Clerk of the House of Representatives Secretary of the Senate Legislative Resource Center B-106 Cannon Building Washington, DC 20515

Office of Public Records 232 Hart Building Washington, DC 20510

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## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

I. Registrant Name		
Caterpillar Inc.		
2. Address Check if different than previously reported 100 N. E. Adams Street		
3. Principal Place of Business (if different from line 2)  City: Peorig State/Z	ip (or Country) 1% 61629-1430	
4. Contact Name Velophone	E-mail (optional)	5. Senate ID#
Douglas P. Crew (309) 675-5248 c:	rew_douglas_p@CAT.com	8475-12
7. Client Name Self		6. House ID#
T CHORE MAD SEG		31319000
9. Check if this filing amends a previously filed version of this:  10. Check if this is a Termination Report □ ⇒ Termination  INCOME OR EXPENSES - Complete Either	Date	11. No Lobbying Activity
12. Lobbying Firms	13. Organiza	itlons
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying active period were:	vities for this reporting
Less than \$10,000 🛄	Less than \$10,000 🚨	
\$10,000 or more	\$10,000 or more 20 🖘 \$ 180.0	OG_00
Fecon≠ (nearest \$20,000)	14. REPORTING METHOD. Chec	
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all	accounting method. See instructions i	` '
payments to the registrant by any other entity for lobbying	Method A. Reporting amounts up	
activities on behalf of the client).	Method B. Reporting amounts u Internal Revenue Co.	
	Method C. Reporting amounts p	inder section 162(e) of the
Signature Mai glaster		
Printed Name and Title Bouglas P. Crew, Manager		-
10-2 (REV. 6/98)		PAGE Lor

Registrant Name	Caterpillar Inc.	_ Client Name	Self	
engaged in loboy	TIVITY. Select as many codes a ing on behalf of the client during quested. Attach additional page(s	the reporting po	reflect the general issue areas in which the registra riod. Using a separate page for each code, provi	nt de
15. General issue	c area code <u>ENG</u> (one po	r page)		
16. Specific lobb	oying issues			
	tions Bill for Interior a to stationary power prog		Agencies (NR 4602) - provisions	
Utility R	estructuring Bill (HR 294	14)		
17. House(s) of	Congress and Federal agencies co	ontacted	Check if None	
Senate EPA	Representatives			
,	ch individual who acted as a lobb	yist in this issue	: area	
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19. Interest of ea	ch foreign entity in the specific issue	s listed on line 1	Sabove Check if None	
Signature			Date	
Printed Name an	d Title			
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Registrant Name_	Caterpillar	Inc.	Client Name	Self		
engaged in lobby	TIVITY. Selecting on behalf of quested. Attach	the client during	the reporting pe	effect the general issue areas in wh riod. Using a separate page for ea	ich the registrant ich code, provide	
15. General issu	e area code <u>T</u>	RD (one pe	r page)			
16. Specific lob Support f		oprt issues	through adv	ocacy and business relati	ons offices	
Senate Departmen Departmen	Congress and Fe t of Commerce t of State t of Energy	_	ontacted	Check if None		
18. Name of ea	ch individual wh	o acted as a lobby	yist in this issue	area		
	Name		1	Covered Official Position (if applica	obic)	New
Richard B	rent		Dire	ctor of Government Affair	<u>'</u> s	ם
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Signature				Date		].  -
Printed Name ar	d Title				·	_
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Registrant Name	Caterpillar In	C. Client Nan	self	
engaged in lobby	ing on behalf of the	many codes as necessary client during the reportin titional page(s) as needed	to reflect the general issue areas in which the regist g period. Using a separate page for each code, pro	rant vide
15. General issu	area code HCR	(one per page)	•	
16. Specific lobi				
Oppose HR Support S	2723 Bipartisa 1344 Patients'	n Consensus Manag Bill of Rights A	ed Care Emprovement Act of 1999 et of 1999	
17. House(s) of	Congress and Federa	al agencies contacted	Check if None	
18. Name of ea	ch individual who ac	ted as a lobbyist in this	SSue area	
	Name		Covered Official Position (if applicable)	New
Lisa R. Y	oung		Communications Representative	
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10 Interest of ex	Ich foreien enritu in the	t specific issues listed on li	ne 16 sbove Check if None	
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Signature_			Date	
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Registrant Name Caterpillar Inc. Cliest N	amrSelf					
LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.						
15. General issue area code TRD (one per page)						
16. Specific lobbying issues Support China PNTR						
oughour surface that						
17. House(s) of Congress and Federal agencies contacted USTR	Check if None					
House of Representatives Senate						
White House State Department						
Treasury Department Commerce Department						
18. Name of each individual who acted as a lobbyist in thi	1					
Narroe	Covered Official Position (if applicable)	New				
Lisa H. Young	Communications Representative	0				
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15. General issu	oc area code ENG (one per page)		:
16. Specific lot	obying issues		
	ER.2944: Blectricity Restruct	turing	
17. House(s) o	f Congress and Federal agencies contacted	☐ Check if None	
	U.S. House of Representatives U.S. Senate Department of Energy		
	weder manuer in warred		
18 Name of ea	ach individual who acted as a lobbyist in thi	C ICEUP STAG	
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	Rita L. Castle	Covered Official Position (if applicable)  Issues Analysis Manager	
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15. General issue area code TOR (one per page	e)	
16. Specific lobbying issues		
WR. 2005 - Workplace Goods 3	lob Growth and Competitiveness Act	
S. 353 - Interstate Class Ad	ction Fairness Act	
17. House(s) of Congress and Federal agencies contacte U.S. House of Representative U.S. Senate Department of Justice Administration		
18. Name of each individual who acted as a lobbyist in	1	1 !
Name	Covered Official Position (if applicable)	New
Rita L. Castle	lesues Aualysis Manager	
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Registrant Name_	Caterpillar Inc.	Client Name	Self	
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15. General issu	ue area code SCI (one p	er page)		
16. Specific lot	obying issues			
	Department of Energy, O HDDE Program Advanced Petroleum Ba		Lations (FY 2001)	
17. House(s) o	f Congress and Federal agencies (	contacted	Check if None	
	U.S. House of Represent U.S. Senate Department of Energy	atives		
18. Name of e	ach individual who soted as a lobi	oyist in this issue	arca	
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LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.  15. General issue area code NAT (one per page)  16. Specific lobbying issues  Department of Interior/BLM/Hountain Top Hining Mining Reform/ Millsite Issues  17. House(s) of Congress and Federal agencies contacted Check if None  18. Name of each individual who acted as a lobbyist in this issue area  Name Covered Official Position (if applicable) New Rita L. Castle Issues Analysis Manager  Rita L. Castle Issues Analysis Manager  19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None  Signature Date  Priested Name and Title	Registrant Name	Caterpillar	Inc.	_Client Name	Sell	
16. Specific lobbying issues  Department of Interior/BLM/Hountain Top Mining Nining Reform/ Millsite Issues  17. House(s) of Congress and Federal agencies contacted	engaged in lobby	ring on behalf of	the client during	the reporting pe	effect the general issue areas in which the registrant riod. Using a separate page for each code, provide	
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17. House(s) of Congress and Federal agencies contacted	16. Specific lob	bying issues				
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Name Covered Official Position (if applicable) Rita L. Castle Issues Analysis Manager  I I I I I I I I I I I I I I I I I I	17. House(s) of	Congress and Fr	ederal agencies co	ntacted	☐ Check if None	
Name  Covered Official Position (if applicable)  Rita L. Castle  Issues Analysis Manager  Covered Official Position (if applicable)  Issues Analysis Manager  Covered Official Position (if applicable)  Covered Official Position (if applicabl						
Name  Rita L. Castle  Issues Analysis Manager						
Rita L. Castle  Issues Analysis Manager	18. Name of ca	ch individual wh	io acted as a lobby	rist in this issue	arca	
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15. General issu	e area codeTR	O (one p	er page)			
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Registrant Name Caterpillar Inc. Client No.	smeSelf	
LOBBYING ACTIVITY. Select as many codes as necessal engaged in lobbying on behalf of the client during the report information as requested. Attach additional page(s) as need	ing period. Using a separate page for each code, provide	
15. General issue area code TAX (one per page)		
16. Specific lobbying issues Support Deferral for Active Financing is Support IRS Notices (98-35 and similar) Support R&D Credit extension (Support MI Support International Simplification Pro Oppose Administration's budget propose Support the Export Source Rule Support Provisions Relating to Foreign S	concerning hybrid entities R 760, S 195) ovisions (HR 2018, S 1164) als relating to increased taxes on exporter	*\$
17. House(s) of Congress and Federal agencies contacted	Check if None	
House of Representatives Senate Treasury Department USTR Executive Office of the White Rouse		
18. Name of each individual who acted as a lobbyist in this	s issue area	
Name	Covered Official Position (if applicable)	New [
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John T. Dishareon	Washington Manager	0
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John T. Disharcon  19. Interest of each foreign entity in the specific issues listed on	Washington Manager    Date   D	

Registrant Name Catterpillar Inc. Client No.	ameSelf	
LOBBYING ACTIVITY. Select as many codes as necessal engaged in lobbying on behalf of the client during the report information as requested. Attach additional page(s) as need	ting period. Using a separate page for each code, provide	
15. General issue area code TRD (one per page)		
16. Specific lobbying issues		
Support bi-lateral trade discussions wit Support Africa Growth and Opportunity Ac	et (HR 434, S 666) 1999 (HR 1993, S 688) including OPIC, TDA,	
17. House(s) of Congress and Federal agencies connected	☐ Check if None	
House of Representatives Senate USTR Commerce Department Executive Office of the White House		
18. Name of each individual who acted as a lobbyist in this	•	1 I
Name	Covered Official Position (if applicable)	New
John T. Disharoon	Washington Manager	
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Registrant Name_	CATERPILLAR INC.	Client Name Self	
engaged in looby	CTIVITY. Select as many codes a ying on behalf of the client during equested. Attach additional page(s	as necessary to reflect the general issue areas in which the registrant the reporting period. Using a separate page for each code, provide s) as needed.	:
15. General issu	re area code <u>new</u> (one po	er page)	
16. Specific lab		1122 - Dept. of Defense Appropriations Act)	
U.S. Hous	Congress and Federal agencies of se of Representatives	ontacted Check if None	
U.S. Seni	ate		
18. Name of es	ch individual who acted as a lobby	yist in this issue area	
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	Name	Covered Official Position (if applicable)	Neu
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James P.	Palloran	1	a
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15. General issue area code LBR	(one per page)
Support OSHA Reform (H.R. 14 Support OSHA Reform (H.R. 14 Support OSHA Reform (H.R. 98	Safety Advancement for Employees Act of 1999 27) Safety Advancement for Employees Act of 1999 34, B.R. 1436-39) 7) Workplace Preservation Act gulations - Froposal Dealing with Federal Contract Awards
17. House(s) of Congress and Federal ag U.S. House of Representative U.S. Senate Office of Federal Procurement Office of Management & Budge Executive Office of the Free	s t Policy t ideat
18. Name of each individual who acted	s a loboyist in this issue area
Name	Covered Official Position (if applicable)
James P. Halloran	Washington Manager, Governmental Affairs
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15. General issu	ue area code <u>RC</u> J	(one pe	er page)			
16. Specific lob	bying issues					
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18. Name of ea	ich individual who	o acted as a lobby	yist in this issue area			
	· Name			Covered Official Position (if applicable)	Ne	:w-
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