

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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SECRETAR
04 AUG --**LOBBYING REPORT**

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name The Research Foundation of State University of New York			
2. Address <input type="checkbox"/> Check if different than previously reported P.O. Box 9			
3. Principal Place of Business (if different from line 2) Albany New York 12201 City: State/zip (or Country)			
4. Contact Name Christopher Hanifin	Telephone (518) 434-7045	E-mail (optional) christopher.hanifin@rfsuny.org	5. Senate ID # 56031-21
7. Client Name <input checked="" type="checkbox"/> Self Self			6. House ID # 35165000

TYPE OF REPORT 8. Year 2004 Midyear (January 1-June 30) ☒ OR Year End (July 1-Dec
9. Check if this filing amends a previously filed version of this report ☐10. Check if this is a Termination Report ☐ ⇔ Termination Date _____

11. No Lobbyin

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> ⇔ \$ _____ Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	13. Organizations EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> ⇔ \$ <u>\$480,000.00</u> Expenses (nearest \$20,000) 14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of <input type="checkbox"/> Method A. Reporting amounts using LDA definiti <input type="checkbox"/> Method B. Reporting amounts under section 6033 Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162(e Internal Revenue Code
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Signature _____

Filing #a930bfbe-4c40-4825-8b50-a87a6248d9ed - Page 1 of 26

Date **07/15/04**

Signature _____

Printed Name and Title Christopher J. Hanifin, Assistant Vice President for legal Affairs

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PAGE 1 of 1

Registrant Name The Research Foundation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the client engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code as requested. Attach additional page(s) as needed.

15. General issue area code BUD (one per page)

16. Specific lobbying issues

Requested direct federal appropriations funding support for various projects to be sponsored or administered by numerous campuses in the 64 institution system of the State University of New York, including Cayuga Renovation of College Theatre, Columbia-Greene Construct and Equip Police and First Responder Training Facility, Dutchess Network Upgrade, Fulton Montgomery Spatial Information Technology Center (SITC), Jamestown A Regional Solution for the Rural Disparity in Higher Education, Monroe Homeland Security Management, Onondaga Children's Learning Center, Regional Anti-Terrorism Training Ctr, Web College Project, Rockland Haverstraw Extension Site, Tech. Dev.; TV Broadcast Center Upgrade, Sullivan County Rehabilitating of roadways, parking lots, walkways around college, System Admin. High School Opportunities-for-Success Project, Westchester The New Center

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representative
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Matthew P. Behrmann	
Elizabeth Kempter	
Gregory O'Connor	
Susan Bruno	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature  Date 7/15/04

Printed Name and Title

Christopher G. Hartman, Assistant Vice President for Legal Affairs

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Registrant Name The Research Foundation Client Name Self

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15. General issue area code BUD (one per page)

16. Specific lobbying issues

University Centers/Doctoral-Albany Center of Excellence in NanoElectronics,Binghamton Small Scale Systems Packaging Center,Buffalo University Center for Bioinformatics,Downstate Medical Center Advanced Biotechnology Incubator,ESF Urban Forestry for Brownsfields Remediation,Great Lakes Research Consortium,Optometry Provision of Emergency Eyere in a Large Urban Setting,Stony Brook Advanced Technology for Disease Detection,Center of Excellence in Wireless and Information Technology,Upstate Bringing Children's Hospital to Central NY;Regional Center for Emergency Preparedness,Upstate & ESF Central NY Biotechnology Center
University Colleges-Brockport Lake Ontario Natural Resource Center,Buffalo State College Center for Excellence in Urban & Rural Education; Great Lakes Research Inst.,Cortland County's Citizens with Learning Disabilities;

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representative
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Matthew P. Behrmann	
Elizabeth Kempter	
Gregory O'Connor	
Susan Bruno	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature  Date 7/15/04

Printed Name and Title Christopher S. Manning, Assistant Vice President for Legal Affairs

Registrant Name The Research Foundation Client Name Self

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16. Specific lobbying issues

University Colleges-Cortland Excellence in Science & Teaching Initiative, Empire State College Online Bachelor's Degree for Emergency Management Professionals; Urban Teachers Initiative in New York City, Geneseo Center for Excellence in Undergraduate, New Paltz/Ulster C.C. Regional Environmental Laboratory Facility and Mobil Monitoring Operation, Oneonta Biochemical, Earth & Environmental Sciences (BEES) Undergraduate Research Center, Oswego Lake Ontario Research Center, Plattsburgh Adirondack-Champlain Community Fiber Network (AFCN), Potsdam Improvement of Technological Infrastructure; Teach Music in New York City, Purchase College Improving Access and Quality in Postsecondary Education.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representative
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Matthew P. Behrmann	
Elizabeth Kempter	
Gregory O'Connor	
Susan Bruno	

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Signature  Date 7/15/04

Printed Name and Title Christopher J. Hammit, Assistant Vice President for Legal Affairs

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Registrant Name The Research Foundation Client Name Self

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15. General issue area code CPI (one per page)

16. Specific lobbying issues

Advocacy for the passage of Federal Legislation authorizing federal program support of increased domestic cyber-security research funding. In particular, advocating increased federal program support for University and College based cyber-security and information assurance research.
Advocacy for increased Nano scale research coordinated with a comprehensive National Nano program.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representative
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Matthew P. Behrmann	
Elizabeth Kempter	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature  Date 7/15/04

Printed Name and Title Christopher S. Higgins, Assistant Vice President for Legal Affairs

Registrant Name The Research Foundation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the Registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and information as requested. Attach additional page(s) as needed.

15. General issue area code DIS (one per page)

16. Specific lobbying issues

Advocate for the First Responder to create and sustain an infrastructure that facilitates and coordinates all State agency and academic-based first responder training, education, and drills and exercises in order to address the immediate and long-term training needs of our first responder community.

Advocate for Bio-Security & Food Safety-implement practical agricultural bio-security and food safety techniques, incorporate the techniques into academic programs, and demonstrate the techniques to farmers, agriculture and food business and industry representatives, food service personnel and the general public.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representative
U.S. Senate
Department of Health Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Matthew P. Behrmann	
Elizabeth Kempter	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature  Date 7/15/04

Printed Name and Title _____

Registrant Name The Research Foundation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the Registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and provide information as requested. Attach additional page(s) as needed.

15. General issue area code ENV (one per page)

16. Specific lobbying issues

Advocate for the affiliation of the Great Lakes Research Consortium and for the Oswego Wind project.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representative

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Matthew P. Behrmann	
Elizabeth Kempter	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature  Date 7/15/04

Printed Name and Title Christopher S. Hammi, Assistant Vice President for Legal Affairs

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Registrant Name The Research Foundation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the Registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and information as requested. Attach additional page(s) as needed.

15. General issue area code GOV (one per page)

16. Specific lobbying issues

Sponsored a SUNY Day in Washington on March 30-31, 2004 with SUNY Presidents to meet with Congressional Members, Senators and Bush Administration Officials.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representative
U.S. Senate
U.S. Department of Defense

18. Name of each individual who acted as a lobbyist in this issue area

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Matthew P. Behrmann	
Elizabeth Kempter	
Gregory O'Connor	
Susan Bruno	

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Signature  Date 7/15/04

Printed Name and Title Christopher J. Manning, Assistant Vice President for Legal Affairs

Registrant Name The Research Foundation Client Name Self

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15. General issue area code LAW (one per page)

16. Specific lobbying issues

Advocated for the inclusion of provisions supporting university research and training programs in various legislative bills addressing homeland security.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representative
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

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Gregory O'Connor	
Susan Bruno	

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Signature  Date 7/15/04

Printed Name and Title Christopher J. Hannan, Assistant Vice President for Legal Affairs

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Registrant Name The Research Foundation Client Name Self

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15. General issue area code MAR (one per page)

16. Specific lobbying issues

Advocated for Port Security - this effort would improve marine transportation and port performance and security, economic growth and development. Would also hold conferences to disseminate the research results and provide a platform for exchange of ideas within the international maritime and port industry.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representative
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Matthew P. Behrmann	
Elizabeth Kempter	
Susan Bruno	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature  Date 7/15/04

Printed Name and Title

Christopher A. Hagg, Assistant Vice President for Legal Affairs

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Registrant Name The Research Foundation Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and providing information as requested. Attach additional page(s) as needed.

15. General issue area code TRA (one per page)

16. Specific lobbying issues

Advocacy inclusion for the Inter-modal Surface Transportation Funding Reauthorization on various research or infrastructure projects across the 64 State University campuses.

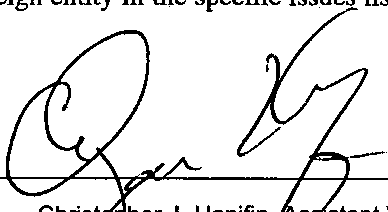
17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representative
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Matthew P. Behrmann	
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19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature  Date 7/15/04

Printed Name and Title

Christopher S. Hamlin, Assistant Vice President for Legal Affairs

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Registrant Name The Research Foundation Client Name Self

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address

21. Client new principal place of business (if different from line 20)

City _____ State/Zip (or Country) _____

22. New general description of client's business or activities

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

ISSUE UPDATE

24. General lobbying issues previously reported that **no longer** pertain

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registrant, affiliated organization

Signature  Date 07/15/04

Printed Name and Title Christopher J. Hanifin, Assistant Vice President for Legal Affairs

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Lobbying Report Expenses for January 1 – June 30, 2004

Expenses:

<u>Staff Salaries</u>	<u>half-year salary</u>	<u>Percent of Effort</u>
Matt Behrmann		
\$149,800/year	74,900	\$44,940 (60%)
Beth Kempter		
\$82,500/year	41,250	\$16,500(40%)
Meg Maier		
\$42,500/year	\$21,250	\$2,125(10%)
Greg O'Connor		
\$37,000/year	\$18,500	\$1,850(10%)
Susan Bruno		
\$70,000/year	\$35,000	\$3,500(10%)
Salary Expenses		\$68,915.00
Travel Expenses to DC		\$ 2,356.00
Akin, Gump, Strauss, Feld & Hauer		<u>\$416,379.99</u>
GRAND TOTAL		\$487,650.99

