Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515 Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510

SECRETARY OF 1 04 APR 12 Pi

# **LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

Registrant Name     Duke Energy Corporation	
2. Registrant Address	ent than previously reported  State/Zip (or Country) NC 28202-1904 USA
3. Principal Place of Business (if different fro	m line 2)
City	State/Zip (or Country)
4. Contact Name Richard Osborne	Telephone E-mail (optional) 5. Senate ID # 12744-12
7. Client Name 🛛 Self	6. House ID # 30901000
	S - Complete Either Line 12 <b>OR</b> Line 13
12. Lobbying Fi	
<b>INCOME</b> relating to lobbying activi period was:	ties for this reporting EXPENSES relating to lobbying activities for this repeperiod were:
Less than \$10,000 □	Less than \$10,000 □
\$10,000 or more	ne from the client ant by any other entity Method A. Reporting amounts using LDA definition
	☐ Method C. Reporting amounts under section 162( Internal Revenue Code

Signature	Date 2/14/2004	<del></del>
Printed Name and Title	Richard Osborne - Group Vice President, Public and Regulatory Policy	Pa <sub>1</sub>

Regi	istrant Name:	Duke Energy Corporation	
Clie	nt Name:	Duke Energy Corporation	
enga	ged in lobbyin	<b>FIVITY.</b> Select as many codes as necessary to reflect the general issue areas in which the range on behalf of the client during the reporting period. Using a separate page for each coducested. Attach additional page(s) as needed.	
15.	General issue	e area code <u>CAW</u> (one per page)	
16.	H.R.1950, F H.R.2042, C H.R.962, Clo H.R.999, Clo S.139, Clima S.17, Global S.1844, Clea S.194, Natio S.366, Clear	bying issues National Greenhouse Gas Emissions Inventory Act of 2003, Entire bill Foreign Relations Authorization Act, Fiscal Years 2004 and 2005, Climate change Clean Smokestacks Act of 2003, Entire bill lean Water Authority Restoration Act of 2003, Entire bill lear Skies Act of 2003, Entire bill late Stewardship Act of 2003, Entire bill l Climate Security Act of 2003, Entire bill lar Skies Act of 2003, Entire bill lonal Greenhouse Gas Emissions Inventory and Registry Act of 2003, Entire bill ln Power Act of 2003, Entire bill ln Water Authority Restoration Act of 2003, Entire bill	
17.	Department Environmen	Congress and Federal agencies contacted  of Energy  atal Protection Agency  spresentatives	
18.	Name of each	h individual who acted as a lobbyist in this issue area	
	Name	Covered Official Position (if applicable)	
	Marshall, Be	everly	
	Mitchell, Da	avid	
			·
	<del></del>		
19.	Interest of ea	nch foreign entity in the specific issues listed on line 16 above   Check if None	

Signature	Date <u>2/14/2004</u>	
Printed Name and Title	Richard Osborne - Group Vice President, Public and Regulatory Policy	Paį

Registrant Name: Duke Energy Corporation

Client Name: Duke Energy Corporation

Item	Description	Data
16 16	Lobbying Issues Lobbying Issues	S.484, Omnibus Mercury Emission Reduction Act of 2003, Entire bill S.843, Clean Air Planning Act of 2003, Entire bill

Reg	istrant Name:	Duke Energy Corporation	
Clie	nt Name:	Duke Energy Corporation	
enga	aged in lobbyin		y to reflect the general issue areas in which the registranting period. Using a separate page for each code, provided.
15.	General issue	area code <u>ENG</u> (one per page)	
16.	H.R.1013, T hydroelectric H.R.1294, T portfolio stan H.R.1337, T H.R.1338, T transmission H.R.1341, R H.R.1531, E H.R.1644, E	To amend the Federal Power Act to prove to dam licenses, and for other purposes, I To amend title VI of the Public Utility Ro Indard for certain retail electric utilities, To encourage the development of hydroe	egulatory Policies Act of 1978 to establish a Federal reno and for other purposes, Entire bill electric projects, and for other purposes, Entire bill ride for Federal and State coordination of permitting for the bill
17.	Department	rgy Regulatory Commission	☐ Check if None
18.	Name of each	n individual who acted as a lobbyist in this	issue area
	Name		Covered Official Position (if applicable)
	Haywood, M	lichael	
	Jacobs, Brya	ın	
	Marshall, Be	everly	
	Mitchell, Da	vid	
	Prenda, Bria	ın	
_			
19.	Interest of eac	ch foreign entity in the specific issues liste	d on line 16 above    Check if None

Signature	Date	4
Printed Name and Title	Richard Osborne - Group Vice President, Public and Regulatory Policy	Paş

Registrant Name: <u>Duke Energy Corporation</u>

Client Name: **Duke Energy Corporation** 

Item	Description	Data
16	<b>Lobbying Issues</b>	H.R.1961, To provide for the external regulation of nuclear safety and occupational safety and health at the Department of Energy, Entire bill
16	Lobbying Issues	H.R.6, To enhance energy conservation and research and development, to profor security and diversity in the energy supply for the American people, and for other purposes, Entire bill
16	Lobbying Issues	S.1043, Nuclear Infrastructure Security Act of 2003, Entire bill
16	Lobbying Issues	S.1045, Low-Level Radioactive Waste Act of 2003, Entire bill
16	Lobbying Issues	S.14, A bill to enhance the energy security of the United States, and for other purposes, Entire bill
16	Lobbying Issues	S.475, Electric Transmission and Reliability Enhancement Act of 2003, Entir
16	Lobbying Issues	S.688, Transition to Competition in the Electric Industry Act, Entire bill
16	Lobbying Issues	S.994, Chemical facilities Security Act of 2003, Entire bill.

Reg	istrant Name:	Duke Energy Corporation	
Clie	nt Name:	Duke Energy Corporation	
enga	aged in lobbyir		to reflect the general issue areas in which the registrant g period. Using a separate page for each code, provide
15.	General issue	area code ENV (one per page)	
16.	S.1115, Ban S.1125, FAI Fairness in A	oying issues Asbestos in America Act of 2003, Entire R Act of 2003 Asbestos Injury Resolution Act of 2003, E stos Claims Criteria and Compensation A	entire bill.
17.	` '	Congress and Federal agencies contacted presentatives	☐ Check if None
18.	Name of each	n individual who acted as a lobbyist in this i	ssue area
	Name		Covered Official Position (if applicable)
	Marshall, Be	everly	
	Mitchell, Da	vid	
		·	
19.	Interest of ea	ch foreign entity in the specific issues listed	on line 16 above   Check if None

Signature	Date	
Printed Name and Title	Richard Osborne - Group Vice President, Public and Regulatory Policy	Pag

the registrant code, provide
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one (

Signature	Date Date	
Printed Name and Title	Richard Osborne - Group Vice President, Public and Regulatory Policy	Paş

Reg	istrant Name:	Duke Energy Corporation			
Clie	nt Name:	Duke Energy Corporation			
enga	iged in lobbyin		to reflect the general issue areas in which the registrant g period. Using a separate page for each code, provide		
15.	General issue area code TAX (one per page)				
16.	H.R.1211, State and Local Aid and Economic Stimulus Act of 2003, Entire bill H.R.1531, Energy Tax Policy Act of 2003, Entire bill H.R.2313, To amend the Internal Revenue Code of 1986 to allow an additional advance refunding of tax-c issued for the purchase or maintenance of electric generation, transmission, or distribution assets, Entire b S.597, Energy Tax Incentives Act of 2003, Entire bill S.879, Economic Stimulus Act of 2003, Entire bill				
17.	House(s) of C House of Rep Senate	Congress and Federal agencies contacted presentatives	□ Check if None		
18.	Name of each	individual who acted as a lobbyist in this i	ssue area		
	Name		Covered Official Position (if applicable)		
·	Haywood, M	ichael			
	Jacobs, Brya	n			
	Marshall, Be	verly			
	Prenda, Bria	n	<u> </u>		

19. Interest of each foreign entity in the specific issues listed on line 16 above

Signature	Date 2/14/2004	
Printed Name and Title	Richard Osborne - Group Vice President, Public and Regulatory Policy	Paę

Reg	istrant Name: D	uke Energy Corporation			
Clie	nt Name: <u>D</u>	uke Energy Corporation			
enga	aged in lobbying o		y to reflect the general issue areas in which the registrant ng period. Using a separate page for each code, provide l.		
15. General issue area code TRA (one per page)					
16.	Specific Lobbying issues H.R.1644, Energy Policy Act of 2003, Alaskan Pipeline Provisions Title H.R.2088, Safe, Accountable, Flexible, and Efficient Transportation Equity Act of 2003, Motor Vehicle Safe S.1072, Safe, Accountable, Flexible, and Efficient Transportation Equity Act of 2003, Motor Vehicle Safety				
17.	Department of	<b>Regulatory Commission</b>	☐ Check if None		
18.	Name of each individual who acted as a lobbyist in this issue area				
	Name		Covered Official Position (if applicable)		
	Marshall, Bever	·ly			
	Mitchell, David				
	Prenda, Brian				
19.	Interest of each f	oreign entity in the specific issues listed	d on line 16 above   Check if None		

Filing #af98dae9-b475-4fe4-a5f7-ddf065ffaf1d - Page 17 of 18

Signature J. USWOW	Date 2/14/2004
Printed Name and Title Richard Osborne - Group Vice Pre	esident, Public and Regulatory Policy Pag