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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name CAPITAL ASSOCIATES, INC			
2. Address ☐ Check if different than previously reported 44 SCHOOL STREET, Suite 815			
3. Principal Place of Business (if different from line 2) City: BOSTON State/Zip (or Country): MA 02108			
4. Contact Name EDWARD E. O'SULLIVAN		Telephone 617-367-3113	5. Senate ID #
7. Client Name ☐ Self NIPMUC NATION TRIBAL COUNCIL		E-mail (optional)	6. House ID # 3563000

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) ☐ OR Year End (July 1-December)

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ Termination Date

11. No Lobbying Activity ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms
INCOME relating to lobbying activities for this reporting period was:
Less than \$10,000 ☐
\$10,000 or more ☒ **\$ 20,000**
Income (nearest \$20,000)

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations
EXPENSES relating to lobbying activities for this reporting period were:
Less than \$10,000 ☐
\$10,000 or more ☐ **\$** _____
Expenses (nearest \$20,000)

14. REPORTING METHOD. Check box to indicate proper accounting method. See instructions for description of options.
☐ Method A. Reporting amounts using LDA definitions or
☐ Method B. Reporting amounts under section 6033(b)(8) Internal Revenue Code
☐ Method C. Reporting amounts under section 162(c) of Internal Revenue Code

Signature

Edward E. O'Sullivan

Printed Name and Title

EDWARD E. O'SULLIVAN, PRESIDENT

LD-2 (REV. 6/98)

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[REDACTED]

CAPITAL ASSOCIATES, INC
Registrant Name _____ Client Name NIPMUL NATION TR

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code IND (one per page)

16. Specific lobbying issues

Dept of Interior / Bureau of INDIAN AFFAIRS
FEDERAL RECOGNITION PETITION FOR NIPMUL
NATION (69A).

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

HOUSE OF REPRESENTATIVES
SENATE
OFFICE OF SECRETARY OF THE INTERIOR

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
EDWARD E. O'SULLIVAN	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Edward E. Sullivan Date February 12,
Printed Name and Title EDWARD E. O'SULLIVAN

[REDACTED]