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LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

| | | | | |
|---|--|--|--|---|
| 1. Registrant Name <p style="text-align: center;">The Johns Hopkins University</p> | | | | |
| 2. Address <input type="checkbox"/> Check if different than previously reported <p style="text-align: center;">3400 North Charles Street</p> | | | | |
| 3. Principal Place of Business (if different from line 2) City: <u>Baltimore</u> State/Zip (or County) <u>MD 21218</u> | | | | |
| 4. Contact Name <p style="text-align: center;">Judith A. Elterman</p> | | Telephone <p style="text-align: center;">410-516-8090</p> | E-mail (optional) | 5. Senate ID # <p style="text-align: center;">20664-12</p> |
| 7. Client Name <input checked="" type="checkbox"/> Self | | | 6. House ID # <p style="text-align: center;">30347000</p> | |

TYPE OF REPORT 8. Year 1999 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report → Termination Date _____ 11. No Lobbying Activity

| INCOME OR EXPENSES - Complete Either Line 12 OR Line 13 | |
|---|--|
| <p style="text-align: center;">12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇒ \$ _____ <small>Income (nearest \$20,000)</small></p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p> | <p style="text-align: center;">13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇒ \$ <u>40,000</u> <small>Expenses (nearest \$20,000)</small></p> <p>14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input checked="" type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p> |

Signature _____

Printed Name and Title _____

Registrant Name Johns Hopkins University Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

S.1233/H.R.1906, "Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2000": advocated for research facilities money at Beltsville, MD
S.1122/H.R.2561, "Department of Defense Appropriations Act, 2000": advocated for increased funding levels for DOD research
S.1186/H.R.2605, "Energy and Water Development Appropriations Act, 2000": advocated for increased funding levels for DOE research
Labor, Health and Human Services and Education and Related Agencies Appropriations Act, 2000": advocated for increased funding levels for NIH

17. House(s) of Congress and Federal agencies contacted Check if None

Senate
House

18. Name of each individual who acted as a lobbyist in this issue area

| Name | Current Official Position (if applicable) | New |
|-----------------|---|-------------------------------------|
| Thomas A. Etten | | <input checked="" type="checkbox"/> |
| Maggie McIntosh | | <input type="checkbox"/> |
| | | <input type="checkbox"/> |
| | | <input type="checkbox"/> |
| | | <input type="checkbox"/> |
| | | <input type="checkbox"/> |
| | | <input type="checkbox"/> |
| | | <input type="checkbox"/> |

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature _____ Date _____

Printed Name and Title _____

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16. Specific lobbying issues

S.1292/H.R.2466, "Department of the Interior and Related Agencies Appropriations Act, 2000": advocated for increased funding levels for NEH
H.R.2684, "Department of Veterans Affairs and Housing and Urban Development and Independent Agencies Appropriations Act, 2000": advocated for increased funding levels for NASA and NSF
S.1268, "Biomedical and Behavioral Research Facility Modernization Act": advocated cosponsorship of bill
Supported amendment offered by Senators Specter and Harkin which would double funding for NIH over the next five years

17. House(s) of Congress and Federal agencies contacted Check if None

Senate
House

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|-----------------|---|-------------------------------------|
| Thomas A. Elten | | <input checked="" type="checkbox"/> |
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OMB Circular A-110: advocated amendment which would delay implementation of provision

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Senate
House

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15. General issue area code CPT (one per page)

16. Specific lobbying issues

H.R. 1858, "The Consumer and Investor Access to Information Act": advocated for cosponsorship of database protection bill which would preserve access to data while providing protection against piracy
H.R. 354, "Collections of Antipiracy Act": opposed certain provisions of the bill

17. House(s) of Congress and Federal agencies contacted Check if None

House

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| Maggie McIntosh | | <input type="checkbox"/> |
| Thomas A. Etten | | <input checked="" type="checkbox"/> |
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15. General issue area code ED (one per page)

16. Specific lobbying issues

S. 856, "Expanded Options Higher Education for District of Columbia Students Act of 1999",
H.R. 974, "District of Columbia College Access Act": advocated promoting tuition assistance
to District of Columbia residents

Advocated for increased funding for student aid, in particular, increased funding levels
for PELL, SEOG and Perkins grant

17. House(s) of Congress and Federal agencies contacted Check if None

Senate

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| Thomas A. Ertter | | <input checked="" type="checkbox"/> |
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15. General issue area code HCR (one per page)

16. Specific lobbying issues

S.288/H.R.1414, "Health Care Access Promotion Act of 1999"; advocated cosponsorship of bills which would exempt the National Health Service Corp. and Armed Forces Health Professions scholarships from IRS provisions regarding gross income amounts

17. House(s) of Congress and Federal agencies contacted Check if None

Senate

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15. General issue area code MDH (one per page)

16. Specific lobbying issues

S.1010/H.R.1039, "Medical Innovation Tax Credit of 1999": advocated cosponsorship of bills which would establish a tax credit for companies that conduct clinical testing research activities at U.S. medical schools and teaching hospitals

17. House(s) of Congress and Federal agencies contacted Check if None

Senate
House

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15. General issue area code TAX (one per page)

16. Specific lobbying issues

S.211/H.R.323, "Employee Educational Assistance Act": advocated extension of exclusion for employee educational assistance benefits for undergraduate and graduate school
S.1086/H.R.1311, "A bill to amend the Internal Revenue Code of 1986 to waive the income inclusion on a distribution from an individual retirement account to the extent that the distribution is contributed for charitable purposes: advocated cosponsorship of bills which would allow donors to move assets in an IRA to a charity or a deferred charitable gift plan with favorable tax treatment;

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Senate
House

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15. General issue area code TAX (one per page)

16. Specific lobbying issues

S.649, "Retirement Savings Opportunity Act of 1999"/H.R.1102, "Comprehensive Retirement Security and Pension Reform Act"; advocated passage

17. House(s) of Congress and Federal agencies contacted Check if None

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House

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15. General issue area code BBM (one per page)

16. Specific lobbying issues

S.1023/H.R.1785, "Graduate Medical Education Payment Restoration Act of 1999": advocated the elimination of any further cuts to the Indirect Medical Education (IME) adjustment in the BBA

H.R.1090, "Medicare Full Access to Cancer Treatment Act of 1999": advocated for legislation which would exempt cancer treatment services from pending outpatient prospective payment system

H.R.1224, "All-Payer Graduate Medical Education Act": sought sponsorship

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Senate
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15. General issue area code MMM (one per page)

16. Specific lobbying issues

Urged support of letter to members of the Bipartisan Commission on the Future of Medicare which opposed moving GME and DSH from Medicare to the appropriations process

17. House(s) of Congress and Federal agencies contacted Check if None

House

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| Maggie McIntosh | | <input type="checkbox"/> |
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| | | <input type="checkbox"/> |

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Signature Thomas A. Etten Date 8/12/99

Printed Name and Title Thomas A. Etten, Executive Director and Assistant to the President for Government Relations