Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515

Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510



## **LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

. Registrant Name	<u></u>	- 10 000	
Ameritrade Holding Con	mpany		
	ent than previously reported		•••••••••••••••••••••••••••••••••••••••
4211 South 102nd Stree	t		
3. Principal Place of Business (if differ	rent from line 2)		
Omaha	NE 68127-1031		
City:	State/zip (or Country)		
4. Contact Name	Telephone	E-mail (optional)	5. Senate ID #
Phylis M. Esposito	(201) 761-5570	pesposito@ameritrade.com	283297-12
7. Client Name 📝 Self			6. House ID #
Self			36812000
, and the second	n previously filed version of this	•	11. No Lobbyi
10. Check if this is a Terminati	on Report □ ⇔ Terminatio	er Line 12 <b>OR</b> Line 13	
10. Check if this is a Terminati	on Report ☐  □ Terminatio	er Line 12 <b>OR</b> Line 13	izations
10. Check if this is a Terminati	on Report	er Line 12 <b>OR</b> Line 13	izations
INCOME OR EXPE  12. Lobby  INCOME relating to lobbying period was:	on Report	er Line 12 OR Line 13  13. Organ  EXPENSES relating to lobbying ac	izations
INCOME OR EXPI	on Report	er Line 12 OR Line 13  13. Organ  EXPENSES relating to lobbying ac period were:  Less than \$10,000 □  \$10,000 or more	nizations stivities for this repo
INCOME OR EXPI  12. Lobby  INCOME relating to lobbying period was:  Less than \$10,000  \$10,000 or more \$\textsquare\$ \$\$\$\$\$\$\$\$\$\$\$\$\$\$\$  Provide a good faith estimate, of all lobbying related income	on Report  Termination  ENSES - Complete Either  ying Firms  g activities for this reporting  Income (nearest \$20,000)  rounded to the nearest \$20,000, ee from the client (including all any other entity for lobbying	er Line 12 OR Line 13  13. Organ  EXPENSES relating to lobbying ac period were:  Less than \$10,000  \$10,000 or more  \$\rightarrow\$ \$\rightarrow\$  14. REPORTING METHOD. Che accounting method. See instructions  Method A. Reporting amount	\$120,000.00 Expenses (nearest \$20,000.00 for description of case using LDA definitions under section 603

Filing #ab585bbf-7c09-458f-80ac-0ac850f42162 - Page 1 of 4

Signature Thy 10	4. Canosia	Date   Column   Column
Printed Name and Title —	Phylis M. Esposito, Exec. Vice President,	
LD-2 (REV. 4/03)		PAGE 1
== = (-=- : ::-)		111021

## 

Registrant Name	Ameritrade Holding Compan	y Client Nam	e Self
LOBBYING AC engaged in lobbyin		codes as necessary luring the reporting	to reflect the general issue areas in which the period. Using a separate page for each co
15. General issue a	rea codeFIN (c	ne per page)	
16. Specific lobbying	ng issues		
SEC's Proposed	Regulation NMS		
17. House(s) of Co	ngress and Federal agencie	s contacted	☐ Check if None
Senate House of Repre			
18. Name of each i	ndividual who acted as a lo	bbyist in this issue	area
	Name		Covered Official Position (if applicable)
Phylis M. Esposito		N/	A
			,
	.,		
			,
19. Interest of each	foreign entity in the specific	issues listed on line	e 16 above
Signature 5	hylis M Eggosi	<i>t</i>	DateFeb 10, 2005
	Filing #ab585bbf-7c09-458	3f-80ac-0ac850f42162	2 - Page 3 of 4

Printed Name and Title

Phylis M. Esposito, Exec. Vice President, Chief Strategy Officer

Form LD-2 (Rec. 4/03)