

Clerk of the House of Representatives
Legislative Resource Center
3-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF THE SENATE

01 FEB 12 PM 2:50

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name Sun Microsystems, Inc.			
2. Registrant Address <input type="checkbox"/> Check if different than previously reported Address 1300 Eye Street, N.W. Suite 420 East City Washington State/Zip (or Country) DC 20005			
3. Principal Place of Business (if different from line 2) City Palo Alto State/Zip (or Country) CA 94303			
4. Contact Name Telephone E-mail (optional) Lowell Sachs (202) 326-7521 lowell.sachs@east.sun.com			5. Senate ID # 37323-12
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 30574000

TYPE OF REPORT 8. Year 2000 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report >> Termination Date _____

11. No Lobbying Activity

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13	
12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was:	EXPENSES relating to lobbying activities for this reporting period were:
Less than \$10,000 <input type="checkbox"/>	Less than \$10,000 <input type="checkbox"/>
\$10,000 or more <input type="checkbox"/> >> \$ _____ Income (nearest \$20,000)	\$10,000 or more <input checked="" type="checkbox"/> >> \$ <u>\$580,000.00</u> Expenses (nearest \$20,000)
Provide a good faith estimate, rounded to the nearest \$20,000 of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options. <input type="checkbox"/> Method A. Reporting amounts using LDA definitions only <input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code <input checked="" type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature _____ Date 2/9/2001

Printed Name and Title Lowell Sachs - Manager of Federal Affairs Page 1 of 11

Registrant Name: Sun Microsystems, Inc.

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code ACC (one per page)

16. Specific Lobbying issues

Business combinations (accounting treatment of mergers and acquisitions – pooling of in-process research and development).

17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Hankin, Chris		No
Smith, Robert		Yes

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date 2/9/2001

Printed Name and Title Lowell Sachs - Manager of Federal Affairs Page 2 of 11

Registrant Name: Sun Microsystems, Inc.

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CPI (one per page)

16. Specific Lobbying issues
H.R.4246, Cyber Security Information Act,
S.2438, Internet Security Act of 2000,
S.2448, Internet Integrity and Critical Infrastructure Protection Act of 2000,
S.761, Millennium Digital Commerce Act,
Educate policymakers on technology trends in the high technology industry.
Educate Administration officials and federal agencies about needed improvements to the regulations controlling the export of encryption products.

17. House(s) of Congress and Federal agencies contacted Check if None
Department of Commerce
Department of Defense
Department of Justice
Department of State
Executive Office of the President
House of Representatives

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Harkin, Chris		No
Sachs, Lowell		No

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date 2/9/2001

Printed Name and Title Lowell Sachs - Manager of Federal Affairs Page 3 of 11

Registrant Name: Sun Microsystems, Inc.

Client Name: Self

Item	Description	Date
17a	House of Congress/Agencies	Senate

Registrant Name: San Microsystems, Inc.

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code CSP (one per page)

16. Specific Lobbying issues
**H.R.4049, Privacy Commission Act,
S.2606, Consumer Privacy Protection Act,
S.2928, Consumer Internet Privacy Enhancement Act,
S.809, Online Privacy Protection Act of 1999,
Encourage self-regulatory approach to online protection of personal data.**

17. House(s) of Congress and Federal agencies contacted Check if None
**Department of Commerce
Executive Office of the President
House of Representatives
Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Hankin, Chris		No
Sachs, Lowell		No

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date 2/9/2001

Printed Name and Title Lowell Sachs - Manager of Federal Affairs Page 5 of 11

Registrant Name: Sun Microsystems, Inc.

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code EDU (one per page)

16. Specific Lobbying issues

**Educating the government on the benefits of using technology to help expand educational opportunities for all Americans.
Working with the government to promote digital opportunity for all Americans.
Educating the government on issues surrounding the implementation of regulations that establish standards for accessibility of educational technology (Section 508).**

17. House(s) of Congress and Federal agencies contacted Check if None

**Department of Education
Executive Office of the President
House of Representatives
Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Hankin, Chris		No
Sachs, Lowell		No

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date 2/9/2001

Printed Name and Title Lowell Sachs - Manager of Federal Affairs Page 6 of 11

4 Registrant Name: Sun Microsystems, Inc.

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code IMM (one per page)

16. Specific Lobbying issues
**H.R.3983, Helping to Improve Technology Education and Achievement Act of 2000,
H.R.4227, Technology Worker Temporary Relief Act,
S.2045, American Competitiveness in the Twenty-first Century Act of 2000,
Repair and improve the H-1B visa program.**

17. House(s) of Congress and Federal agencies contacted Check if None
**Executive Office of the President
House of Representatives
Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Hankin, Chris		No
Sachs, Lowell		No

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date 2/9/2001

Printed Name and Title Lowell Sachs - Manager of Federal Affairs Page 7 of 11

4 Registrant Name: Sun Microsystems, Inc.

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code LBR (one per page)
16. Specific Lobbying issues
Education on market dynamics and trends in the computer industry.

17. House(s) of Congress and Federal agencies contacted Check if None
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Hanida, Chris		No

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date 2/9/2001

Printed Name and Title Lowell Sachs - Manager of Federal Affairs Page 8 of 11

Registrant Name: Sun Microsystems, Inc.

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific Lobbying issues
**H.R.3709, Internet Nondiscrimination Act of 2000,
S.2028, Internet Non-discrimination Act,
Fairness in taxation of electronic commerce.**

17. House(s) of Congress and Federal agencies contacted Check if None
**Department of Treasury
House of Representatives
Senate**

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Harkin, Chris		No
Smith, Robert		Yes

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date 2/9/2001

Printed Name and Title Lowell Sachs - Manager of Federal Affairs Page 9 of 11

Registrant Name: San Microsystems, Inc.

Client Name: Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

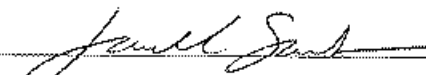
16. Specific Lobbying issues
H.R.3680, To amend the National Defense Authorization Act for Fiscal Year 1998 with respect to the adjustment of composite theoretical performance levels of high performance computers,
H.R.4205, Floyd D. Spence National Defense Authorization Act for Fiscal Year 2001, provisions on export controls,
H.R.4444, To authorize extension of nondiscriminatory treatment (normal trade relations treatment) to the People's Republic of China, and to establish a framework for relations between the United...,
S.1059, National Defense Authorization Act for Fiscal Year 2000, provisions on export controls,
S.2277, To terminate the application of title IV of the Trade Act of 1974 with respect to the People's Republic of China,
S.2539, To amend the National Defense Authorization Act for Fiscal Year 1998 with respect to export controls on high performance computers,
S.2645, China Nonproliferation Act,

17. House(s) of Congress and Federal agencies contacted Check if None
Department of Commerce
Department of Defense
Executive Office of the President
House of Representatives
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Hankin, Chris		No
Smith, Robert		Yes

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 2/9/2001

Printed Name and Title Lowell Sachs - Manager of Federal Affairs Page 10 of 11

Registrant Name: Sun Microsystems, Inc.

Client Name: Self

Item	Description	Data
16	Lobbying issues	Export control reform for computers. Efforts to amend the Export Administration Act. Trade relations with China. Educate policymakers on technology trends in the high technology industry and their impact on export control policy.