

Clerk of the House of Representatives  
Legislative Resource Center  
B-106 Cannon Building  
Washington, DC 20515

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, DC 20510

RETARY OF

02 AUG 14

**LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) -All Filers Are Required to Complete This Page

1. Registrant Name <b>Principal Financial Group</b>			
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address <b>1350 I Street, N.W.</b> <b>Suite 1030</b> City <b>Washington</b> State/Zip (or Country) <b>DC 20005</b> <b>3305</b>			
3. Principal Place of Business (if different from line 2) City State/Zip (or Country)			
4. Contact Name <b>Stuart Brahs</b>	Telephone <b>202-682-1280</b>	E-mail (optional) <b>brahs.stuart@principal.com</b>	5. Senate ID # <b>32178-12</b>
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # <b>31588000</b>

**TYPE OF REPORT** 8. Year 2002 Midyear (January 1-June 30)  **OR** Year End (July 1-De

9. Check if this filing amends a previously filed version of this report

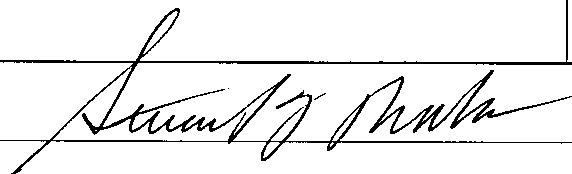
10. Check if this is a Termination Report  >> Termination Date \_\_\_\_\_

11. No Lobby

**INCOME OR EXPENSES - Complete Either Line 12 OR Line 13**

12. Lobbying Firms	13. Organizations
<b>INCOME</b> relating to lobbying activities for this reporting period was:	<b>EXPENSES</b> relating to lobbying activities for this reporting period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input type="checkbox"/> >> \$ _____ Income (nearest \$20,000)	\$10,000 or more <input checked="" type="checkbox"/> >> \$ <u><b>\$800,000.00</b></u> Expenses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for description of
	<input checked="" type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definit
	<input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 603: the Internal Revenue Code
	<input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162: Internal Revenue Code

Signature


Date 8/7/2002



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific Lobbying issues

- H.R.1009, Business Checking Freedom Act of 2001,
- H.R.1048, Small Business Banking Improvement Act,
- H.R.1355, Deposit Insurance Funds Merger Act of 2001,
- H.R.2032, Credit Card Consumer Protection Act of 2001,
- H.R.3125, Credit Card Fairness Act,
- H.R.3126, Credit Card Fairness Act,
- H.R.3387, Fair Credit Reporting Act Limitations on Actions Act of 2001,
- H.R.3607, Protecting Our Communities From Predatory Lending Practices Act,
- H.R.4067, Airline Workers and Victims of Terrorism Mortgage Relief Act of 2002,
- H.R.746, Federal Deposit Insurance Corporation Adjustment Act,
- S.1242, Consumer Credit Score Disclosure Act of 2001.,

17. House(s) of Congress and Federal agencies contacted  Check if None

- Department of Treasury
- FDIC
- FRS
- House of Representatives
- OTS
- Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Blumer, Patti	
Brahs, Stuart	
Riddle, Lucia	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 8/7/2002



Registrant Name: **Principal Financial Group**Client Name: **Principal Financial Group**

Item	Description	Data
16	Lobbying Issues	S.2056, Integrity in Auditing Act of 2002,
16	Lobbying Issues	S.229, Interest on Business Checking Act of 2001,
16	Lobbying Issues	S.2460, Shareholder Bill of Rights Act,
16	Lobbying Issues	S.2673, Public Company Accounting Reform and Investor Protection Act of
16	Lobbying Issues	S.403, A bill to improve the National Writing Project.,
16	Lobbying Issues	S.524, Small Business Interest Checking Act of 2001,



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BNK (one per page)

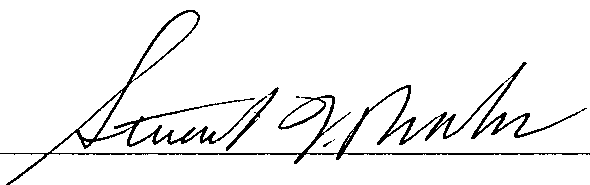
16. Specific Lobbying issues  
**H.R.333, Bankruptcy Abuse Prevention and Consumer Protection Act of 2001,**  
**H.R.420, Washington-Lincoln Recognition Act of 2001,**

17. House(s) of Congress and Federal agencies contacted  Check if None  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Blumer, Patti</b>	
<b>Brahs, Stuart</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 8/7/2002



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide information as requested. Attach additional page(s) as needed.

15. General issue area code CPI (one per page)

16. Specific Lobbying issues

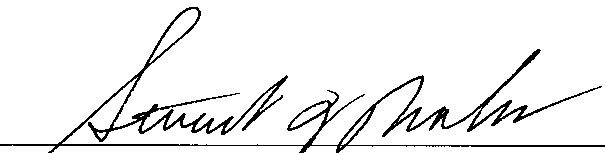
- H.R.1410, Internet Tax Moratorium and Equity Act,**
- H.R.1481, FHA Shutdown Prevention Act,**
- H.R.237, Consumer Internet Privacy Enhancement Act,**
- H.R.2421, Jurisdictional Certainty Over Digital Commerce Act,**
- S.288, Internet Tax Nondiscrimination Act,**
- S.512, Internet Tax Moratorium and Equity Act,**
- S.589, A bill to make permanent the moratorium on the imposition of taxes on the Internet.,**
- S.630, CAN SPAM Act of 2001 Controlling the Assault of Non-Solicited Pornography and Marketing Act o**
- S.761, Native American Telecommunications Improvement and Value Enhancement Act,**
- S.777, Internet Tax Nondiscrimination Act,**

17. House(s) of Congress and Federal agencies contacted  Check if None  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Riddle, Lucia</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 8/7/2002



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CSP (one per page)

16. Specific Lobbying issues

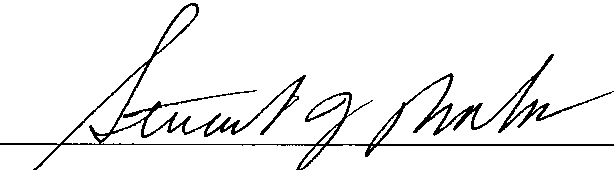
- H.R.1051, Predatory Lending Consumer Protection Act of 2001,**
- H.R.1052, Consumer Credit Card Protection Amendments of 2001,**
- H.R.1057, Truth in Savings Enhancement Act of 2001,**
- H.R.1058, Unsolicited Loan Check Consumer Protection Act of 2001,**
- H.R.1059, Consumer Affordable Transaction Account Act of 2001,**
- H.R.1478, Personal Information Privacy Act of 2001,**
- H.R.1742, 21st Century Teaching Scholarship Act,**
- H.R.2036, Social Security Number Privacy and Identity Theft Prevention Act of 2001,**
- H.R.2135, Consumer Privacy Protection Act,**
- H.R.2136, Confidential Information Protection Act,**
- H.R.220, Identity Theft Protection Act of 2001,**

17. House(s) of Congress and Federal agencies contacted  Check if None  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Blumer, Patti</b>	
<b>Brahs, Stuart</b>	
<b>Riddle, Lucia</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 8/7/2002



Registrant Name: Principal Financial GroupClient Name: Principal Financial Group

Item	Description	Data
16	Lobbying Issues	H.R.2341, Class Action Fairness Act of 2001,
16	Lobbying Issues	H.R.2720, Consumer's Right to Financial Privacy Act,
16	Lobbying Issues	H.R.2730, National Consumer Privacy Act,
16	Lobbying Issues	H.R.3053, Identity Theft Prevention Act of 2001,
16	Lobbying Issues	H.R.3068, Financial Privacy and National Security Enhancement Act,
16	Lobbying Issues	H.R.4380, Administration's Financial Privacy Proposal,
16	Lobbying Issues	H.R.4585, Medical Privacy,
16	Lobbying Issues	H.R.4678, Consumer Privacy Protection Act of 2002,
16	Lobbying Issues	H.R.583, Privacy Commission Act,
16	Lobbying Issues	H.RES.159, Expressing the sense of the House of Representatives that machine-readable privacy policies and the Platform for Privacy Preferences Project specification, commonly known as the P3P specification, are important tools in protecting the privacy of Internet,
16	Lobbying Issues	S. 2513, Administration's Financial Privacy Proposal,
16	Lobbying Issues	S.1712, Class Action Fairness Act of 2001,
16	Lobbying Issues	S.19, Protecting Civil Rights for all Americans Act,
16	Lobbying Issues	S.30, Financial Information Privacy Protection Act of 2001,
16	Lobbying Issues	S.324, Social Security Number Privacy Act of 2001,
16	Lobbying Issues	S.450, Financial Institution Privacy Protection Act of 2001,
16	Lobbying Issues	S.451, Social Security Number Protection Act of 2001,
16	Lobbying Issues	S.536, Freedom From Behavioral Profiling Act of 2000,
16	Lobbying Issues	S.848, Social Security Number Misuse Prevention Act of 2001,
16	Lobbying Issues	S.851, Citizens' Privacy Commission Act of 2001,



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

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15. General issue area code FIN (one per page)


16. Specific Lobbying issues  
**H.R.1009, Business Checking Freedom Act of 2001,**  
**H.R.1267, Investment Competitiveness Act,**  
**H.R.3762, Pension Security Act of 2002,**  
**H.R.4083, Corporate Responsibility Act of 2002,**  
**H.R.5058, To preserve the integrity of the establishment of accounting standards by the Financial Accountir Board, and for other purposes.,**  
**S.1375, Public Good IRA Rollover Act,**  
**S.1511, International Money Laundering Abatement and Anti-Terrorist Financing Act of 2001,**

17. House(s) of Congress and Federal agencies contacted  Check if None  
**Department of Treasury**  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Blumer, Patti</b>	
<b>Brahs, Stuart</b>	
<b>Riddle, Lucia</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 8/7/2002



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code GOV (one per page)

16. Specific Lobbying issues

- H.R.1332, Business Method Patent Improvement Act of 2001,**
- H.R.1333, Patent Improvement Act of 2001,**
- S.17, Federal Elections Reform Act of 2001,**
- S.2541, Identity Theft Penalty Enhancement Act of 2002,**
- S.27, Bipartisan Campaign Reform Act of 2001,**
- S.93, Advancing Truth and Accountability in Campaign Communications Act of 2001,**

17. House(s) of Congress and Federal agencies contacted  Check if None  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Blumer, Patti</b>	
<b>Brahs, Stuart</b>	
<b>Riddle, Lucia</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 8/7/2002



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific Lobbying issues

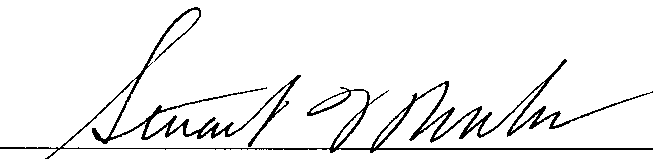
- H.R.1078, Broken Promises Retiree Health Coverage Act of 2001,**
- H.R.1111, Equity in Prescription Insurance and Contraceptive Coverage Act of 2001,**
- H.R.1118, Comprehensive Early Childhood Education Act of 2001,**
- H.R.1194, Harold Hughes-Bill Emerson Substance Abuse Treatment Parity Act of 2001,**
- H.R.1215, Medical Information Protection and Research Enhancement Act of 2001,**
- H.R.1304, To amend the Internal Revenue Code of 1986 to allow a credit against income tax for recycling or remanufacturing equipment.,**
- H.R.1312, To amend the Family and Medical Leave Act of 1993 to permit leave after the death of a spouse f and widowers with minor children.,**
- H.R.1331, Fair Care for the Uninsured Act of 2001,**
- H.R.16, National Health Insurance Act,**

17. House(s) of Congress and Federal agencies contacted  Check if None  
**Department of Health & Human Services**  
**HCFA**  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Blumer, Patti</b>	
<b>Brahs, Stuart</b>	
<b>Riddle, Lucia</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 8/7/2002



Registrant Name: Principal Financial GroupClient Name: Principal Financial Group

Item	Description	Data
16	Lobbying Issues	H.R.162, Mental Health and Substance Abuse Parity Amendments of 2001,
16	Lobbying Issues	H.R.1663, Health Insurance Continuation Improvement Act of 2001,
16	Lobbying Issues	H.R.1774, Small Business Health Fairness Act of 2001,
16	Lobbying Issues	H.R.1809, Cancer Screening Coverage Act of 2001,
16	Lobbying Issues	H.R.195, To amend the vaccine injury compensation portion of the Public H Service Act to permit a petition for compensation to be submitted within 48 months of the first symptoms of injury.,
16	Lobbying Issues	H.R.2005, COBRA Coverage Extension and Affordability Act of 2001,
16	Lobbying Issues	H.R.2363, Mental Illness Consumer-Run Services Support Act,
16	Lobbying Issues	H.R.2367, Patient Care Dispute Resolution Act of 2001,
16	Lobbying Issues	H.R.2497, Managed Care Bill of Rights for Consumers Act of 2001,
16	Lobbying Issues	H.R.2563, Bipartisan Patient Protection Act,
16	Lobbying Issues	H.R.2627, Medi-Access Act of 2001 Working American Families Access to H Care Act of 2001.,
16	Lobbying Issues	H.R.3563, Group Health Plan Coverage Expansion Act of 2001,
16	Lobbying Issues	H.R.3897, Health Care Antitrust Improvements Act of 2002,
16	Lobbying Issues	H.R.390, COLA Fairness Act of 2001,
16	Lobbying Issues	H.R.4585, To amend title V of the Social Security Act to extend abstinence education funding under maternal and child health program through fiscal 2007.,
16	Lobbying Issues	H.R.4987, To amend title XVIII of the Social Security Act to improve payment for home health services and for direct graduate medical education, and for other purposes.,
16	Lobbying Issues	H.R.526, Bipartisan Patient Protection Act of 2001,
16	Lobbying Issues	H.R.536, Breast Cancer Patient Protection Act of 2001,
16	Lobbying Issues	H.R.580, Comprehensive Insurance Coverage of Childhood Immunization Act of 2001,
16	Lobbying Issues	H.R.602, Genetic Nondiscrimination in Health Insurance and Employment Act of 2001,
16	Lobbying Issues	H.R.792, Treatment of Children's Deformities Act of 2001,
16	Lobbying Issues	H.R.967, Access to Cancer Clinical Trials Act of 2001,
16	Lobbying Issues	S.104, Equity in Prescription Insurance and Contraceptive Coverage Act of 2001,
16	Lobbying Issues	S.1052, Bipartisan Patient Protection Act,
16	Lobbying Issues	S.1995, Genetic Information Nondiscrimination Act of 2002,
16	Lobbying Issues	S.208, WISEWOMAN Expansion Act of 2001,
16	Lobbying Issues	S.24, Health Care Assurance Act of 2001,
16	Lobbying Issues	S.2638, A bill to encourage health care facilities, group health plans, and health insurance issuers to reduce administrative costs, and to improve access, convenience, quality, and safety, and for other purposes.,
16	Lobbying Issues	S.2639, A bill to provide health benefits for workers and their families.,
16	Lobbying Issues	S.318, Genetic Nondiscrimination in Health Insurance and Employment Act of 2001,
16	Lobbying Issues	S.382, Genetic Information Nondiscrimination in Health Insurance Act of 2001,
16	Lobbying Issues	S.569, Health Care Access Improvement Act,
16	Lobbying Issues	S.575, Hospital Length of Stay Act of 2001,
16	Lobbying Issues	S.576, Reconstructive Surgery Act of 2001,
16	Lobbying Issues	S.577, Managed Care Integrity Act of 2001,
16	Lobbying Issues	S.595, Fairness in Treatment: The Drug and Alcohol Addiction Recovery Act of 2001,
16	Lobbying Issues	S.6, Patients' Bill of Rights Act,
16	Lobbying Issues	S.623, Medicare Early Access and Tax Credit Act of 2001,
16	Lobbying Issues	S.651, Health Care Consumers Assistance Fund Act,
16	Lobbying Issues	S.682, Blind Persons Earnings Equity Act of 2001,
16	Lobbying Issues	S.683, Fair Care for the Uninsured Act of 2001,
16	Lobbying Issues	S.782, Americans with Disabilities Notification Act,
16	Lobbying Issues	S.823, Access to Emergency Medical Services Act of 2001,
16	Lobbying Issues	S.836, A bill to amend part C of title XI of the Social Security Act to provide coordination of implementation of administrative simplification standards for health care information.,



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

Item	Description	Data
16	Lobbying Issues	S.840, Law Enforcement Discipline, Accountability, and Due Process Act of
16	Lobbying Issues	S.868, Cancer Screening Coverage Act of 2001,
16	Lobbying Issues	S.872, Bipartisan Patient Protection Act,
16	Lobbying Issues	S.889, Bipartisan Patients' Bill of Rights Act of 2001,



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide information as requested. Attach additional page(s) as needed.

15. General issue area code HOU (one per page)

16. Specific Lobbying issues

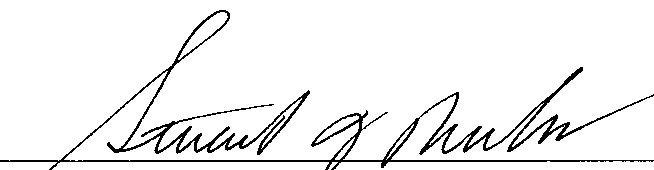
- H.R.1051, Predatory Lending Consumer Protection Act of 2001,
- H.R.1052, Consumer Credit Card Protection Amendments of 2001,
- H.R.1053, Equal Credit Enhancement and Neighborhood Protection Act of 2001,
- H.R.1105, Homeowners' Escrow Payments Assurance Act,
- H.R.1629, FHA Multifamily Housing Mortgage Loan Limit Adjustment Act of 2001,
- H.R.2033, Home Ownership Tax Credit Act of 2001,
- H.R.3206, Home Ownership Expansion and Opportunities Act of 2001,
- H.R.3995, Housing Affordability for America Act of 2002,
- H.R.4818, Mortgage Loan Consumer Protection Act,
- S.2584, American Dream Downpayment Act,
- S.607, Homeowners Rebate Act of 2001,

17. House(s) of Congress and Federal agencies contacted  Check if None  
**Department of Housing & Urban Development**  
**House of Representatives**  
**OTS**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Blumer, Patti</b>	
<b>Brahs, Stuart</b>	
<b>Riddle, Lucia</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 8/7/2002



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code IMM (one per page)

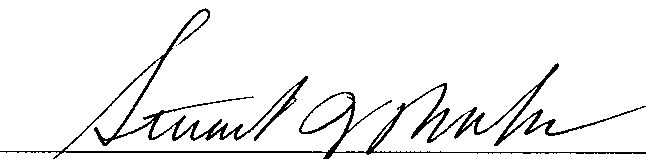
16. Specific Lobbying issues  
**H.R.3222, High-tech Work Fairness and Economic Stimulus Act of 2001,**

17. House(s) of Congress and Federal agencies contacted  Check if None  
**Department of Justice**  
**House of Representatives**  
**Immigration & Naturalization Service**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Brahs, Stuart</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 8/7/2002



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code INS (one per page)

16. Specific Lobbying issues  
**H.R.1755, Reinsurance Tax Equity Act of 2001,**  
**H.R.3210, Terrorism Risk Protection Act,**  
**H.R.3357, Terrorism Risk Protection Act,**  
**H.R.3766, Insurance Industry Modernization and Consumer Protection Act,**  
**H.R.909, To amend the Internal Revenue Code of 1986 to permit the consolidation of life insurance companies.,**  
**S.2600, Terrorism Risk Insurance Act of 2002,**  
**S.795, A bill to amend the Internal Revenue Code of 1986 to permit the consolidation of life insurance companies.,**  
**S.992, A bill to amend the Internal Revenue Code of 1986 to repeal the provision taxing policy holder divide life insurance companies and to repeal the policyholders surplus account provisions.,**

17. House(s) of Congress and Federal agencies contacted  Check if None  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Blumer, Patti</b>	
<b>Brahs, Stuart</b>	
<b>Riddle, Lucia</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

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Registrant Name: Principal Financial Group

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**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code LBR (one per page)

16. Specific Lobbying issues

- H.R.1362, Fair Pay Act of 2001,
- H.R.3040, COBRA Coverage Act of 2001,
- H.R.4551, Life Insurance Employee Notification Act,
- H.R.4804, To amend the Internal Revenue Code of 1986 to provide additional choice regarding unused health cafeteria plans and flexible spending arrangements.,
- H.R.665, Fair Minimum Wage Act of 2001,
- S.489, Family and Medical Leave Clarification Act,
- S.624, Workplace Flexibility Act,
- S.684, Fair Pay Act of 2001,


17. House(s) of Congress and Federal agencies contacted  Check if None

- Department of Labor
- Department of Treasury
- House of Representatives
- Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Brahs, Stuart	
Riddle, Lucia	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 8/7/2002



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific Lobbying issues


- H.R.121, Medicare Coverage of Replacement Calcitriol Act of 2001,**
- H.R.1255, Medicare Early Access and Tax Credit Act of 2001,**
- H.R.148, Medicare HMO Improvement Act of 2001,**
- H.R.18, Medicare Fraud Prevention and Enforcement Act of 2001,**
- H.R.334, Persian Gulf Security Cost Fairness Act,**
- H.R.3626, Medicare Drug and Service Coverage Act of 2002,**
- H.R.4961, To establish a National Bipartisan Commission on the Future of Medicaid.,**
- H.R.4984, To amend title XVIII of the Social Security Act to provide for a Medicare prescription drug bene**
- H.R.4985, To amend title XVIII of the Social Security Act to revitalize the Medicare+Choice Program, estab Medicare+Choice competition program, and to improve payments to hospitals and other providers under p;**
- Medicare Program.,**

17. House(s) of Congress and Federal agencies contacted  Check if None  
**Department of Health & Human Services**  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Blumer, Patti</b>	
<b>Brahs, Stuart</b>	
<b>Riddle, Lucia</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 8/7/2002



Registrant Name: Principal Financial GroupClient Name: Principal Financial Group

Item	Description	Data
16	Lobbying Issues	H.R.4986, To amend part B of title XVIII of the Social Security Act to improve payments for physicians' services and other outpatient services furnished under the Medicare Program, and for other purposes.,
16	Lobbying Issues	H.R.5045, To provide for equity in payments under the Medicare Program.,
16	Lobbying Issues	H.R.569, Immediate Access to Medicare for the Disabled Act of 2001,
16	Lobbying Issues	S.125, Prescription Drug Fairness for Seniors Act of 2001,
16	Lobbying Issues	S.2758, A bill to amend title XVII of Social Security Act to provide coverage for outpatient prescription drug under the medicare program.,
16	Lobbying Issues	S.2836, A bill to amend title XVII of Social Security Act to provide medicare beneficiaries with access to affordable outpatient prescription drugs.,
16	Lobbying Issues	S.357, Medicare Preservation and Improvement Act of 2001,
16	Lobbying Issues	S.358, Medicare Prescription Drug and Modernization Act of 2001,



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code PHA (one per page)

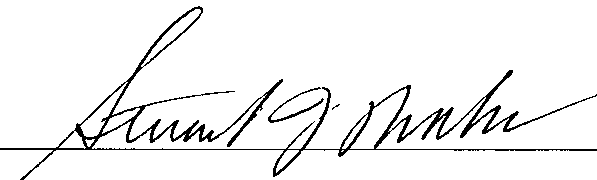
16. Specific Lobbying issues  
**H.R.1574, Pharmaceutical Products Price Equity Act,**

17. House(s) of Congress and Federal agencies contacted  Check if None  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Brahs, Stuart</b>	
<b>Riddle, Lucia</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 8/7/2002



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code RET (one per page)

16. Specific Lobbying issues

- H.R.1, No Child Left Behind Act of 2001,
- H.R.1104, To amend title I of the Employee Retirement Income Security Act of 1974 to provide, in the case of an employee welfare benefit plan providing benefits in the event of disability, an exemption from preemption with respect to State tort actions to read,
- H.R.1368, To amend the Internal Revenue Code of 1986 to remove the requirement of a mandatory beginning date for distributions from individual retirement plans.,
- H.R.14, Bipartisan Commission on Social Security Reform Act of 2001,
- H.R.1603, To amend the Internal Revenue Code of 1986 to grant relief to participants in multiemployer plans with respect to certain section 415 limits on retirement plans.,
- H.R.166, Social Security Strengthening and Protection Act of 2001,
- H.R.1774, Small Business Health Fairness Act of 2001,

17. House(s) of Congress and Federal agencies contacted  Check if None

- Department of Labor
- Department of Treasury
- House of Representatives
- PBGC
- Senate
- Social Security Administration

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Blumer, Patti	
Brahs, Stuart	
Fredrickson, Debi	
Riddle, Lucia	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 8/7/2002



Registrant Name: Principal Financial GroupClient Name: Principal Financial Group

Item	Description	Data
16	Lobbying Issues	H.R.210, Social Security Decedent's Family Relief Act of 2001,
16	Lobbying Issues	H.R.219, Social Security Preservation Act of 2001,
16	Lobbying Issues	H.R.2269, Retirement Security Advice Act of 2001,
16	Lobbying Issues	H.R.2638, Social Security Fairness Act of 2001,
16	Lobbying Issues	H.R.3081, To amend the Internal Revenue Code of 1986 to provide a credit : income tax for expenditures for the maintenance of railroad tracks of Class Class III railroads.,
16	Lobbying Issues	H.R.3156, To permit taxpayers to treat contributions made to retirement pla before 2002 as contributions made to such plans during 2002.,
16	Lobbying Issues	H.R.3365, To amend the Internal Revenue Code of 1986 to allow withdrawa from individual retirement plans without penalty for certain individuals significantly affected by the September 11, 2001, terrorist attacks.,
16	Lobbying Issues	H.R.3509, Retirement Account Protection Act of 2001,
16	Lobbying Issues	H.R.3622, Emergency Worker and Investor Protection Act of 2002,
16	Lobbying Issues	H.R.3623, Employee Savings Protection Act of 2002,
16	Lobbying Issues	H.R.3640, Pension Protection and Diversification Act of 2002,
16	Lobbying Issues	H.R.3642, 401(k) Pension Right to Know Act of 2002,
16	Lobbying Issues	H.R.3657, Employee Pension Freedom Act of 2002,
16	Lobbying Issues	H.R.3669, Employee Retirement Savings Bill of Rights,
16	Lobbying Issues	H.R.3677, Safeguarding America's Retirement Act of 2002,
16	Lobbying Issues	H.R.3692, Pension Protection and Diversification Act of 2002,
16	Lobbying Issues	H.R.3762, Pension Security Act of 2002,
16	Lobbying Issues	H.R.4513, Social Security Number Protection Act of 2002,
16	Lobbying Issues	H.R.4546, National Defense Authorization Act for Fiscal Year 2003,
16	Lobbying Issues	H.R.465, To amend the Internal Revenue Code of 1986 to allow rollover contributions to individual retirement plans from deferred compensation pl: maintained by States and local governments and to allow State and local governments to maintain 401(k) plans.,
16	Lobbying Issues	H.R.4747, National Reconstruction Study Area Act,
16	Lobbying Issues	H.R.4748, Mineral Exploration and Development Act of 2002,
16	Lobbying Issues	H.R.4749, Magnuson-Stevens Act Amendments of 2002,
16	Lobbying Issues	H.R.4778, Pension Protection Act of 2002,
16	Lobbying Issues	H.R.4780, Rejection of Social Security Privatization Act of 2002,
16	Lobbying Issues	H.R.4837, Securing A Fast Entry at the Border (SAFE Border) Act of 2002,
16	Lobbying Issues	H.R.4843, To amend the Internal Revenue Code of 1986 to provide tax incer for the use of biodiesel as a fuel.,
16	Lobbying Issues	H.R.739, SSI Modernization Act of 2001,
16	Lobbying Issues	H.R.849, Savings Account for Every American Act of 2001,
16	Lobbying Issues	S.1025, Savings for Working Families Act of 2001,
16	Lobbying Issues	S.1523, Social Security Fairness Act of 2001,
16	Lobbying Issues	S.1582, A bill to amend the Internal Revenue Code of 1986 to delay for 1 yea mandatory beginning date for distributions from individual retirement plan to accelerate the effective date for modifications of the AGI limit for convers Roth IRAs.,
16	Lobbying Issues	S.1677, Independent Investment Advice Act of 2001,
16	Lobbying Issues	S.1872, A bill to amend the Employee Retirement Income Security Act of 19 require the Pension Benefit Guaranty Corporation to notify plan participan beneficiaries of the commencement of proceedings to terminate such plan.,
16	Lobbying Issues	S.1919, Retirement Security Protection Act of 2002,
16	Lobbying Issues	S.1921, Pension Plan Protection Act,
16	Lobbying Issues	S.1969, Pension Security Act of 2002,
16	Lobbying Issues	S.1971, National Employee Savings and Trust Equity Guarantee Act,
16	Lobbying Issues	S.1992, Protecting America's Pensions Act of 2002,
16	Lobbying Issues	S.2032, INFORM Act of 2002 Investor-Employees Need Financial Facts and Options for Responsible Retirement Plan Management Act of 2002,



Registrant Name: Principal Financial GroupClient Name: Principal Financial Group

Item	Description	Data
16	Lobbying Issues	S.2185, A bill to amend the Employee Retirement Income Security Act of 1974 to provide workers with individual account plans with information on how the investments in their accounts are invested and of the need to diversify the investment of those assets.,
16	Lobbying Issues	S.2190, Worker Investment and Retirement Education Act of 2002 WIRE Act
16	Lobbying Issues	S.2693, A bill to amend the Internal Revenue Code of 1986 to encourage retirement savings for individuals by providing a refundable credit for individuals to deposit in a Social Security Plus account, and for other purposes.,
16	Lobbying Issues	S.2707, To amend the Employee Retirement Income Security Act of 1974 and the Internal Revenue Code of 1986, to provide comprehensive pension protection for women.,
16	Lobbying Issues	S.693, Social Security Beneficiaries Protection Act,
16	Lobbying Issues	S.806, Full Social Security Benefits Guarantee Act,
16	Lobbying Issues	S.858, Small Business Health Fairness Act of 2001,



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific Lobbying issues
- H.R.1552, Internet Tax Nondiscrimination Act,
  - H.R.165, To amend the Internal Revenue Code of 1986 to adjust the exclusion amount on the gain from the principal residence for inflation.,
  - H.R.1908, To amend the Internal Revenue Code of 1986 to clarify the exemption from tax for small property insurance companies.,
  - H.R.198, Prohibition on United Nations Taxation Act of 2001,
  - H.R.2082, Small Business Health Insurance Expansion Act of 2001,
  - H.R.2696, Auto Safety Assurance Act of 2001,
  - H.R.3, Economic Growth and Tax Relief Act of 2001,
  - H.R.3000, Low-to-Moderate Income Home Ownership Tax Credit Act,
  - H.R.3090, Economic Security and Recovery Act of 2001,

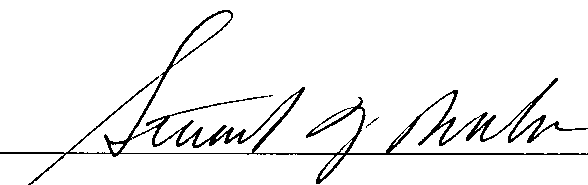
17. House(s) of Congress and Federal agencies contacted  Check if None

**Department of Treasury**  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Blumer, Patti</b>	
<b>Brahs, Stuart</b>	
<b>Fredrickson, Debi</b>	
<b>Riddle, Lucia</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 8/7/2002



Registrant Name: Principal Financial GroupClient Name: Principal Financial Group

Item	Description	Data
16	Lobbying Issues	H.R.4151, Fairness, Simplification and Competitiveness for American Business of 2002,
16	Lobbying Issues	H.R.4931, Retirement Savings Security Act of 2002,
16	Lobbying Issues	H.R.4941, Permanent Income Tax Rate Reduction Act of 2002,
16	Lobbying Issues	H.R.776, To amend the Internal Revenue Code of 1986 to exempt the deduct charitable contributions from the phaseout of itemized deductions.,
16	Lobbying Issues	H.R.831, Long-Term Care and Retirement Security Act of 2001,
16	Lobbying Issues	S. 2710, To amend the Internal Revenue Code of 1986, to provide a credit for health insurance expenses of small businesses.,
16	Lobbying Issues	S. 2722, To amend the Internal Revenue Code of 1986,
16	Lobbying Issues	S.1282, Mortgage Cancellation Relief Act of 2001,
16	Lobbying Issues	S.1396, A bill to amend the Internal Revenue Code of 1986 to allow a credit against income tax for the purchase of a principal residence by a first-time homebuyer.,
16	Lobbying Issues	S.1504, Internet Tax Moratorium Extension Act,
16	Lobbying Issues	S.1838, Pension Protection and Diversification Act of 2001,
16	Lobbying Issues	S.288, Internet Tax Nondiscrimination Act,
16	Lobbying Issues	S.590, Relief, Equity, Access, and Coverage for Health (REACH) Act,
16	Lobbying Issues	S.777, Internet Tax Nondiscrimination Act,
16	Lobbying Issues	S.835, Detroit River International Wildlife Refuge Establishment Act,
16	Lobbying Issues	S.896, Restoring Earnings to Lift Individuals and Empower Families (RELIEF) Act of 2001,



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code,** provide information as requested. Attach additional page(s) as needed.

15. General issue area code TEC (one per page)

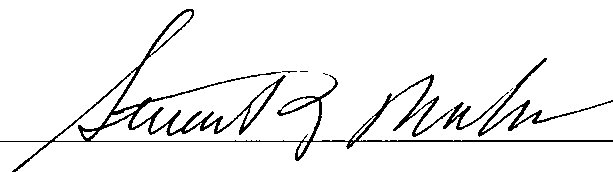
16. Specific Lobbying issues  
**S.2448, a bill enhance the protections of the internet and the critical infrastructure of the United States and purposes.,**

17. House(s) of Congress and Federal agencies contacted  Check if None  
**House of Representatives**  
**Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Brahs, Stuart</b>	
<b>Riddle, Lucia</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 8/7/2002



Registrant Name: Principal Financial Group

Client Name: Principal Financial Group

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code**, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific Lobbying issues

**H.J.RES.50, Disapproving the extension of the waiver authority contained in section 402(c) of the Trade Act respect to the People's Republic of China,**

**H.R.1104, To amend title I of the Employee Retirement Income Security Act of 1974 to provide, in the case of an employee welfare benefit plan providing benefits in the event of disability, an exemption from preemption with respect to State tort actions to recover damages for personal injury or death,**

**H.R.1446, Standard Trade Negotiating Authority Act of 2001,**

**H.R.1467, To withdraw nondiscriminatory treatment (normal trade relations treatment) from the People's Republic of China,**

**H.R.1636, To amend the Internal Revenue Code of 1986 to allow allocation of small ethanol producer credit to a cooperative, and for other purposes.,**

**H.R.1988, Trade Law Reform Act of 2001,**

17. House(s) of Congress and Federal agencies contacted  Check if None

**Department of Commerce  
Department of Treasury  
House of Representatives  
Senate  
USTR**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
<b>Brahs, Stuart</b>	
<b>Riddle, Lucia</b>	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature  Date 8/7/2002



Registrant Name: Principal Financial GroupClient Name: Principal Financial Group

Item	Description	Data
16	Lobbying Issues	H.R.2149, Trade Promotion Authority Act of 2001,
16	Lobbying Issues	H.R.2277, To provide for work authorization for nonimmigrant spouses of t traders and treaty investors.,
16	Lobbying Issues	H.R.3005, Bipartisan Trade Promotion Authority Act of 2001,
16	Lobbying Issues	H.R.3010, To amend the Trade Act of 1974 to extend the Generalized System Preferences until December 31, 2002.,
16	Lobbying Issues	H.R.3408, Comprehensive Holocaust Accountability in Insurance Measure,
16	Lobbying Issues	H.R.4444, To suspend temporarily the duty on magnesium aluminum hydro carbonate hydrate.,
16	Lobbying Issues	S.136, Fast Track Trade Negotiating Authority Act,
16	Lobbying Issues	S.137, Americas Free Trade Act,
16	Lobbying Issues	S.138, Chile-NAFTA Accession Act,
16	Lobbying Issues	S.140, United Kingdom-NAFTA Accession Act,
16	Lobbying Issues	S.2062, Comprehensive Trade Negotiating Authority Act of 2002,
16	Lobbying Issues	S.525, Andean Trade Preference Expansion Act,
16	Lobbying Issues	S.586, Chile Fast Track Act of 2001,
16	Lobbying Issues	S.599, Permanent Trade Promotion Authority and Market Access Act of 2001,
16	Lobbying Issues	S.935, United States-Commonwealth of Australia Free Trade Agreement Ac 2001,
16	Lobbying Issues	S.943, United States-New Zealand Free Trade Agreement Act of 2001,
16	Lobbying Issues	S.944, United States-Republic of Korea Free Trade Agreement Act of 2001,

