

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, D.C. 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, D.C. 20510
---	--

SECRETARY OF THE SENATE

00 FEB -9 PM 1:41

# LOBBYING REPORT

Lobby Disclosure Act of 1995 (Section 5) — All Filers Are Required To Complete This Page **H. O.**

1. Registrant Name <b>Hogan &amp; Hartson L.L.P.</b>			
2. Address <input type="checkbox"/> Check if different than previously reported <b>Columbia Square, 555 Thirteenth Street, N.W. Washington DC 20004-1109</b>			
3. Principal Place of Business (if different from line 2) City <b>Washington</b> State/Zip (or Country) <b>D.C. 20004-1109</b>			
4. Contact Name <b>McMillan, James G.</b>	Telephone <b>(202) 637-5600</b>	E-mail (optional) <b>JGMcMillan@HHLAW.com</b>	5. Senate ID #
7. Client name <input checked="" type="checkbox"/> Self <b>National Association of Personal Financial Advisors</b>			6. House ID #

**TYPE OF REPORT** 8. Year 1999 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇨ Termination Date \_\_\_\_\_ 11. No Lobbying Activity

<b>INCOME OR EXPENSES -- Complete Either Line 12 OR Line 13</b>	
<p><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input checked="" type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definitions only</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code</p>

Signature *James G. McMillan* Date 2/9/00  
 Printed Name and Title McMillan, James G.

Registrant Name Hogan & Hartson L.L.P.

Client Name National Association of Personal  
Financial Advisors

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach individual page(s) as needed.

15. General issue area code FIN (one per page)

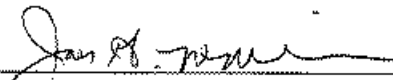
16. Specific lobbying issues  
Securities Markets Enhancement Act; NASDR Oversight of Investment Advisors

17. House(s) of Congress and Federal agencies contacted  Check if None  
House, Senate, Securities and Exchange Commission

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
McMillan, James G.	Partner	<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature   
Printed Name and Title McMillan, James G.

Registrant Name Hogan & Hartson L.L.P.

Client Name National Association of Personal Financial Advisors

Information Update Page — Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues previously reported that no longer apply

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

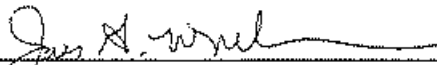
26. Name of each previously reported organization that is no longer affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client
				%

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

Signature 

Printed Name and Title McMillan, James G.