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Legislative Resource Center  
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Washington, DC 20515

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, DC 20510

# LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant name			
Mr. Joseph L. Carter, Jr.			
2. Address <input type="checkbox"/> Check if different than previously reported			
8804 Cord Circle			
Potomac		MD	20854 US
3. Principal place of business (if different than line 2)			
City		State/Zip or Country	
4a. Contact Name	b. Telephone number	c. E-mail	5. Senate ID #
Mr. Joseph L. Carter, Jr.	301-299-4357	joe.carter@nscorp.com	8384-2
7. Client Name <input type="checkbox"/> Self	6. House ID #		
Norfolk Southern Corporation	3348900		

**TYPE OF REPORT** 8. Year 2006 Midyear (January 1-June 30) ☒ OR Year End (July 1-December) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date \_\_\_\_\_ 11. No Lobbying Act ☐

## INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>20,000</u></p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this reporting period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate expense accounting method. See instructions for description of options.</p> <p><input type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definitions of lobbying</p> <p><input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 6033(b)(8) Internal Revenue Code</p> <p><input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162(e) of Internal Revenue Code</p>
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Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Joseph L. Carter, Jr., Consultant

000253900

S 2006 11:18 FR NORFOLK SOUTHERN 202 383 4018 TO 920222241851 P.03/17

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Registrant Name Joseph L. Carter, Jr.

Client Name Norfolk Southern Corporation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and information as requested. Attach additional page(s) as needed.

15. General issue area code BUD - Budget/Appropriations (one per page)

16. Specific lobbying issues

H.R. 5441. To make appropriations for the Department of Homeland Security for the fiscal year ending September 30, 2007. Monitored bill & generally supported funding for certain railroad security needs.  
H.R. 5427. To make appropriations for the Department of Energy & related agencies for fiscal 2007. Supported funding for Clean Coal R&D & Railroad locomotive R&D.  
H.R. 5576. To make appropriations for DOT, HUD, Treasury, etc for fiscal 2007. Supported funding for FRA R&D, Positive Train Control, RRIF, Amtrak, and projects of national significance.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives and Senate.

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Joseph Carter Jr.	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature \_\_\_\_\_

Date \_\_\_\_\_

Printed Name and Title Joseph L. Carter, Jr., Consultant



IS 2006 11:18 FR NORFOLK SOUTHERN 202 383 4018 TO 920222241851 P.04/17

Registrant Name Joseph L. Carter, Jr.Client Name Norfolk Southern Corporation**ADDENDUM for General Lobbying Issue Area BUD****16. Specific lobbying issues (continued from previous page)**

H.Con. Res. 376, Establishing the congressional budget for the United States government for fiscal 2007 e  
Monitored.

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25 2006 11:19 FR NORFOLK SOUTHERN 202 383 4018 TO 92022241851 P.05/17

Registrant Name Joseph L. Carter, Jr.

Client Name Norfolk Southern Corporation

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15. General issue area code CAW - Clean Air & Water (Quality) (one per page)

16. Specific lobbying issues

S. 1151. Climate Stewardship & Innovation Act of 2005. Opposed mandatory approaches as in bill, but supports voluntary efforts.  
S. 131. Clear Skies Act of 2005. Supported.  
S. 150. Clean Power Act of 2005. Opposed.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives and Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Joseph Carter Jr.	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title Joseph L. Carter, Jr., Consultant



25 2006 11:19 FR NORFOLK SOUTHERN 202 383 4018 TO 920222241851 P.06/17



Registrant Name Joseph L. Carter, Jr.

Client Name Norfolk Southern Corporation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and attach additional page(s) as needed.

15. General issue area code CHM - Chemicals/Chemical Industry (one per page)

16. Specific lobbying issues

S. 773 & H.R. 1414. Extremely Hazardous Materials Transportation Act of 2005. Opposed.  
H.R. 5695, S. 2145. To amend the Homeland Security Act of 2002 to provide for the regulation of certain chemical facilities. Monitored legislation, but supports inherently safer technology for ultra-hazardous chemicals.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives and Senate

18. Name of each individual who acted as a lobbyist in this issue area

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Joseph Carter Jr.	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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25 2006 11:19 FR NORFOLK SOUTHERN 202 383 4018 TO 920222241851 P.07/17

Registrant Name Joseph L. Carter, Jr.

Client Name Norfolk Southern Corporation

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15. General issue area code ENG - Energy/Nuclear (one per page)

16. Specific lobbying issues

H.R. 5254, S. 1772, To set schedules for the consideration of permits for refineries. Monitored  
S. 3711, H.R. 4761, To provide for the exploration, development, & production activities for mineral  
resources in the outer continental shelf. Monitored.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives and Senate

18. Name of each individual who acted as a lobbyist in this issue area

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Joseph Carter Jr.	

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25 2006 11:19 FR NORFOLK SOUTHERN 202 383 4018 TO 92022241851 P.08/17

Registrant Name Joseph L. Carter, Jr.

Client Name Norfolk Southern Corporation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code as requested. Attach additional page(s) as needed.

15. General issue area code HOM - Homeland Security (one per page)

16. Specific lobbying issues

S.1052, H.R. 2351. Transportation Improvement Act of 2005 & Rail Security Act of 2005. Monitored & supported funding of certain rail security needs.  
S. 1256. Hazardous Materials Vulnerability Reduction Act of 2005. Opposed.  
S. 773, H.R. 1414. Extremely Hazardous Materials Transportation Act of 2005. Opposed.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives and Senate

18. Name of each individual who acted as a lobbyist in this issue area

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Joseph Carter Jr.	

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25 2006 11:20 FR NORFOLK SOUTHERN 202 383 4018 TO 92022241851 P.09/17

Registrant Name Joseph L. Carter, Jr.

Client Name Norfolk Southern Corporation

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15. General issue area code INS - Insurance (one per page)

16. Specific lobbying issues

S. 467 (PL 109-144), H.R. 1153. Terrorist Risk Insurance Extension Act. Monitored implementation.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House and Senate

18. Name of each individual who acted as a lobbyist in this issue area

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19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

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25 2006 11:20 FR NORFOLK SOUTHERN 202 383 4018 TO 92022241851 P.10/17



Registrant Name Joseph L. Carter, Jr.

Client Name Norfolk Southern Corporation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code area as requested. Attach additional page(s) as needed.

15. General issue area code LAW - Law Enforcement/Crime/Criminal Justice (one per page)

16. Specific lobbying issues

S. 1052, H.R. 2351. Transportation Security Act of 2005. Supports section 314 of S. 1052 & section 113 of H.R. 2351 allowing railroad police to enforce on the property of another railroad.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives and Senate.

18. Name of each individual who acted as a lobbyist in this issue area

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Joseph Carter Jr.	

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Printed Name and Title Joseph L. Carter, Jr., Consultant

25 2006 11:20 FR NORFOLK SOUTHERN 202 383 4018 TO 92022241851 P.11/17

Registrant Name Joseph L. Carter, Jr.

Client Name Norfolk Southern Corporation

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15. General issue area code LBR - Labor Issues/Antitrust/Workplace (one per page)

16. Specific lobbying issues

H.R. 2830, S. 1783. Pension Protection Act & Pension Transparency Act of 2005. Monitored.  
No bill. Briefed on status of current round of railroad labor-management negotiations.  
S. 1052. Transportation Security Improvement Act of 2005. Monitored & opposed Lautenberg amendment to section 314 in original bill that would have limited authority of railroad police.  
H.R. 3318, S. 312. Railroad Antitrust and Competition Enforcement Act. Opposed.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives and Senate

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25 2006 11:20 FR NORFOLK SOUTHERN 202 383 4018 TO 92022241851 P.12/17





25 2006 11:20 FR NORFOLK SOUTHERN 202 383 4018 TO 92022241851 P.13/17

Registrant Name Joseph L. Carter, Jr.

Client Name Norfolk Southern Corporation

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and attach additional page(s) as needed.

15. General issue area code RRR - Railroads (one per page)

16. Specific lobbying issues

H.R. 1630, S. 1516. Amtrak Reauthorization Act of 2005. Supported.  
H.R. 1631. Rail Infrastructure Development and Expansion Act of the 21st Century. Monitored & generally supported additional resources for rail infrastructure where public benefits and RRIF provisions.  
S. 919, H.R. 2047. Railroad Competition Act of 2005. Opposed bills & other efforts to reregulate railroads.  
S. 1516. Passenger Rail Investment Act. Sought amendments re: access & performance/penalties.  
H.R. 5074. Railroad Retirement Technical Improvement Act. Supported

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives and Senate

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Joseph Carter Jr.	

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Printed Name and Title Joseph L. Carter, Jr., Consultant



25 2006 11:21 FR NORFOLK SOUTHERN 202 383 4018 TO 92022241851 P.14/17



Registrant Name Joseph L. Carter, Jr.

Client Name Norfolk Southern Corporation

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15. General issue area code TAX - Taxation/Internal Revenue Code (one per page)

16. Specific lobbying issues

S. 3742 . To amend the Internal Revenue Act of 1986 to provide incentives to encourage investment in the expansion of freight rail infrastructure capacity and to enhance modal tax equity. Supports.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives and Senate.

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Joseph Carter Jr.	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Printed Name and Title Joseph L. Carter, Jr., Consultant



25 2006 11:21 FR NORFOLK SOUTHERN 202 383 4018 TO 92022241851 P.15/17

Registrant Name Joseph L. Carter, Jr.

Client Name Norfolk Southern Corporation

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15. General issue area code TOR - Torts (one per page)

16. Specific lobbying issues

S. 853. FAIR Act of 2005 (Asbestos bill). Opposed since it includes railroads in coverage.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives and Senate

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Joseph Carter Jr.	

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Printed Name and Title Joseph L. Carter, Jr., Consultant



JS 2006 11:21 FR NORFOLK SOUTHERN 202 383 4018 TO 92022241851 P.16/17

Registrant Name Joseph L. Carter, Jr.

Client Name Norfolk Southern Corporation

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15. General issue area code WAS - Waste(hazardous/solid/interstate/nuclea (one per page)

16. Specific lobbying issues

H.R. 2491. International Solid Waste Importation & Management Act of 2005. Monitored.  
S. 1607, H.R. 3577. Solid Waste Environmental Regulation Clarification Affecting Railroads Act of 2005. Monitored.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives and Senate

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