

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

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Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF THE

02 FEB 12 P

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) -All Filers Are Required to Complete This Page

1. Registrant Name Joseph L. Carter, Jr.			
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address 8804 Cord Circle City Potomac State/Zip (or Country) Md 20854 USA			
3. Principal Place of Business (if different from line 2) City State/Zip (or Country)			
4. Contact Name Joseph L. Carter, Jr.		Telephone 301-299-4357	5. Senate ID # 8384-24
7. Client Name <input type="checkbox"/> Self Norfolk Southern Corporation		6. House ID # 33489000	

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) ☐ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ >> Termination Date _____ 11. No Lobbying Activities ☐

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> >> \$ <u>\$40,000.00</u> Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	13. Organizations EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> >> \$ _____ Expenses (nearest \$20,000) 14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of <input type="checkbox"/> Method A. Reporting amounts using LDA definition <input type="checkbox"/> Method B. Reporting amounts under section 6033(c) of the Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code
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Signature _____ Date 2/11/2002

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Registrant Name: Joseph L. Carter, Jr.

Client Name: Norfolk Southern Corporation

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code AUT (one per page)

16. Specific Lobbying issues

H.R. 1815, S.804, To amend Title 49 USC to require phase increases in the fuel efficiency standards applicable trucks, to require fuel economy standards for automobiles up to 10000 pounds gross weight and to raise the fuel economy of the federal fleet of vehicles., Opposed these bills that would legislate standards.

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Carter, Jr., Joseph L.	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature _____

Date 2/11/2002

Printed Name and Title **Joseph L. Carter, Jr. - Consultant**

[REDACTED]

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15. General issue area code BUD (one per page)

16. Specific Lobbying issues

H.R. 2299. S.1178, To make appropriations for DOT and related agencies for fiscal 2002., Opposed new user supported funding for the STB, FRA, positive train control, and grade crossing safety.

17. House(s) of Congress and Federal agencies contacted
House of Representatives
Senate

☐ Check if None

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15. General issue area code ENG (one per page)

16. Specific Lobbying issues

H.R. 2893, To extend indemnification authority under section 130 of the Atomic Energy Act, etc., Sought clarification relating to indemnification for railroad precautionary evacuations, FELA claims, and business losses.

H.R. 4, S.388, S.389, S.1766, A bill to enhance energy conservation, research and development and to provide security for the American people, etc., Supported Clean Technology and railroad locomotive efficiency federal partnership (sections 152 and 1214 of H.R. 4 and S. 1776).

Kyoto Protocol(KP), No KP protocol submitted., Opposed Kyoto Protocol.

17. House(s) of Congress and Federal agencies contacted

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House of Representatives

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15. General issue area code ENV (one per page)

16. Specific Lobbying issues

S. 350, H.R. 2869, A bill to amend CERCLA to promote the cleanup and reuse of brownfields, to provide financial assistance for brownfield revitalization, to enhance state response programs, etc., Explored adding railroad air on spur lines and contract carriage. Supported brownfields.

S. 556 and H.R. 1256, A bill to amend the Clean Air Act to reduce emissions from electric powerplants etc., Opposed legislation.

17. House(s) of Congress and Federal agencies contacted

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15. General issue area code HCR (one per page)

16. Specific Lobbying issues

S. 1052, H.R. 2563, A bill to amend the Public Health Service Act and ERISA of 1984 to protect consumers in care and other health coverage., Opposed expanding right to sue, particularly exposing employers to addition:

17. House(s) of Congress and Federal agencies contacted

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15. General issue area code LBR (one per page)

16. Specific Lobbying issues

No Bill, STB Docket 33388, Opposed efforts to prevent NS from closing Pennsylvania car repair shop.

17. House(s) of Congress and Federal agencies contacted

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House of Representatives

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15. General issue area code RET (one per page)

16. Specific Lobbying issues

H.R. 1140, S.697, and H.R. 10 (PL-107-90), To modernize the financing of the railroad retirement system to enhanced benefits to employees and beneficiaries., Supported bills.

17. House(s) of Congress and Federal agencies contacted

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15. General issue area code ROD (one per page)

16. Specific Lobbying issues

H.R. 2299, To make appropriations for the DOT and related agencies for fiscal 2002. , Opposed efforts to increase size and weights.

No bill, TEA 21 Reauthorization, Began to focus in a preliminary way on the reauthorization of TEA-21 (high speed rail legislation) that expires in the next Congress and on which hearing will be held.

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15. General issue area code RRR (one per page)

16. Specific Lobbying issues

H.R. 1020, S.1220, A bill to authorize the Secretary of DOT to establish a grant program for the rehabilitation, preservation, or improvement of rail track., Supported.

H.R. 2950, S. 1530, To provide for the financing of high-speed rail infrastructure and for other purposes., Support additional resources for rail infrastructure and for the removal of obstacles to make loan program work.

S. 1103, H.R. 141, and H.R. 999, To amend title 49 USC to enhance competition among and between rail carriers, ensure efficient rail service and effective competition and for other purposes., Opposed legislation that would the rail industry.

S. 1550, S.1530, To provide for rail safety and security., Supported provisions providing railroads funds for a security required by the September 11, 2001 terrorist attack.

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Joseph L. Carter, Jr.

Date 2/11/2002

Printed Name and Title: Joseph L. Carter, Jr. - Consultant



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15. General issue area code **TAX** (one per page)

16. Specific Lobbying issues

H.R. 1024, S.661, To amend the Internal Revenue Code of 1986 to repeal the 4.3 cent fuel excise tax on railroads inland waterways., Supported

H.R. 3090, H.R. 3529, To provide incentives for economic recovery and assistance to displaced workers., Support depreciation and sought clarification to make certain railroad properties qualify.

S. 250, H.R. 2329, To amend the Internal Revenue Code of 1986 to allow a credit to holders of qualified bonds: Amtrak., Supported.

17. House(s) of Congress and Federal agencies contacted

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15. General issue area code WAS (one per page)

16. Specific Lobbying issues

S.1194 and H.R. 1213 , To impose certain limitations on the receipt of out-of-state municipal waste,etc. , Oppc restricting states' importing waste and allowing local governments to direct disposal or flow control.

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