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# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name American Bankers Insurance Association (ABIA)			
2. Address <input type="checkbox"/> Check if different than previously reported 1120 Connecticut Avenue, NW			
3. Principal Place of Business (if different from line 2) City: Washington State/Zip (or Country) DC 20036			
4. Contact Name	Telephone	E-mail (optional)	5. Senate ID
Paul A. Smith	202-663-5331	Psmith@aba.com	44468-
7. Client Name <input type="checkbox"/> Self			6. House ID
			340770

**TYPE OF REPORT** 8. Year 2001 Midyear (January 1-June 30)  OR Year End (July 1-

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇒ Termination Date \_\_\_\_\_

11. No Lobby

## INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

**12. Lobbying Firms**  
INCOME relating to lobbying activities for this reporting period was:  
Less than \$10,000   
\$10,000 or more  ⇒ \$ \_\_\_\_\_  
Income (nearest \$20,000)

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

**13. Organizations**  
EXPENSES relating to lobbying activities for this period were:  
Less than \$10,000   
\$10,000 or more  ⇒ \$ 60,000  
Expenses (nearest \$)

**14. REPORTING METHOD.** Check box to indicate accounting method. See instructions for description.  
 Method A. Reporting amounts using LDA def  
 Method B. Reporting amounts under section 6 Internal Revenue Code  
 Method C. Reporting amounts under section 1 Internal Revenue Code

Signature \_\_\_\_\_

Printed Name and Title Paul A. Smith, House Counsel

LD-2 (REV. 6/98)



American Bankers Insurance

Registrant Name Association Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific lobbying issues

See attached page for listing.

17. House(s) of Congress and Federal agencies contacted  Check if None

Senate, House of Representatives, Office of the Comptroller of the Currency  
Federal Deposit Insurance Corporation (FDIC), Federal Reserve Board (FRB)

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Beth L. Climo	
E. Kenneth Reynolds	
Paul A. Smith	
J. Kevin A. McKechnie	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date February 7,

Printed Name and Title Paul A. Smith, House Counsel

Form 1 D.2 (Rev 6/08)



Registrant: **American Bankers Insurance Association**

Attachment to Page 2, LD-2 Report: Year End 2002

**BAN** general issue area code

16. Specific lobbying issues

Implementing regulations for Public Law no.106-102: Gramm-Leach-Bliley Financial Modernization Act of 1999 – Title III and Title V, specifically:

- a. Privacy Provisions of Title V
- b. Consumer Protections for Bank Sales of Insurance under Title III, including whether fixed annuities pose an investment risk that must be disclosed
- c. Support of Federal preemption under Title V and the National Bank Act of state laws restricting sales of insurance by banks



American Bankers Insurance  
Registrant Name Association Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each information as requested. Attach additional page(s) as needed.

15. General issue area code INS (one per page)

16. Specific lobbying issues

See attached page for listing.

17. House(s) of Congress and Federal agencies contacted  Check if None

Senate, House of Representatives, Office of the Comptroller of the Currency  
Federal Deposit Insurance Corporation (FDIC), Federal Reserve Board (FRB)

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Beth L. Climo	
E. Kenneth Reynolds	
Paul A. Smith	
J. Kevin A. McKechnie	

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature *Paul Alan Smith* Date February 7,

Printed Name and Title Paul A. Smith, House Counsel

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Form T D-3 (Rev. 6/09)





Registrant: **American Bankers Insurance Association**

Attachment to Page 3, LD-2 Report: Year End 2002

INS general issue area code

16. Specific lobbying issues

1. The National Insurance Chartering and Supervision Act – (not yet introduced)
2. Implementing regulations for Public Law no.106-102: Gramm-Leach-Bliley Financial Modernization Act of 1999 – Title III and Title V, specifically:
  - a. Privacy Provisions of Title V
  - b. Consumer Protections for Bank Sales of Insurance under Title III, including whether fixed annuities pose an investment risk that must be disclosed
  - c. Support of Federal preemption under Title V and the National Bank Act of state laws restricting sales of insurance by banks

