO LONGER pertain**

BUD

**AFFILIATED ORGANIZATIONS**

**25. Add the following organization(s)**

**26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client**

**FOREIGN ENTITIES**

**27. Add the following foreign entities**

**28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization**

Signature: ON FILE Date: Aug 08, 2006

Printed Name and Title: JAMES HEIMBACH, VICE PRESIDENT -