

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - **All Filers Are Required To Complete This Page**

1. Registrant Name:

**CREDIT SUISSE FIRST BOSTON**

2. Address:

1401 I ST NW #910, WASHINGTON, DC 20005

3. Principal place of business (if different from line 2):

Country City: State/Zip(or Country): MONACO

4. Contact Name: MARY WHALEN

Telephone: 202-354-2800

E-mail (optional): mary.whalen@csfb.com

Senate ID #: 62596-12

House ID #: 35454000

7. Client Name:  Self

## TYPE OF REPORT

8. Year 2001 Midyear (January 1 - June 30):  **OR** Year End (July 1 - December 31):

9. Check if this filing amends a previously filed version of this report:

10. Check if this is a Termination Report:  => Termination Date: 11. No Lobbying Activity:

## INCOME OR EXPENSES

Complete Either Line 12 **OR** Line 13

### 12. Lobbying Firms

**INCOME** relating to lobbying activities for this reporting period was:

Less than \$10,000:

\$10,000 or more:  => Income (nearest \$20,000): \_\_\_\_\_

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

### 13. Organizations

**EXPENSES** relating to lobbying activities for this reporting period were:

Less than \$10,000:

\$10,000 or more:  => Expenses (nearest \$20,000): 860,000.00

### 14. Reporting Method.

Check box to indicate expense accounting method. See instructions for description of options.

- Method A.** Reporting amounts using LDA definitions only  
 **Method B.** Reporting amounts under section 6033(b)(8) of the Internal Revenue Code  
 **Method C.** Reporting amounts under section 162(e) of the Internal Revenue Code

Registrant Name: CREDIT SUISSE FIRST BOSTON Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BAN (one per page)

16. Specific lobbying issues:

Anti-Money Laundering Proposals, National Anti-Money Laundering study, Guidance on Enhanced Security for Transactions that may include the proceeds of foreign corruption issues by Dept. of Treasury January, 2001. Federal Reserve Merchant Banking Regulations Docket No. R-1065. Basel Proposal to Amend Capital Adequacy Framework. (Request for Comment issued by Federal Reserve Board, FDIC & OCC on January 16, 2001)

17. House(s) of Congress and Federal agencies contacted:

Federal Reserve System  
HOUSE OF REPRESENTATIVES  
Office of the Comptroller of the Currency (OCC)  
SENATE  
Securities & Exchange Commission (SEC)  
Treasury, Dept of

18. Name of each individual who acted as a lobbyist in this issue area:

Name: SEIDEL, JOSEPH L.  
Covered Official Position (if applicable): N/A  
Name: WHALEN, MARY L.  
Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: CREDIT SUISSE FIRST BOSTON Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: BNK (one per page)

16. Specific lobbying issues:

H.R. 333 - Bankruptcy Abuse Prevention and Consumer Protection Act of 2001 S. 220 - Bankruptcy Reform Act of 2001 S. 420 - Bankruptcy Reform Act of 2001 Title IX netting provisions Definitions Section 708, Corp. Reorganizations

17. House(s) of Congress and Federal agencies contacted:

Commodity Futures Trading Commission

Federal Deposit Insurance Commission (FDIC)

Federal Reserve System

HOUSE OF REPRESENTATIVES

SENATE

Securities & Exchange Commission (SEC)

Treasury, Dept of

18. Name of each individual who acted as a lobbyist in this issue area:

Name: SEIDEL, JOSEPH L.

Covered Official Position (if applicable): N/A

Name: WHALEN, MARY L.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: CREDIT SUISSE FIRST BOSTON Client Name: Self

### LOBBYING ACTIVITY

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: FIN (one per page)

16. Specific lobbying issues:

Securities Analyst Disclosure Equity Market Structure

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

Securities & Exchange Commission (SEC)

18. Name of each individual who acted as a lobbyist in this issue area:

Name: SEIDEL, JOSEPH L.

Covered Official Position (if applicable): N/A

Name: WHALEN, MARY L.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Registrant Name: CREDIT SUISSE FIRST BOSTON Client Name: Self

**LOBBYING ACTIVITY.**

Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code: RET (one per page)

16. Specific lobbying issues:

ERISA amendments permitting investment advice. H.R. 2269; the Retirement Security Act.

17. House(s) of Congress and Federal agencies contacted:

HOUSE OF REPRESENTATIVES

18. Name of each individual who acted as a lobbyist in this issue area:

Name: SEIDEL, JOSEPH L.

Covered Official Position (if applicable): N/A

Name: WHALEN, MARY L.

Covered Official Position (if applicable): N/A

19. Interest of each foreign entity in the specific issues listed on line 16 above. **None**

Signature: ON FILE Date: Aug 14, 2001

Printed Name and Title: MARY LYNNE WHALEN - MANAGING DIRECTOR