

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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SECRETARY OF THE SENATE

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant name			
Organization	American Bankers Association Securities Assn (ABASA)		
2. Address <input type="checkbox"/> Check if different than previously reported			
Address 1	1120 Connecticut Avenue, N.W.		
City	Washington	State	DC
		Zip Code	20036
			Country US
3. Principal place of business (if different than line 2)			
City		State	
		Zip Code	
			Country
4a. Contact Name		b. Telephone number	c. E-mail
Prefix	Full Name		
Mrs.	Sarah A. Miller	(202) 663-5325	smiller@aba.com
7. Client Name <input checked="" type="checkbox"/> Self			5. Senate ID #
American Bankers Association Securities Assn (ABASA)			44468-000
			6. House ID #
			3407700

TYPE OF REPORT 8. Year 2005 Midyear (January 1-June 30) OR Year End (July 1-December)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇨ Termination Date _ _ 11. No Lobbying Acti

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p align="center">12. Lobbying Firms</p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _ _ _</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p align="center">13. Organizations</p> <p>EXPENSES relating to lobbying activities for this reportin were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ _ 60,000</p> <p>14. REPORTING METHOD. Check box to indicate exp accounting method. See instructions for description of opti</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA definitions e</p> <p><input checked="" type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8 Internal Revenue Code</p> <p><input type="checkbox"/> Method C. Reporting amounts under section 162(e) of Revenue Code</p>
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Form C

Printed Name and Title Sarah A. Miller, General Counsel

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Registrant Name American Bankers Association Securities Client Name American Bankers Association Sec

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each cod** information as requested. Attach additional page(s) as needed.

15. General issue area code FIN - Financial Institutions/Investments/Securiti (one per page)

16. Specific lobbying issues

Implementing regulations for Public Law no. 106-102: Gramm-Leach-Bliley Financial Modernization Act of 1999 - Title II, Subtitle A - Brokers and Dealers

Implementing regulations for Public Law no. 107-56: USA Patriot Act of 2001, Title III - Money Laundering Abatement
S. 5, the Class Action Fairness Act of 2005, all provisions (see next page for continuance)

17. House(s) of Congress and Federal agencies contacted Check if None

Senate, Department of Treasury, House of Representatives, Federal Reserve Board, Office of the Comptroller of Currency, Federal Deposit Insurance Corporation, Office of Thrift Supervision, National Association of Securities Dealers, Municipal Securities Rulemaking Board, Securities and Exchange Commission

18. Name of each individual who acted as a lobbyist in this issue area *Add a page to continue adding lobbyists for i*

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
Beth L.	Climo		
J. Kevin A.	McKechnie		
James D.	McLaughlin		
Sarah A.	Miller		

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Add a page for a diffe

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Registrant Name American Bankers Association Securities / Client Name American Bankers Association Seci

ADDENDUM for General Lobbying Issue Area FIN

16. Specific lobbying issues (continued from previous page)

- S. 219, National Employee Savings and Trust Equity Guarantee Act of 2005, all provisions
- S. 256, Bankruptcy Abuse Prevention and Consumer Protection Act of 2005, Title IX Financial Contract Pro
- S. 467, the Terrorism Risk Insurance Extension Act of 2005, all provisions
- S. 714, the Junk Fax Prevention Act of 2005, all provisions
- S. 1037, Mutual Fund Transparency Act of 2005, all provisions
- H.R. 458, the Military Personnel, Financial Services Protection Act of 2005, all provisions
- H.R. 685, Bankruptcy Abuse Prevention and Consumer Protection Act of 2005, Title IX Financial Contract Provisions
- H.R. 1077, Realtime Investor Protection Act, all provisions
- H.R. 1153, the Terrorism Insurance Backstop Extension Act of 2005, all provisions
- P.L. 106-102, Implementation of Title II Gramm-Leach Bliley Act, all provisions
- Implementing FTC Telemarketing Sales Rule, 16 CFR Part 310, FTC File No. R411001, provisions relating legitimate exceptions under the Rule.

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Add page to continue specific issues description for this



Registrant Name American Bankers Association Securities / Client Name American Bankers Association Sec

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

Address

City

State

Zip Code

Country

21. Client new principal place of business (if different than line 20)

City

State

Zip Code

Country

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

First Name

Last Name

Suffix

First Name

Last Name

St

1

3

2

4

ISSUE UPDATE

Find the code to select below.

24. General lobbying issues that **no longer** pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal place of Business (city and state or country)
	Address C/S/Z	City State Country
	Address C/S/Z	City State

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

1

2

3

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Street Address	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ow: perc clien
	City	State/Province Country	City State Country		

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registrant, affiliated organization

1

3

5

2

4

6

Add a page for more t

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