

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

**THE SMITH-FREE GROUP**

2. Address:

1401 K STREET, NW SUITE 1200, WASHINGTON, DC 20005

3. Principal place of business (if different from line 2):

Country: City: State/Zip(or Country):

4. Contact Name: ROBINA SPRUILL

Telephone: 202/393-4760

E-mail (optional): rlynch@smithfree.com

Senate ID #: 35800-455

House ID #: 30770039

7. Client Name: ☐ Self

**MASSACHUSETTS MUTUAL LIFE INSURANCE COMPANY**

### TYPE OF REPORT

8. Year 2006 Midyear (January 1 - June 30): ☒ **OR** Year End (July 1 - December 31): ☐

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

### INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

#### 12. Lobbying Firms

**INCOME** relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Income (nearest \$20,000): 120,000.00

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

#### 13. Organizations

**EXPENSES** relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Expenses (nearest \$20,000): \_\_\_\_\_

#### 14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

- ☐ **Method A.** Reporting amounts using LDA definitions only  
☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code  
☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: THE SMITH-FREE GROUP Client Name: MASSACHUSETTS MUTUAL LIFE  
INSURANCE COMPANY

**LOBBYING ACTIVITY**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: FIN (one per page)

16. Specific lobbying issues:

S. 1037 "Mutual Fund Transparency Act of 2005" SEC Proposed Late Trading/Hard 4:00 Close Rule S. 751 "Notification of Risk to Personal Data" S. 768 "Comprehensive ID Theft Prevention Act HR 1069 Notification of Risk to Personal Data Act HR 3140 Consumer Data Security and Notification Act of 2005HR 3375 Data Security Bill-Fair Credit Reporting Act Amendments of 2005 HR 3997 Financial Data Protection Act of 2006 HR 4127 Data Accountability and Trust Act (DATA)

17. House(s) of Congress and Federal agencies contacted:

House

Senate

18. Name of each individual who acted as a lobbyist in this issue area:

Name: FREE, JAMES C.

Covered Official Position (if applicable):

Name: HICKMOTT, ROBERT

Covered Official Position (if applicable):

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: THE SMITH-FREE GROUP Client Name: MASSACHUSETTS MUTUAL LIFE  
INSURANCE COMPANY

**LOBBYING ACTIVITY.**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: INS (one per page)

16. Specific lobbying issues:

House legislation to modernize insurance regulation, including creation of an optional federal charter for life insurers, no bill number. State Modernization and Regulatory Transparency Act (SMART Act, Draft Bill) S. 2509 National Insurance Act of 2006

17. House(s) of Congress and Federal agencies contacted:

House

Senate

18. Name of each individual who acted as a lobbyist in this issue area:

Name: FREE, JAMES C.

Covered Official Position (if applicable):

Name: HICKMOTT, ROBERT

Covered Official Position (if applicable):

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: THE SMITH-FREE GROUP Client Name: MASSACHUSETTS MUTUAL LIFE  
INSURANCE COMPANY

**LOBBYING ACTIVITY**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: RET (one per page)

16. Specific lobbying issues:

HR 4 Pension Protection Act of 2006

17. House(s) of Congress and Federal agencies contacted:

House

Senate

18. Name of each individual who acted as a lobbyist in this issue area:

Name: FREE, JAMES C.

Covered Official Position (if applicable):

Name: HICKMOTT, ROBERT

Covered Official Position (if applicable):

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: THE SMITH-FREE GROUP Client Name: MASSACHUSETTS MUTUAL LIFE  
INSURANCE COMPANY

**LOBBYING ACTIVITY**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

HR 809 To make permanent the individual income tax rates for capital gains and dividends. (Introduced in House HR 819, S. 381 Retirement Security for Life Act of 2005 HR 2121, S. 1740 Generate Retirement Ownership Through Long-Term Holding Act of 2005 (provisions related to Mutual Fund capital gain distributions) HR 2251 COLI Best Practices Act of 2005 HR 2386, S. 1112 College 529 Invest Act of 2005 HR 2682 Long-Term Care and Retirement Security Act of 2005 HR 4297 The Tax Relief Extension Reconciliation Act of 2005 became Public Law No. 109-222, capital gains and stock dividend tax rates provisions HR 8 Death Tax Repeal Permanency Act of 2005 HR 5638 Permanent Estate Tax Relief Act of 2006 S. 219 National Employee Savings and Trust Equity Guarantee Act of 2005 S. 381 Retirement Security for Life Act of 2005 S. 993 To amend the Internal Revenue Code of 1986 to impose an excise tax on amounts received under certain insurance policies in which certain exempt organizations hold an interest. S. 1244 Long-Term Care and Retirement Security Act of 2005 S. 1293 A bill to amend the Internal Revenue Code of 1986 to permit the consolidation of life insurance companies with other companies. S. 1602 Improving Long-Term Care Choices Act of 2005 S. 2020 Tax Relief Act of 2005

17. House(s) of Congress and Federal agencies contacted:

House  
Senate

18. Name of each individual who acted as a lobbyist in this issue area:

Name: FREE, JAMES C.

Covered Official Position (if applicable):

Name: HICKMOTT, ROBERT

Covered Official Position (if applicable):

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: THE SMITH-FREE GROUP Client Name: MASSACHUSETTS MUTUAL LIFE  
INSURANCE COMPANY

**LOBBYING ACTIVITY**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TOR (one per page)

16. Specific lobbying issues:

Civil Justice Reform

17. House(s) of Congress and Federal agencies contacted:

House

Senate

18. Name of each individual who acted as a lobbyist in this issue area:

Name: FREE, JAMES C.

Covered Official Position (if applicable):

Name: HICKMOTT, ROBERT

Covered Official Position (if applicable):

19. Interest of each foreign entity in the specific issues listed on line 16 above: **None**

Signature: ON FILE Date: Aug 14, 2006

Printed Name and Title: Alicia W. Smith Sr. VP & Corp. Secretary -