Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515 Secretary of the Senate Office of Public Records 232 Harn Building Washington, DC 20510



OOFEB 15 PM & 20 HAND DELIVERED []

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

2. Address 🚨 Check if different	than previously reported		
1401 Eye Street, N.W., Was			
3. Principal Place of Business (if diffe	treat from line 2)		
City: Hartford	State/2	Sp (or Country) CT 06101	
4. Contact Name Wade Robert	Telephone (202) 336-7414	ਇ-mæil (optionat)	5. Senate ID# 39267-12
7. Client Name 2 Self			6. House (D # 307640(X)
	rm.		_
·····	on Report □ Termination CNSES - Complete Fither	······································	11. No Lobbying Activity (
INCOME OR EXPE	INSES - Complete Either	······································	
INCOME OR EXPE	NSES - Complete Either	Line 12 OR Line 13	izations
INCOME OR EXPE	NSES - Complete Either	Line 12 OR Line 13 13. Organi EXPENSES relating to lobbying ac period were: Less than \$10.000	izations ctivities for this reporting
INCOME OR EXPE	INSES - Complete Either ying Firms g activities for this reporting	Line 12 OR Line 13 13. Organi EXPENSES relating to lobbying ac period were: Less than \$10.000	izations ctivities for this reporting
INCOME OR EXPE	INSES - Complete Either ying Firms g activities for this reporting	Line 12 OR Line 13 13. Organi EXPENSES relating to lobbying ac period were: Less than \$10.000	izations ctivities for this reporting 00, 000 xpenses (nearest \$20,000) neck box to indicate expense
INCOME OR EXPE	CNSES - Complete Either ying Firms a activities for this reporting	Line 12 OR Line 13 13. Organi EXPENSES relating to lobbying ac period were: Less than \$10,000 \$10,000 or more	izations ctivities for this reporting 00, 000 EXPENSES (RESECT \$20,000) Seck box to indicate expense as for description of options.
INCOME OR EXPE	S	Line 12 OR Line 13 13. Organi EXPENSES relating to lobbying accepted were: Less than \$10,000 \$10,000 or more \$\sigma \sigma \frac{2.8}{E}\$ 14. REPORTING METHOD. Consequently seconding method. See instructions	izations ctivities for this reporting 00, 000 xpenses (nearest \$20,000) neck box to indicate expense as for description of options, a using LDA definitions only a under section 6033(b)(8)of the
INCOME OR EXPE	S	Line 12 OR Line 13 13. Organi EXPENSES relating to lobbying ac period were: Less than \$10,000 \$10,000 or more	izations ctivities for this reporting O(), (O() Expenses (nearest \$20,000) Expenses

Registrant Name United Technologies Corporation Client	Name	***
engaged in lobbying on behalf of the client during the rep- information as requested. Attach additional page(s) as ne	ssary to reflect the general issue areas in which the registrant orting period. Using a separate page for each code, provide eded.	~~
15. General issue area code AER (one per page))	
16. Specific lobbying issues FY 00 Budget NASA Budget Defense Budget Commercial engine sales Black Hawk Procurement Vertical Flight Aircraft		
U.S. House of Representatives Executive Office of the President FAA Department of Commerce Department of State	nent of: Defense or agree on a recover of the control of the contr	
18. Name of each individual who acted as a lobbyist in the	his issue area	
Nt		
Name Wade Robert	Covered Official Position (if applicable)	New .
Wade Robert Jack Humphries		
Wade Robert Jack Humphries	Covered Official Position (if applicable)	
Wade Robert Jack Humphries Jill Yacone Susan Walsh		a
Wade Robert Jack Humphries Jill Yacone Susan Walsh John Kopecky		0 0
Wade Robert Jack Humphries Jill Yacone Susan Walsh John Kopecky Robert Kenney		0 0 0
Wade Robert Jack Humphries Jill Yacone Susan Walsh John Kopecky Robert Kenney Thomas McKeon		
Wade Robert Jack Humphries Jill Yacone Susan Walsh John Kopecky Robert Kenney		
Wade Robert Jack Humphries Jill Yacone Susan Walsh John Kopecky Robert Kenney Thomas McKeon		
Wade Robert Jack Humphries Jill Yacone Susan Walsh John Kopecky Robert Kenney Thomas McKeon Albert William Pollard, Jr.	on line 16 above	
Wade Robert Jack Humphries Jill Yacone Susan Walsh John Kopecky Robert Kenney Thomas McKeon Albert William Pollard, Jr. 19. Interest of each foreign entity in the specific issues listed of	on line 16 above	

Registrant Name United Technologies Corporation Client No.	Scif	_
LOBBYING ACTIVITY. Select as many codes as necessaring engaged in lobbying on behalf of the client during the report information as requested. Attach additional page(s) as need	ting period. Using a separate page for each code, provide	
15. General issue area code AER (one per page)		
16. Specific lobbying issues See previous page		
17. House(s) of Congress and Federal agencies contacted See previous page	Check if None	
18. Name of each individual who acted as a lobbyist in this	s issue area	
Name James Kagdis	Covered Official Position (if applicable)	New
James Kagdis Richard Linhart		_
James Kagdis		0
James Kagdis Richard Linhart		0
James Kagdis Richard Linhart		0
James Kagdis Richard Linhart		0 0 0
James Kagdis Richard Linhart		
James Kagdis Richard Linhart		
James Kagdis Richard Linhart		
James Kagdis Richard Linhart	line 16 above	
James Kagdis Richard Linhart 19. Interest of each foreign entity in the specific issues listed on	line 16 above	

Registrant NameClient NameClient NameChent NameChent NameChent Name	Self aree	_
LOBBYING ACTIVITY. Select as many codes as necessal engaged in lobbying on behalf of the client during the report information as requested. Attach additional page(s) as needs 15. General issue area code AVI (one per page)	ting period. Using a separate page for each code, provide	
16. Specific lobbying issues EU Non-Addition Rule FAA Reauthorization Foreign Repair Stations		
 17. House(s) of Congress and Federal agencies contacted U.S. Senate U.S. House of Representatives Department of Commerce Department of State Department of Transportation USTR 18. Name of each individual who acted as a lobbyist in this 	Check if None Executive Office of the President	
Nappic	Covered Official Position (if applicable)	New
Name Kim Chambertain	Covered Officiel Position (if applicable)	New Q
	Covered Officiel Position (if applicable)	_
Kim Chamberlain Wade Robert	Covered Officiel Position (if applicable)	a
Kim Chamberlain Wade Robert Eva Collins	Covered Official Position (if applicable)	0
Kim Chamberlain Wade Robert Eva Collins	Covered Official Position (if applicable)	ا م م م
Kim Chamberlain Wade Robert Eva Collins	Covered Official Position (if applicable)	0000
Kim Chamberlain Wade Robert Eva Collins	Covered Official Position (if applicable)	
Kim Chamberlain Wade Robert Eva Collins	Covered Official Position (if applicable)	و و و و و و
Kim Chamberlain Wade Robert Eva Collins		0000000
Kim Chamberlain Wade Robert Eva Collins Susan Walsh	line 16 above	
Wade Robert Eva Collins Susan Walsh 19. Interest of each foreign entity in the specific issues listed on	line 16 above	

Registrant Name United Technologies Corporation Client Ne	Self lame	_
LOBBYING ACTIVITY. Select as many codes as necessal engaged in lobbying on behalf of the client during the report information as requested. Attach additional page(s) as needed.	ting period. Using a separate page for each code, provide	
15. General issue area code CAW (one per page)		
 Specific lobbying issues Climate Change - S 547, HR 2520 		
17. House(s) of Congress and Federal agencies contacted U.S. Senate U.S. House of Representatives Executive Office of the President	Check if None	
18. Name of each individual who acted as a lobbyist in this		Nego
Judith Bayer	Covered Official Position (if applicable)	New
***************************************		0
	FILE THE STATE OF	<u></u>
	44114	
A CONTRACTOR OF THE CONTRACTOR		O
A. A		Q
		۵
19. Interest of each foreign entity in the specific issues listed on	line 16 above	
Signature	Date	
Printed Name and Title		
Form LD-2 (Rev.6/98)	Page <u>5</u> nt 13	

Registrant Name	ion Client Na	Self
engaged in lobbying on behalf of the client dur information as requested. Attach additional pa	ing the reporti	ory to reflect the general issue areas in which the registrant ing period. Using a separate page for each code, provide ed.
16. Specific lobbying issues FY 00 DoD Budget Commercial Practice Procurement Depot Maintenance R&D/ Procurement Civil False Claims Reform	DAR ISF RD 180 RLIO NASA B	CH-53E Vertical Flight Black Hawk Procurement sudget
 17. House(s) of Congress and Federal agencies U.S. Senate U.S. House of Representatives Department of Defense Executive Office of the President Department of State Department of Commerce 18. Name of each individual who acted as a legal control of the president of the presid	DTSA NASA	Check if None
Name Kim Chamberlain		Covered Official Position (if applicable) New
Jack Humphries		
Wade Robert		
Jili Yacone		Q
Richard Hellier		Q
Hugh Risseeuw		. 0
Mike Summers		a
Richard Linhart		
Michael Luman	į	ا ا
19. Interest of each foreign entity in the specific i	ssues listed on l	_
19. Interest of each foreign entity in the specific i		line 16 above Cl Check if None
19. Interest of each foreign entity in the specific i		line 16 above C Check if None

Registrant Name United Technologies Corporation Client Na	Scif ame	
LOBBYING ACTIVITY. Select as many codes as necessal engaged in lobbying on behalf of the client during the report information as requested. Attach additional page(s) as need-	ting period. Using a separate page for each code, provide	
15. General issue area code DEF (one per page)		
16. Specific lobbying issues See previous page		
17. House(s) of Congress and Federal agencies contacted See previous page	Check if None	
18. Name of each individual who acted as a lobbyist in this	issue area	
Natte	Covered Official Position (if applicable)	New
Name Robert Kenney	Covered Official Position (if applicable)	New
	Covered Official Position (if applicable)	_
Robert Kenney Thomas McKeon	Covered Official Position (if applicable)	
Robert Kenney Thomas McKeon Iohn Kopecky	Covered Official Position (if applicable)	0
Robert Kenney Thomas McKeon John Kopecky	Covered Official Position (if applicable)	0 0 0
Robert Kenney Thomas McKeon John Kopecky	Covered Official Position (if applicable)	و و و و
Robert Kenney Thomas McKeon John Kopecky	Covered Official Position (if applicable)	
Robert Kenney Thomas McKeon John Kopecky	Covered Official Position (if applicable)	
Robert Kenney Thomas McKeon John Kopecky		
Thomas McKeon John Kopecky Joel Marsh 19. Interest of each foreign entity in the specific issues listed on	line 16 above	
Thomas McKeon John Kopecky Joel Marsh 19. Interest of each foreign entity in the specific issues listed on	line 16 above Check if None	

Registrant Name United Technologies Corporation Client Na	Self	
LOBBYING ACTIVITY. Select as many codes as necessal engaged in lobbying on behalf of the client during the report information as requested. Attach additional page(s) as needed to the client selection of the client during the report information as requested. Attach additional page(s) as needed to the client during the report information as requested. Select as many codes as necessal engaged in lobbying on behalf of the client during the report information as requested. Attach additional page(s) as needed to the client during the report information as requested.	ing period. Using a separate page for each code, provide	
16. Specific lobbying issues Climate Change -VA HUD Appropriations EPA Budget Credit for Early Action Legislation Montreal/ Kyoto Protocals		
17. House(s) of Congress and Federal agencies contacted U.S. Senate U.S. House of Representatives Executive Office of the President EPA - Environmental Protection Agency Department of State	Check if None	
18. Name of each individual who acted as a lobbyist in this	issue area	
Name	Covered Official Position (if applicable)	New
Indith Rayer		
Judith Bayer John Mandyck		
Judith Bayer John Mandyck		
John Mandyck		
John Mandyck		<u> </u>
John Mandyck		0
John Mandyck		0
John Mandyck		
John Mandyck		
John Mandyck 19. Interest of each foreign entity in the specific issues listed on		
John Mandyck 19. Interest of each foreign entity in the specific issues listed on	line 16 above	

Registrant Name United Technologies Corporation Client Na	Self ame	
LOBBYING ACTIVITY. Select as many codes as necessal engaged in lobbying on behalf of the client during the report information as requested. Attach additional page(s) as needed 15. General issue area code TAX (one per page)	ting period. Using a separate page for each code, provide	
16. Specific tobbying issues R&D Tax Credit - S-1792 Tax Credit Proposal for high efficiency Air Condition Foreign Sales Corporation	ioners	
17. House(s) of Congress and Federal agencies contacted U.S. Senate U.S. House of Representatives Executive Office of the President	Check if None	
18. Name of each individual who acted as a lobbyist in this Name Kristin Paulson	s issue area Covered Official Position (if applicable)	New . 🗀
John Mandyck	P. 1791 1884	ם נ
harring the state of the state	ANIMAL LANDERS AND THE PROPERTY OF THE PROPERT	0
VIPPLINT I TRADECCIO POST I ARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIARRELLIA		a
114	122-1142-11-20-1141-1141-1141-1141-1141-	ם
	THE	۵
		a
19. Interest of each foreign entity in the specific issues listed on	line 16 above Cl Check if None	
Signature	Date	
Printed Name and Title		
Form E.D-2 (Rev.6/98)	Page 01	3

Registrant Name United Technologies Corporation Client Na	Self the	
LOBBYING ACTIVITY. Select as many codes as necessary engaged in lobbying on behalf of the client during the reports information as requested. Attach additional page(s) as needed. 15. General issue area code TRD (one per page)	ing period. Using a separate page for each code, pro-	
16. Specific lobbying issues China NTR EX-IM/OPIC - Foreign Operations Appropriations Export Licenses Defense Export Loan Guarantee Vietnam NTR - HJ RES 58 Export Controls	Hush-kits/re-engining Market Access Issues EAA - S-1712 WTO- Matters	
 17. House(s) of Congress and Federal agencies contacted U.S. Senate U.S. House of Representatives Executive Office of the President Department of Commerce Department of State 18. Name of each individual who acted as a lobbyist in this 	Check if None U.S. Trade Rep. Office FAA USTR Department of Transportation	
191 1:307 91	1000 nim	
Name	Covered Official Position (if applicable)	New
Name Wade Robert	Covered Official Position (if applicable)	New D
Wade Robert	Covered Official Position (if applicable)	ļ_
Wade Robert Kim Chamberlain	Covered Official Position (if applicable)	<u>a</u>
Wade Robert Kim Chamberlain Eva Collins	Covered Official Position (if applicable)	0
Wade Robert Kim Chamberlain Eva Collins	Covered Official Position (if applicable)	0
Wade Robert Kim Chamberlain Eva Collins Jack Humphries Kristin Paulson	Covered Official Position (if applicable)	
Wade Robert Kim Chamberlain Eva Collins Jack Humphries Kristin Paulson	Covered Official Position (if applicable)	
Wade Robert Kim Chamberlain Eva Collins Jack Humphries Kristin Paulson Jeremy Preiss	Covered Official Position (if applicable)	
Wade Robert Kim Chamberlain Eva Collins Jack Humphries Kristin Paulson Jeremy Preiss Peter Jordan		
Wade Robert Kim Chamberlain Eva Collins Jack Humphries Kristin Paulson Jeremy Preiss Peter Jordan John Mandyck	line 16 above	
Wade Robert Kim Chamberlain Eva Collins Jack Humphries Kristin Paulson Jeremy Preiss Peter Jordan John Mandyck 19. Interest of each foreign entity in the specific issues listed on the specific issues listed o	line 16 above	

Registrant Name United Technologies Corporation Client N	Self ame	
LOBBYING ACTIVITY. Select as many codes as necessary engaged in lobbying on behalf of the client during the report information as requested. Attach additional page(s) as need	ing period. Using a separate page for each code, provide	
15. General issue area code TRD (one per page)		
16. Specific lobbying issues See previous page		
17. House(s) of Congress and Federal agencies contacted See previous page	Check if None	
18. Name of each individual who acted as a lobbyist in this	s issue area	
Name A Boost William Pollard Ir		Mew —
Albert William Pollard, Ir.		<u> </u>
Albert William Pollard, Jr. Susan Walsh		
Albert William Pollard, Jr. Susan Walsh		
Albert William Pollard, Jr. Susan Walsh		
Albert William Pollard, Jr. Susan Walsh		
Albert William Pollard, Jr. Susan Walsh		
Albert William Pollard, Ir. Susan Walsh		
Albert William Pollard, Jr. Susan Walsh		
Albert William Pollard, Ir. Susan Walsh		
Albert William Pollard, Ir. Susan Walsh	line 16 above	
Albert William Pollard, Ir. Susan Walsh 19. Interest of each foreign entity in the specific issues listed on	line 16 above	

Registrant Name United Technologies Corporation Client Name	Self me	
LOBBYING ACTIVITY. Select as many codes as necessary engaged in lobbying on behalf of the client during the reporting information as requested. Attach additional page(s) as needed 15. General issue area code SCI (one per page)	ng period. Using a separate page for each code, provide	
16. Specific lobbying issues Aero & Space S&T IHPTET IHPRPT Hypersonics NASA FY 00 Authorization		
17. House(s) of Congress and Federal agencies contacted U.S. House of Representatives U.S. Senate NASA Executive Office of the President Department of Defense	☐ Check if None	
18. Name of each individual who acted as a lobbyist in this i	issue area	
<u></u>		
Name John Kopecky	Covered Official Position (if applicable)	New
John Kopecky Jack Huraphries	Covered Official Position (if applicable)	
John Kopecky	Covered Official Position (if applicable)	
John Kopecky Jack Huraphries	Covered Official Position (if applicable)	
John Kopecky Jack Huraphries	Covered Official Position (if applicable)	
John Kopecky Jack Huraphries	Covered Official Position (if applicable)	
John Kopecky Jack Huraphries	Covered Official Position (if applicable)	
John Kopecky Jack Huraphries	Covered Official Position (if applicable)	
John Kopecky Jack Huraphries		
John Kopecky Jack Humphries	ine 16 above	
Jack Humphries 19. Interest of each foreign entity in the specific issues listed on li	inc 16 above	

Control Tech	mologies Corporation Clier	Self nt Name		
Information Update Pa	age - Complete ONLY where	e registration information has cl	nanged.	
29. Client new address 1401 Eye Street, N.W., Was				
21. Cliens new principal place of hu			,	
City Hartford	State/2	Zip (or Country) Connecticut 0610)]	
22. New general description of eller				
LOBBYIST UPDATE 23. Name of each previously Jill Yacone Scan Moylan Joel Marsh	reported individual who is no	longer expected to act as a lobby	ist for the client	
ISSUE UPDATE 24. General lobbying issues	previously reported that no lon	iger pertais		
AFFILIATED ORGANIZ 25. Add the following affilia				
Name		Address .	Principal Place of Business (city and state or country)	
FOREIGN ENTITIES		no langer affiliated with the regis	trant or client	
27. Add the following forcig	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownlership percentage in client
				·
28. Name of each previously affiliated organization	y reported foreign entity that re	io longer owns, <u>or</u> controls, <u>or</u> is	affiliated with the registr	rant, elient or
Signature	······································	Date		
Printed Name and Title				