

Clerk of the House of Representatives  
Legislative Resource Center  
B-106 Cannon Building  
Washington, DC 20515

Secretary of the Senate  
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Washington, DC 20510

### LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers are Required to Complete This Page

RECEIVED  
OFFICE OF THE CLERK  
U.S. SENATE

1. Registrant Name Capitol Associates, Inc.		01 FEB 13 PM 12:55	
2. Address <input type="checkbox"/> Check if different than previously reported 426 C Street, NE, Washington, DC 20002			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country): _____			
4. Contact Name Debra M. Hardy Havens		Telephone 202-544-1880	E-mail (optional) dh@capitolassociates.com
		5. Senate ID # 8101-303	
7. Client Name <input type="checkbox"/> Self Johns Hopkins University		6. House ID # 30813008	

**TYPE OF REPORT** 8. Year 2000 Midyear (January 1 - June 30)  OR Year End (July 1 - December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  Termination Date \_\_\_\_\_ 11. No Lobbying Activity

#### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
<b>INCOME</b> relating to lobbying activities for this reporting period was:  Less than \$10,000 <input type="checkbox"/>  \$10,000 or more <input checked="" type="checkbox"/> => <u>\$60,000</u> Income (nearest \$20,000)  Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	<b>EXPENSES</b> relating to lobbying activities for this reporting period were:  Less than \$10,000 <input type="checkbox"/>  \$10,000 or more <input type="checkbox"/> => \$ _____ Expenses (nearest \$20,000)  <b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of options. <input type="checkbox"/> Method A. Reporting amounts using LDA definitions only <input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature Debra M. Hardy Havens

Printed Name and Title Debra M. Hardy Havens, CEO

Form LD-2 (Rev. 06/98)

PAGE 1 of 9

15. General issue area code BUD (one per page)

16. Specific lobbying issues

Funding for medical research, salary cap for research investigators, facilities construction and public health programs.

H.R. 4577- Making appropriations for the Departments of Labor, Health and Human Services, and Education, and related agencies for the fiscal year ending September 30, 2001, and for other purposes (Consolidated Appropriations Act, 2001) - tracked, monitored, reported consideration of legislation.

S. 2553- An original bill making appropriations for the Departments of Labor, Health and Human Services, and Education, and related agencies for the fiscal year ending September, 30, 2001, and for other purposes; Title II- tracked, monitored, reported consideration of legislation.

H.R.5656 - Making appropriations for the Departments of Labor, Health and Human Services and Education and Related Agencies Appropriations Act, 2001 - tacked, monitored, reported consideration of legislation.

S. Amdt. 3708 to H.R. 4577, to increase funds for CDC to provide grants regarding childhood asthma - tracked, monitored, reported consideration of legislation.

17. House(s) of Congress and Federal agencies contacted

Check if None

House  
Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Marguerite Donoghue Baxter		<input type="checkbox"/>
Debra Hardy Havens		<input checked="" type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature \_\_\_\_\_

Printed Name and Title Debra M. Hardy Havens, CEO

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

- S.573 - Medical Information Privacy and Security Act. Tracked, monitored, and reported on provisions related to the conduct of medical research and informed consent.
- S. 578 - Health Care PIN Act. Tracked, monitored, and reported on provisions related to the conduct of medical research and informed consent.
- S.881 - Medical Information Protection Act of 1999. Tracked, monitored, and reported on provisions related to the conduct of medical research and informed consent.
- H.R. 1057 - Medical Information Privacy and Security Act. Tracked, monitored, and reported on provisions related to the conduct of medical research and informed consent.
- H.R. 2404 - Personal Medical Information Protection Act of 1999 - Tracked, monitored, and reported on provisions related to the conduct of medical research and informed consent.
- H.R. 2470 - Medical Information Protection and Research Enhancement Act of 1999. Tracked, monitored, and reported on provisions related to the conduct of medical research and informed consent.
- H.R. 1941 - Health Information Privacy Act. Tracked, monitored, and reported on provisions related to the conduct of medical research and informed consent.
- H.R. 2455 - Consumer Health and Research Technology (CHART) Protection Act. Tracked, monitored, and reported on provisions related to the conduct of medical research and informed consent.
- H.R. 10/ S. 900 - Financial Services Act of 1999 Title III, Subtitle D, The Confidentiality of Health and Medical Information. Opposed the inclusion of medical privacy provisions in this legislation  
Tracked and monitored rule-making process for medical records privacy.
- S. 805, Children's Asthma Relief Act of 1999 - tracked, monitored, reported consideration of legislation.
- S. 2868, Children's Public Health Act of 2000 - tracked, monitored, reported introduction of legislation
- S. 2731, Public Health Threats and Emergencies Act of 2000, tracked, monitored and reported on the introduction of the legislation.

17. House(s) of Congress and Federal agencies contacted  Check if None

House  
Senate  
Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Marguerite Donoghue Baxter		<input type="checkbox"/>
Sara Milo		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_

Printed Name and Title Debra M. Hardy Havens, CEO

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code MED (one per page)

16. Specific lobbying issues

S. 2015, Stem Cell Research Act of 2000 – tracked, monitored, reported on legislation

Tracked and reported on Congressional Hearings on stem cell research

Tracked and monitored the regulation process for NIH Guidelines for Research Involving Human Pluripotent Stem Cells

H.R. 1338/S.784, Medicare Cancer Clinical Trial Coverage Act of 1999 – tracked, monitored, reported on legislation

H.R. 1798/S. 1813, Clinical Research Enhancement Act of 1999

Met with sponsors of legislation to urge Committee and floor consideration of legislation. Tracked, monitored and reported consideration of legislation.

H.R. 2498, Public Health Improvement Act ("minibus" of nine bills including the Clinical Research Enhancement Act) – tracked, monitored, reported on legislation

H.R. 2621/S.1091, Pediatric Research Initiative Act of 1999 – tracked, monitored, reported on legislation

H.R. 4365/S. 2868, Children's Health Act of 2000 – tracked, monitored, reported on legislation

H.R. 4605, Human Research Subjects Protections Act of 2000 – tracked, monitored, reported on legislation

S. 1010, Medical Innovation Tax Credit for Clinical Testing Research – tracked, monitored, reported on legislation

Tracked and monitored Congressional Hearings and White House and DHHS oversight of gene therapy research and clinical research

Tracked and monitored clinical research subject protection initiatives of the DHHS, including financial conflicts of interest, changes to the Declaration of Helsinki, research involving children, and research conduct.

Tracked and monitored legislation relative to birth defects and the capacity of our public health system.

17. House(s) of Congress and Federal agencies contacted  Check if None

House  
Senate  
Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Marguerite Donoghue Baxter		<input type="checkbox"/>
Sara Mito		<input type="checkbox"/>
Tricia Brooks		<input checked="" type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_  
Printed Name and Title Debra M. Hardy Havens, CEO  
Form LD-2 (Rev. 06/98) PAGE 4 of 9

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific lobbying issues

Monitor and track Medicare reforms, and prescription drug benefit.

HR 4680, Medicare Rx 2000 Act.

HR 4770, Medicare Guaranteed and Defined Rx Benefit and Health Provider Relief Act of 2000.

S. 2807, Medicare Prescription Drug and Modernization Act of 2000.

S. 2758, Medicare Outpatient Drug Act of 2000.

S. 2753, Medicare Expansion Plan

17. House(s) of Congress and Federal agencies contacted

Check if None

House

Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Debra M. Hardy Havens		<input type="checkbox"/>
Marguerite Donoghue Baxter		<input type="checkbox"/>
Matt Williams		<input checked="" type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above

Check if None

Signature

Printed Name and Title Debra M. Hardy Havens, CEO

**LOBBYING REGISTRATION ADDENDUM**  
Lobbying Disclosure Act of 1995 (Section 5)

Registrant Name Capitol Associates, Inc.

Client Name Johns Hopkins University

Line #	Information to be included
15.	HCR
16.	S. 1344 Patients' Bill of Rights Act of 1999- a bill to reform managed care. Tracked, monitored, reported, and advocated for reimbursement for clinical trials, access to speciality care provisions.  HR 2990, Quality Care for the Uninsured Act of 1999.  HR 2723, Patient Protection Bill/Bipartisan Consensus Managed Care Improvement Act of 1999.

**LOBBYING REGISTRATION ADDENDUM**  
Lobbying Disclosure Act of 1995 (Section 5)

Registrant Name Capitol Associates, Inc.

Client Name Johns Hopkins University

Line #	Information to be included
15.	BUD
16.	H.J. Res. 109 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 110 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 111 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 114 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 115 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 116 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 117 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 118 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 119 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 120 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 121 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 122 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 123 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 124 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 84 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 125 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 126 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 127 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 128 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 129 - Making further continuing appropriations for the fiscal year 2001, and for other purposes. H.J. Res. 133 - Making further continuing appropriations for the fiscal year 2001, and for other purposes.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code MED (one per page)

16. Specific lobbying issues

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H.R. 1798/S. 1813, Clinical Research Enhancement Act of 1999

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H.R. 2498, Public Health Improvement Act ("minibus" of nine bills including the Clinical Research Enhancement Act) – tracked, monitored, reported on legislation

H.R. 2621/S.1091, Pediatric Research Initiative Act of 1999 – tracked, monitored, reported on legislation

H.R. 4365/S. 2868, Children's Health Act of 2000 – tracked, monitored, reported on legislation

H.R. 4605, Human Research Subjects Protections Act of 2000 – tracked, monitored, reported on legislation

S. 1010, Medical Innovation Tax Credit for Clinical Testing Research – tracked, monitored, reported on legislation

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Tracked and monitored legislation relative to birth defects and the capacity of our public health system.

17. House(s) of Congress and Federal agencies contacted  Check if None

House  
Senate  
Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Marguerite Donoghue Baxter		<input type="checkbox"/>
Sara Milo		<input type="checkbox"/>
Tricia Brooks		<input checked="" type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_  
 Printed Name and Title Debra M. Hardy Havens, CEO  
 Form LD-2 (Rev. 06/98) PAGE 8 of 9

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

Marguerite Donoghue Baxter

**ISSUE UPDATE**

24. General lobbying issues previously reported that no longer pertain

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

Signature \_\_\_\_\_

Date \_\_\_\_\_

Printed Name and Title Debra M. Hardy Havens, CEO