

Clerk of the House of Representatives  
Legislative Resource Center  
B-106 Cannon Building  
Washington, DC 20515

Secretary of the Senate  
Office of Public Records  
232 Hart Building  
Washington, DC 20510

SECRETARY OF THE SENATE  
05 FEB 16 PM 4:42

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name <b>Caterpillar Inc.</b>			
2. Address <input type="checkbox"/> Check if different than previously reported <b>100 N. E. Adams Street</b>			
3. Principal Place of Business (if different from line 2) City: <b>Peoria</b> State/Zip (or Country) <b>Illinois 61629-1430</b>			
4. Contact Name <b>Douglas P. Crew</b>	Telephone <b>(309) 675-5248</b>	E-mail (optional) <b>crew_douglas_p@cat.com</b>	5. Senate ID <b>8475-1</b>
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID <b>313190</b>

TYPE OF REPORT 8. Year 2004 Midyear (January 1-June 30) ☐ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date \_\_\_\_\_

11. No Lobbying Activities ☐

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

<p><b>12. Lobbying Firms</b></p> <p>INCOME relating to lobbying activities for this reporting period was:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Income (nearest \$20,000)</p> <p>Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).</p>	<p><b>13. Organizations</b></p> <p>EXPENSES relating to lobbying activities for this period were:</p> <p>Less than \$10,000 <input type="checkbox"/></p> <p>\$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>680,000</u> Expenses (nearest \$20,000)</p> <p><b>14. REPORTING METHOD.</b> Check box to indicate accounting method. See instructions for descriptive information.</p> <p><input type="checkbox"/> Method A. Reporting amounts using LDA de</p> <p><input type="checkbox"/> Method B. Reporting amounts under section 162(e) Internal Revenue Code</p> <p><input checked="" type="checkbox"/> Method C. Reporting amounts under section 162(e) Internal Revenue Code</p>
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Signature



Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs

LD-2 (REV. 6/98)

Registrant Name Caterpillar Inc. Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which t engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c** information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

Oppose S. 666, the Biological, Chemical, and Radiological Weapons Act, which would dramatically delay generic drugs from coming to market and cause a crippling increase in prescription costs for America's employers, health plans, and consumers.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House and Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Kathryn S. Himes	Washington Manager, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs

Form LD-2 (Rec. 4/03)

Page 2

Registrant Name Caterpillar Inc. Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which t engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each c** information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

Support S. 2810-An original bill making appropriations for the Departments of Labor, Health, and Human Services, and Education, and related agencies for the fiscal year ending September 30, 2005.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

US Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Kathryn S. Himes	Washington Manager, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_



Registrant Name Caterpillar Inc. Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific lobbying issues

Support H.R. 4759 -- The United States-Australia Free Trade Agreement  
 Support H.R. 4842 -- The United States-Morocco Free Trade Agreement  
 Support H.R. 4103 -- AGOA Acceleration Act of 2004 -- legislation to extend the African Growth and Opportunity Act.  
 Support H.R. 3933 -- Repeal Section 754 of the Tariff Act of 1930 (so-called Byrd Amendment).  
 Support most parts of H.R. 4818 -- Foreign Operations, Export Financing, and Related Programs Appropriations Act of 2005

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House and Senate  
 White House  
 State Dept.  
 Treasury Dept.  
 Commerce Dept.  
 USTR and USITC

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
William C. Lane	Washington Director, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs





Registrant Name Caterpillar Inc. Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each** co information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific lobbying issues

Oppose Section 580 in H.R. 4818 -- A provision that would place restrictions on Export-Import Bank programs  
Support H.R. 4457 -- Cuba Sanctions Reform Act of 2004 -- legislation that would require congressional renewal of trade and travel restrictions on Cuba.  
Oppose H.R. 3058 -- Currency Harmonization Initiative through Neutralizing Action Act of 2003 -- legislation that would require additional tariffs on imports from China based on the rate of currency exchange.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House and Senate  
White House  
State Dept.  
Treasury Dept.  
Commerce Dept.  
USTR and USITC

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
William C. Lane	Washington Director, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs



Registrant Name Caterpillar Inc. Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code ENG (one per page)

16. Specific lobbying issues

ELECTRICITY RESTRUCTURING - SUPPORT

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. HOUSE  
U.S. SENATE  
DEPARTMENT OF ENERGY  
EPA

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
RICHARD S. BRENT	DIRECTOR, SOLAR TURBINES, INC GOVT AFFAIRS

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

Form LD-2 (Rev. 4/03)

Page **6**

Registrant Name Caterpillar Inc. Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code area as requested. Attach additional page(s) as needed.

15. General issue area code ENG (one per page)

16. Specific lobbying issues

Support - Clear Skies initiative (SB-131) to reduce power plant emissions through a multi-emission approach, and expanded use of combined heat & power technology (CHP).

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House and Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Carl M. Volz	Washington Manager, Caterpillar Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Douglas P. Crew, Manager, Governmental Affairs



Registrant Name Caterpillar Inc. Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code as requested. Attach additional page(s) as needed.

15. General issue area code ENV (one per page)

16. Specific lobbying issues

Support - Senate Environmental & Public Works Committee initiative to advance a National Retrofit bill designed to modernize older diesel engines.

Support - Clean Diesel Initiatives including Clean School Bus USA (part of Veterans, housing, NASA, EPA) President's \$18 million budget for EPA programs to reduce diesel emissions, including the replacement and retrofitting of school buses.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House and Senate  
Environmental Protection Agency  
U.S. Department of Energy  
U.S. Department of Transportation

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Carl M. Volz	Washington Manager, Caterpillar Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_





Registrant Name Caterpillar Inc. Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** as requested. Attach additional page(s) as needed.

15. General issue area code ENG (one per page)

16. Specific lobbying issues

Support - Clear Skies initiative (SB-131) to reduce power plant emissions through a multi-emission approach, and expanded use of combined heat & power technology (CHP).

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House and Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Carl M. Volz	Washington Manager, Caterpillar Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Douglas P. Crew, Manager, Governmental Affairs



Registrant Name Caterpillar Inc. Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code area as requested. Attach additional page(s) as needed.

15. General issue area code TRA (one per page)

16. Specific lobbying issues

Support - TEA-21 Transportation Bill - Increased funding for highway and bridge infrastructure

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House and Senate  
Environmental Protection Agency  
U.S. Department of Transportation  
U.S. Department of Energy

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Carl M. Volz	Washington Manager, Caterpillar Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Douglas P. Crew, Manager, Governmental Affairs



Registrant Name Caterpillar Inc. Client Name Caterpillar Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code DEF - Defense (one per page)

16. Specific lobbying issues

*Add page to continue specific issues description for this issue* ☐

Support Defense Appropriations - HR 4613 and S 2559 Making appropriations for the Department of Defense for the fiscal year ending September 30, 2005.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House and Senate

18. Name of each individual who acted as a lobbyist in this issue area *Add a page to continue adding lobbyists for*

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
Edward	Kaleta	III	Washington Manager, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None




Registrant Name Caterpillar Inc. Client Name Caterpillar Inc.

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. **Using a separate page for each code** information as requested. Attach additional page(s) as needed.

15. General issue area code TAX - Taxation/Internal Revenue Code (one per page)

16. Specific lobbying issues

*Add page to continue specific issues description for this issue* 

HR 4520 The American Jobs Creation Act of 2005

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House and Senate  
The White House  
USTR  
Department of Treasury

18. Name of each individual who acted as a lobbyist in this issue area *Add a page to continue adding lobbyists for*

First Name	Name Last Name	Suffix	Covered Official Position (if applicable)
Edward	Kaleta	III	Washington Manager, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None





Registrant Name Caterpillar Inc. Client Name Self

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the client was engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code as requested. Attach additional page(s) as needed.

15. General issue area code ENV (one per page)

16. Specific lobbying issues

Support Interior Appropriations - HR 4568 and S 2804 Making appropriations for the Department of Interior and related agencies for the fiscal year ending September 30, 2005.

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House and Senate  
Department of Energy

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Edward J. Kaleta III	Washington Manager, Governmental Affairs

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature

*Edward J. Kaleta III*

Date

*Feb 10, 2006*

