

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

BLUE CROSS & BLUE SHIELD ASSN

2. Address:

1310 G ST NW, WASHINGTON, DC 20005

3. Principal place of business (if different from line 2):

City: 1310 G STREET, N.W. State/Zip(or Country): DC 20005

4. Contact Name: WILLIAM A. BRESKIN

Telephone: 202-942-1064

E-mail (optional): william.breskin@wro.bcbsa.com

Senate ID #: 6358-12

House ID #: 315760000

7. Client Name: ☒ Self

TYPE OF REPORT

8. Year 2001 Midyear (January 1 - June 30): ☒ **OR** Year End (July 1 - December 31): ☐

9. Check if this filing amends a previously filed version of this report: ☐

10. Check if this is a Termination Report: ☐ => Termination Date: 11. No Lobbying Activity: ☐

INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000: ☐

\$10,000 or more: ☐ => Income (nearest \$20,000): _____

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000: ☐

\$10,000 or more: ☒ => Expenses (nearest \$20,000): 3,360,000.00

14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

- ☒ **Method A.** Reporting amounts using LDA definitions only
☐ **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code
☐ **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: BLUE CROSS & BLUE SHIELD ASSN Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BUD (one per page)

16. Specific lobbying issues:

Appropriations, Medicare Contractor Funding (no bill introduced)

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

Health & Human Services, Dept of (HHS)

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BERGMAN, ANDREA

Covered Official Position (if applicable): N/A

Name: FOX, ALISSA

Covered Official Position (if applicable): N/A

Name: HANDELMAN, JUSTINE

Covered Official Position (if applicable): N/A

Name: HEAD, BILL

Covered Official Position (if applicable): N/A

Name: LAPIERRE, STEVEN

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: BLUE CROSS & BLUE SHIELD ASSN Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: GOV (one per page)

16. Specific lobbying issues:

"campaign Finance", H.R.380 (Shays) Bipartisan Campaign Reform Act of 2001

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area:

Name: TRIMBLE, BERRY

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: HCR (one per page)

16. Specific lobbying issues:

"Uninsured" (no bill) "e-health", Delivery of health through electronic means (no bill) "Mental Health", Costs of mental health parity benefits (no bill) "Medical Privacy", HHS Final Rule: 45 CFR Parts 160 and 164, HIPAA "AHPs/MEWAs", H.R.1774 (Fletcher) "Administrative Simplification", H.R.1975 (Shadegg), S.836 (Craig) "PBOR", S.1052 entire bill, H.R.526 entire bill(Patients' Bill of Rights) "MSAs", S.284 (McCain)(Bipartisan Patient Protection Act of 2001 - Part II) "Dept. of Labor Rule - Claims Procedures Under ERISA", extension of compliance date "Application of Cost Accounting Standards to FEHBP contracts" "Government-Wide Service Benefit Plan: benefits changes for 2002

17. House(s) of Congress and Federal agencies contacted:

Congressional Budget Office (CBO)
HOUSE OF REPRESENTATIVES
Health & Human Services, Dept of (HHS)
Labor, Dept of (DOL)
Office of Personnel Management (OPM)
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BECKER, BRENDA LARSON
Covered Official Position (if applicable): N/A
Name: BERGMAN, ANDREA
Covered Official Position (if applicable): N/A
Name: CERISANO, JOHN
Covered Official Position (if applicable): N/A
Name: ERICKSEN, JACK
Covered Official Position (if applicable): N/A
Name: FOX, ALISSA
Covered Official Position (if applicable): N/A
Name: HALTMEYER, KRIS
Covered Official Position (if applicable): N/A
Name: HEAD, BILL
Covered Official Position (if applicable): N/A
Name: HICKS, CAROLYN
Covered Official Position (if applicable): N/A
Name: LAPIERRE, STEVEN
Covered Official Position (if applicable): N/A
Name: LEHNHARD, MARY NELL
Covered Official Position (if applicable): N/A
Name: MUSKER, JOE
Covered Official Position (if applicable): N/A
Name: NYQUIST, CHRISTINA
Covered Official Position (if applicable): N/A
Name: SEROTA, SCOTT
Covered Official Position (if applicable): N/A
Name: SLACKMAN, JOEL
Covered Official Position (if applicable): N/A
Name: TRIMBLE, BERRY
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: BLUE CROSS & BLUE SHIELD ASSN Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: INS (one per page)

16. Specific lobbying issues:

"Tax Credits to Purchase Health Insurance", S.590 (Jeffords) entire bill; S.683 (Santorum) "Tax Credits for Small Businesses to Purchase Health Insurance", (Durbin, no bill introduced)

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CERISANO, JOHN

Covered Official Position (if applicable): N/A

Name: HALTMEYER, KRIS

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: BLUE CROSS & BLUE SHIELD ASSN Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: LBR (one per page)

16. Specific lobbying issues:

"Antitrust, Physician Collective Bargaining", no bill

17. House(s) of Congress and Federal agencies contacted:
HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area:

Name: CERISANO, JOHN

Covered Official Position (if applicable): N/A

Name: HALTMEYER, KRIS

Covered Official Position (if applicable): N/A

Name: LAPIERRE, STEVEN

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: BLUE CROSS & BLUE SHIELD ASSN Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: MMM (one per page)

16. Specific lobbying issues:

"Medicare Reform/Outpatient Prescription Drugs", S.1135 (Graham) entire bill "Medicare+Choice Funding", no bill "Medicare Contractors' Computer Systems Security", no bill

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES
Health & Human Services, Dept of (HHS)
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BERGMAN, ANDREA

Covered Official Position (if applicable): N/A

Name: CERISANO, JOHN

Covered Official Position (if applicable): N/A

Name: ERICKSEN, JACK

Covered Official Position (if applicable): N/A

Name: FOX, ALISSA

Covered Official Position (if applicable): N/A

Name: HANDELMAN, JUSTINE

Covered Official Position (if applicable): N/A

Name: HEAD, BILL

Covered Official Position (if applicable): N/A

Name: LAPIERRE, STEVEN

Covered Official Position (if applicable): N/A

Name: WEBB, BRIAN

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: BLUE CROSS & BLUE SHIELD ASSN Client Name: Self

LOBBYING ACTIVITY.

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: PHA (one per page)

16. Specific lobbying issues:

"Access to Pharmaceuticals/Generics", H.R.1862 (Brown), S.812 (McCain/Schumer)"Gretaeer Access to Affordable Pharmaceuticals Act of 2001"

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: BERGMAN, ANDREA

Covered Official Position (if applicable): N/A

Name: CERISANO, JOHN

Covered Official Position (if applicable): N/A

Name: LAPIERRE, STEVEN

Covered Official Position (if applicable): N/A

Name: SIMMON, CHRISTINE

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Aug 13, 2001

Printed Name and Title: MARY NELL LEHNHARD - SENIOR VICE PRESIDENT

Information Update Page:

Complete ONLY where registration information has changed.

LOBBYIST UPDATE

23. Name of each previously reported individual who is NO LONGER expected to act as a lobbyist for the client

Name: BECKER, BRENDA LARSON

Name: WEBB, BRIAN

ISSUE UPDATE

24. General lobbying issues previously reported that NO LONGER pertain

AFFILIATED ORGANIZATIONS

25. Add the following organization(s)

26. Name of each previously reported organization that is NO LONGER affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

28. Name of each previously reported foreign entity the NO LONGER owns, OR controls, OR is affiliated with the registrant, client or affiliated organization

Signature: ON FILE Date: Aug 13, 2001

Printed Name and Title: MARY NELL LEHNHARD - Senior Vice President