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Legislative Resource Center
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Washington, DC 20510

SECRETARY

02 MA

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Philip S. Smith & Associates, Inc.			
2. Address <input checked="" type="checkbox"/> Check if different than previously reported 611 Pennsylvania Ave., S.E., Suite 381, Washington, D.C. 20003			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name Philip S. Smith	Telephone (202) 543-1444	E-mail (optional)	5. Senate ID # 48257-
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 3488-

TYPE OF REPORT 8. Year 2001 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇒ Termination Date _____

11. No Lobbying Activities

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms

INCOME relating to lobbying activities for this reporting period was:

Less than \$10,000

\$10,000 or more ⇒ \$ _____
Income (nearest \$20,000)

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations

EXPENSES relating to lobbying activities for this reporting period were:

Less than \$10,000

\$10,000 or more ⇒ \$ 40,000.
Expenses (nearest \$20,000)

14. REPORTING METHOD. Check box to indicate reporting method. See instructions for description of method.

Method A. Reporting amounts using LDA definition

Method B. Reporting amounts under section 6033(b) Internal Revenue Code

Method C. Reporting amounts under section 162(e) Internal Revenue Code

Signature _____

Philip S. Smith, President

Filing #adc9c33a-363d-47d1-8d1b-cb70e8bb8015 - Page 1 of 26

7-14-01

Printed Name and Title

_____/_____/_____

LD-2 (REV. 6/98)

PAGE



Registrant Name Philip Smith & Assoc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the reg engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code VET (one per page)

16. Specific lobbying issues

Congressional oversight regarding the implementation of the Hmong Veterans Naturalization Act (PL 106-207: H.R. 371 & S. 890).

Educating Members of Congress and Administration officials about the critical role of the Hmong and Laotian people in assisting U.S. military and clandestine forces during the Vietnam War.

Developing legislation to extend the Hmong Veterans Naturalization Act by 1 additional mon

17. House(s) of Congress and Federal agencies contacted Check if None

- U.S. House of Representatives
- U.S. Senate
- U.S. Department of Veterans Affairs
- U.S. Department of Justice
- Immigration & Naturalization Service
- U.S. Department of Defense
- U.S. Central Intelligence Agency

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Philip S. Smith	NA
Chia Kue	NA
Lisa Kue	NA
Chris Johnson, Esq.	NA
B. Jenks Middleton, Esq.	NA
Lawrence Devlin	NA
Philip J. Smith	NA

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Philip S. Smith* Date 7-14-0

Printed Name and Title Philip S. Smith, President



Registrant Name Philip S. Smith & Associates Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code FOR (one per page)

16. Specific lobbying issues

Sudan Peace Act, H.R. 2052, S. 180, All Titles.
H.R. 2069 & S. Con. Res. 53, Regarding Sub-Saharan Africa, All Titles.

H.R. 2069, Global Access to HIV & AIDS Prevention, Awareness, Education and Treatment Act, All Titles.

S. Con. Res. 34, To Congratulate the Baltic Nations of Estonia, Latvia & Lithuania on the 10th Anniversary of the end of their incorporation into the US

17. House(s) of Congress and Federal agencies contacted

Check if None

- U.S. House
- U.S. Senate
- U.S. Department of State
- U.S. AID

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Philip S. Smith	NA

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Philip S. Smith* Date 7-14-0

Printed Name and Title Philip S. Smith, President



Registrant Name Philip Smith & Assoc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code FOR (one per page)

16. Specific lobbying issues

H. Con. Res. 15 (S. Con. Res. 6), Expressing sympathy for the victims of the devastating earthquake that struck India on January 26, 2001, and support for ongoing aid efforts.

S. 1401 & H.R. 1646, Foreign Relations Authorization Act for FY 2002 & FY 2003, All Titles.

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
U.S. Senate
U.S. Department of State
USAID

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Philip S. Smith	NA

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Philip S. Smith* Date 7-14-0

Printed Name and Title Philip S. Smith, President

V



Registrant Name Philip S. Smith & Assoc Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code FOR (one per page)

16. Specific lobbying issues

H. Con. Res. 52, Condemning the destruction of pre-Islamic statues in Afghanistan by the Taliban regime.

H. Cong Res. 26, Expressing the Sense of Congress regarding the Taliban-led government in Afghanistan and urging the denial of the United Nations seat to the Taliban regime.

Support for the "Loya Jirga" (Grand Assembly) Process to promote democracy.

17. House(s) of Congress and Federal agencies contacted

Check if None

- U.S. House of Representatives
- U.S. Senate
- U.S. Department of State
- U.S. Department of Defense
- Central Intelligence Agency
- U.S. Agency for International Development
- Others

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mr. Philip S. Smith	N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature Philip S. Smith

Date 7-14-0

Printed Name and Title Philip S. Smith, President



Registrant Name Philip S. Smith & Assoc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code FOR (one per page)

16. Specific lobbying issues

Urging the U.S. Congress to press for, and help provide, U.S. military and covert assistance, as well as emergency humanitarian aid, to the Northern Alliance and other anti-Taliban regime groups in Afghanistan.

H.R. 1646, Foreign Relations Authorization Act, Fiscal Years 20 and 2003 (All Titles).

17. House(s) of Congress and Federal agencies contacted Check if None

- U.S. House of Representatives
- U.S. Senate
- U.S. State Department
- U.S. Department of Defense
- U.S. Central Intelligence Agency
- U.S. AID
- Others

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Philip S. Smith	N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Philip S. Smith* Date 7-14-0
Printed Name and Title Philip S. Smith, President



Registrant Name Philip S. Smith & Assoc Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code FOR (one per page)

16. Specific lobbying issues

Senate Concurrent Resolution 42, Condemning the Taliban regime in Afghanistan for discriminatory policies toward Muslims, Hindus, women, and minorities, (All Titles).

H. Con. Res. 145, Condemning the recent order by the Taliban regime of Afghanistan to require Hindus in Afghanistan to wear symbols identifying them as Hindu, (All Titles).

17. House(s) of Congress and Federal agencies contacted

Check if None

U.S. House of Representatives
U.S. Senate
U.S. Department of State
U.S. Department of Defense
Central Intelligence Agency
U.S. AID
Others

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Philip S. Smith	N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature Philip S. Smith

Date 7-14-0

Printed Name and Title Philip S. Smith, Pres.



Registrant Name Philip S. Smith & Assoc Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and providing information as requested. Attach additional page(s) as needed.

15. General issue area code FOR (one per page)

16. Specific lobbying issues

Urging the U.S. Congress to support the "Loya Jirga" Process to seek to restore democracy and human rights to the people of Afghanistan.

Urging support for various bipartisan U.S. Congressional letters regarding humanitarian assistance, human rights and other matters regarding Afghanistan.

17. House(s) of Congress and Federal agencies contacted Check if None

U.S. House of Representatives
U.S. Senate
U.S. Department of State
U.S. Department of Defense
Central Intelligence Agency
U.S. AID
Others

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Philip S. Smith	N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Philip S. Smith* Date 7-14-0
Printed Name and Title Philip S. Smith, President



Registrant Name Philip Smith & Assoc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the reg engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code DEF (one per page)

16. Specific lobbying issues

H.R. 2586 (H.Res. 246 S. 1416 S. 1438) To authorize appropriations for fiscal year 2002 for military activities of the Department of Defense and for other purposes.

Intelligence Authorization Act for FY 2002 (HR 2883 & S. 1428).

17. House(s) of Congress and Federal agencies contacted Check if None

- US House of Representatives
- US Senate
- US Department of Defense
- US Department of State
- Central Intelligence Agency
- et al

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Philip S. Smith	NA

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature *Philip S. Smith* Date 7-14-0

Printed Name and Title Philip S. Smith, President

✓ /



Registrant Name Philip S. Smith & Assoc. Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code DEF (one per page)

16. Specific lobbying issues

Issues regarding counter-terrorism and increased Congressional support for counter-terrorism efforts.

Urging the U.S. Congress to press for, and help provide, U.S. military and covert assistance, as well as emergency humanitarian aid, to the Northern Alliance and other anti-Taliban regime groups in Afghanistan.

17. House(s) of Congress and Federal agencies contacted

Check if None

- U.S. House of Representatives
- U.S. Senate
- U.S. State Department
- U.S. Department of Defense
- U.S. Central Intelligence Agency
- U.S. AID
- Others

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Philip S. Smith	N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature *Philip S. Smith* Date 7/14/

Printed Name and Title Philip S. Smith, President



Registrant Name Philip S. Smith & Associates Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific lobbying issues

Urging the U.S. Congress to continue to deny Normal Trade Relations (NTR) status to the Taliban Regime in Afghanistan.

Urging the U.S. Congress to continue to deny Normal Trade Relations (NTR) status to the Lao Peoples Democratic Republic (LPDR).

17. House(s) of Congress and Federal agencies contacted

Check if None

U.S. House of Representatives
U.S. Senate
U.S. Department of State
U.S. Department of Commerce

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Philip S. Smith	N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature

Philip S. Smith

Date

7-14

Printed Name and Title

Philip S. Smith, President



Registrant Name Philip S. Smith & Assoc Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the reg engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, p information as requested. Attach additional page(s) as needed.

15. General issue area code IMM (one per page)

16. Specific lobbying issues

Congressional oversight regarding implementation of The Hmong Veterans Naturalization Act (PL 106-207; H.R. 371; S. 890).

Developing forthcoming legislation from Rep. George Radanovich and Rep. Betty McCollum to honor the legacy of the late Congressman Bruce Vento and to extend the implementation period (by 18 additional months) for PL 106-207 (HR 371; S. 890), The Hmong Veterans Naturalization Act.

17. House(s) of Congress and Federal agencies contacted

Check if None

- U.S. House of Representatives
- U.S. Senate
- U.S. Department of Justice
- Immigration & Naturalization Service
- U.S. Department of State

8. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Philip S. Smith	NA
Chia Kue	NA
Chris Johnson, Esq.	NA
B. Jenks Middleton, Esq.	NA
Lawrence Devlin	NA
Lisa Kue	NA
Philip J. Smith	NA

9. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature

Philip S. Smith

Date

7-14-01

Printed Name and Title

Philip S. Smith, President



Registrant Name Philip S. Smith & Assoc Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code IMM (one per page)

16. Specific lobbying issues

Urging increased humanitarian assistance to Afghan refugees Pakistan and internally displaced inside Afghanistan.

Opposing the forced or involuntary repatriation of Afghan refugees back to Taliban regime in Afghanistan.

17. House(s) of Congress and Federal agencies contacted

Check if None

U.S. House of Representatives

U.S. Senate

U.S. Department of State

INS

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Philip S. Smith	N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature

Philip S. Smith

Date

7-14-01

Printed Name and Title

Philip S. Smith, President

