

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

**OB-C GROUP LLC**

2. Address:

1350 EYE STREET, NW SUITE 690, WASHINGTON, DC 20005

3. Principal place of business (if different from line 2):

4. Contact Name: CELESTE DEMBOWSKI

Telephone: 2028984746

E-mail (optional): cdembowski@ob-cgroup.com

Senate ID #: 29802-241

House ID #: 30108015

7. Client Name:  Self

**SECURITES INDUSTRY & FINANCIAL MARKETS ASSN**

### TYPE OF REPORT

8. Year 2006 Midyear (January 1 - June 30):  **OR** Year End (July 1 - December 31):

9. Check if this filing amends a previously filed version of this report:

10. Check if this is a Termination Report:  => Termination Date: \_\_\_\_\_ 11. No Lobbying Activity:

### INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

#### 12. Lobbying Firms

**INCOME** relating to lobbying activities for this reporting period was:

Less than \$10,000:

\$10,000 or more:  => Income (nearest \$20,000): 140,000.00

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

#### 13. Organizations

**EXPENSES** relating to lobbying activities for this reporting period were:

Less than \$10,000:

\$10,000 or more:  => Expenses (nearest \$20,000): \_\_\_\_\_

#### 14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

- Method A.** Reporting amounts using LDA definitions only  
 **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code  
 **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BAN (one per page)

16. Specific lobbying issues:

HR 1185, Federal Deposit Insurance Reform Act of 2005, entire bill.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: JOHNSON, MICHAEL S.

Covered Official Position (if applicable): N/A

Name: KEATING, THOMAS J.

Covered Official Position (if applicable): N/A

Name: MARSH, ROBERT H.

Covered Official Position (if applicable): N/A

Name: MELLODY, CHARLES J.

Covered Official Position (if applicable): N/A

Name: NELSON, PATRICIA A.

Covered Official Position (if applicable): N/A

Name: O'BRIEN, LAWRENCE F. III

Covered Official Position (if applicable): N/A

Name: STEVENSON, ROBERT

Covered Official Position (if applicable): SEN.BILL FRIST COMMUNICATIONS DIRECTOR

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

**LOBBYING ACTIVITY.**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BUD (one per page)

16. Specific lobbying issues:

H.RES.314, Providing for consideration of the bill (HR 2862) making appropriations for Science, State, Justice, Commerce, and Related Agencies for fiscal year ending Sept. 30, 2006, establishment of the SEC Office of Global Security Risk; H.RES.588 to provide for reconciliation pursuant to section 201(b) of the concurrent resolution on the budget for fiscal year 2006; S.2020, a bill to provide for reconciliation pursuant to section 202(b) of the concurrent resolution on the budget for fiscal year 2006; HR 2862, Science, State, Justice, Commerce, and Related Agencies Appropriations Act, 2006, establishment of the SEC Office of Global Security Risk; HR 4297, to provide for reconciliation pursuant to section 201(b) of the concurrent resolution on the budget for fiscal year 2006;

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: JOHNSON, MICHAEL S.

Covered Official Position (if applicable): N/A

Name: KEATING, THOMAS J.

Covered Official Position (if applicable): N/A

Name: MARSH, ROBERT H.

Covered Official Position (if applicable): N/A

Name: MELLODY, CHARLES J.

Covered Official Position (if applicable): N/A

Name: NELSON, PATRICIA A.

Covered Official Position (if applicable): N/A

Name: O'BRIEN, LAWRENCE F. III

Covered Official Position (if applicable): N/A

Name: STEVENSON, ROBERT

Covered Official Position (if applicable): SEN. BILL FRIST COMMUNICATIONS DIRECTOR

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: CIV (one per page)

16. Specific lobbying issues:

S.1169, Federal Agency Data-Mining Reporting Act of 2005, entire bill; S.1326, Notification of Risk to Personal Data Act, entire bill; S.1332, a bill to prevent and mitigate identity theft, to ensure privacy, and to enhance criminal penalties, law enforcement assistance, and other protections against security breaches, fraudulent access and misuse of personally identifiable information, entire bill. S.29, Social Security Number Misuse Prevention Act, entire bill; S.751, Notification of Risk to Personal Data Act, entire bill; S.768, Comprehensive Identity Theft Prevention Act, entire bill; S.1789, a bill to prevent and mitigate identity theft, to ensure privacy, to provide notice of security breaches, and to enhance criminal penalties, law enforcement assistance, and other protections against security breaches, fraudulent access and misuse of personally identifiable information; S.115, a bill to require Federal agencies and persons engaged in interstate commerce, in possession of electronic data containing personal information, to disclose any unauthorized acquisition of such information. HR 1080, Information Protection and Security Act, entire bill; HR 1263, Consumer Privacy Protection Act of 2005, entire bill; HR 220, Identity Theft Protection Act of 2005, entire bill;

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: JOHNSON, MICHAEL S.

Covered Official Position (if applicable): N/A

Name: KEATING, THOMAS J.

Covered Official Position (if applicable): N/A

Name: MARSH, ROBERT H.

Covered Official Position (if applicable): N/A

Name: MELLODY, CHARLES J.

Covered Official Position (if applicable): N/A

Name: NELSON, PATRICIA A.

Covered Official Position (if applicable): N/A

Name: O'BRIEN, LAWRENCE F. III

Covered Official Position (if applicable): N/A

Name: STEVENSON, ROBERT

Covered Official Position (if applicable): SEN. BILL FRIST COMMUNICATIONS DIRECTOR

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

## LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: RET (one per page)

16. Specific lobbying issues:

HR 2830, Pension Protection Act of 2005, entire bill; S.1783, a bill to amend the Employee Retirement Income Security Act of 1974 and the Internal Revenue Code of 1986 to reform the pension funding rules, entire bill; S.1953, a bill to amend the Employee Retirement Income Security Act of 1974 and the Internal Revenue Code of 1986 to protect the retirement security of American workers by ensuring that pension benefits are funded and that pension assets are adequately diversified and by providing workers with adequate access to, and information about, their pension plans, entire bill; H.RES.602, providing for consideration of the bill (HR 2830) to amend the Employee Retirement Income Security Act of 1974 and the Internal Revenue Code of 1986 to reform the pension funding rules, entire bill. HR 4, Pension Protection Act of 2006, entire bill. HR 1960, Pension Preservation and Savings Expansion Act of 2005, entire bill; HR 1961, Pension Preservation and Savings Expansion Act of 2005, entire bill; HR 2321, Pension Security Disclosure Act of 2005, entire bill;

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

Name: JOHNSON, MICHAEL S.

Covered Official Position (if applicable): N/A

Name: KEATING, THOMAS J.

Covered Official Position (if applicable): N/A

Name: MARSH, ROBERT H.

Covered Official Position (if applicable): N/A

Name: MELLODY, CHARLES J.

Covered Official Position (if applicable): N/A

Name: NELSON, PATRICIA A.

Covered Official Position (if applicable): N/A

Name: O'BRIEN, LAWRENCE F. III

Covered Official Position (if applicable): N/A

Name: STEVENSON, ROBERT

Covered Official Position (if applicable): SEN. BILL FRIST COMMUNICATIONS DIRECTOR

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

**LOBBYING ACTIVITY**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: TAX (one per page)

16. Specific lobbying issues:

S. 7, Jobs and Growth Tax Relief Act of 2005, tax reduction on capital gains and dividends; HR 2911 to amend the Internal Revenue Code of 1986 to modify the active business definition under section 355, entire bill; S. 1327, to amend the Internal Revenue Code of 1986 to modify the active business definition under section 355, entire bill; HR 4323, to amend the Internal Revenue Code of 1986 to extend certain expiring provisions, to provide certain hurricane-related tax relief, entire bill; HR 4388, to amend the Internal Revenue Code of 1986 to extend certain expiring provisions, entire bill. HR 1234, Corporate Accountability Tax Gap Act of 2005, entire bill; HR 1500, Investment Tax Simplification Act of 2005, tax reduction on capital gains and dividends; HR 809, to make permanent the individual income tax rates for capital gains and dividends, entire bill;

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES  
SENATE

18. Name of each individual who acted as a lobbyist in this issue area:

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Covered Official Position (if applicable): N/A

Name: MARSH, ROBERT H.

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Name: MELLODY, CHARLES J.

Covered Official Position (if applicable): N/A

Name: NELSON, PATRICIA A.

Covered Official Position (if applicable): N/A

Name: O'BRIEN, LAWRENCE F. III

Covered Official Position (if applicable): N/A

Name: STEVENSON, ROBERT

Covered Official Position (if applicable): SEN. BILL FRIST COMMUNICATIONS DIRECTOR

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Feb 06, 2007

Printed Name and Title: CELESTE DEMBOWSKI, OFFICE ADMINISTRATOR -