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SECRETARY OF THE SENATE

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## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name American Association of Health Plans, Inc.			
2. Address <input type="checkbox"/> Check if different than previously reported 1129 20th St., NW, Suite 600, Washington, D.C. 20036			
3. Principal Place of Business (if different from line 2)			
City		State/Zip (or Country)	
4. Contact Name Louis Saccoccio	Telephone 202-778-3210	E-mail (optional) lsaccoccio@aahp.org	5. Senate ID # 1581-12
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 3062900

**TYPE OF REPORT** 8. Year 2000 Midyear (January 1-June 30)  OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  , Termination Date \_\_\_\_\_ 11. No Lobbying Activity

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms	13. Organizations
INCOME relating to lobbying activities for this reporting period was:  Less than \$10,000 <input type="checkbox"/>  \$10,000 or more <input type="checkbox"/> , \$ _____ Income (nearest \$20,000)  Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	EXPENSES relating to lobbying activities for this reporting period were:  Less than \$10,000 <input type="checkbox"/>  \$10,000 or more <input checked="" type="checkbox"/> , \$ <u>\$1,264,342.44</u> Expenses (nearest \$20,000)  14. REPORTING METHOD. Check box to indicate expense accounting method. See instructions for description of options.  <input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definitions only  <input type="checkbox"/> Method B. Reporting amounts under section 6033(b)(8) of the Internal Revenue Code  <input type="checkbox"/> Method C. Reporting amounts under section 162(e) of the Internal Revenue Code

Signature \_\_\_\_\_

Printed Name and Title \_\_\_\_\_ Louis Saccoccio, General Counsel \_\_\_\_\_

**7 LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

## 16. Specific lobbying issues

**Health Care (Legislative):** All provisions relating to health plans and network-based health care in the following bills: S.6, The Patients' Bill of Rights Act; S. 96, The Y2K Act; S. 308, The Patients' Bill of Rights Plus Act; S. 326, The Patients' Bill of Rights Act; S. 374, The Promoting Responsible Managed Care Act; S. 573, The Medical Information Privacy and Security Act; S. 578, The Health Care Personal Information Nondisclosure Act; S. 881, The Medical Information Protection Act; S. 1256, The Patients' Bill of Rights Act; S. 1344, The Patients' Bill of Rights Act; The Labor-HHS Appropriations Bill for FY 2000; S. 2038, The Medical Error Reduction Act; S. 2320, The Health Coverage, Access, Relief and Equity Act; S. 2378, The Stop All Frequent Errors in Medicare and Medicaid Act; S. 2513, The Financial Information Privacy Protection Act; S. 2553, The Labor-HHS-Education Appropriations Act for Fiscal Year 2001; S. 2738, The Patient Safety and Errors Reduction Act; S. 2743, The Voluntary Error Reduction and Improvement in Patient Safety Act; H.R. 10, The Financial Services Act; H.R. 216, The Access to Quality Care Act; H.R. 358, The Patients' Bill of Rights Act; H.R. 448, The Patient Protection Act; H.R. 719, The Managed Care Reforms Act; H.R. 775, The Year 2000 Readiness and Responsibility Act; H.R. 904, The Access to Emergency Medical Services Act; H.R. 1136, The Affordable Health Care Act; H.R. 1304, The Quality Health-Care Coalition Act; H.R. 1687, The Patients' Health Care Choice Act; H.R. 2041, The Patient Right to Obstetric and Gynecological Care Act; H.R. 2042, The Health Care Access, Affordability, and Quality Advisory Commission Act; H.R. 2043, The Patient Right to Understand Medical Advice Act; H.R. 2044, The Patient Right to Pediatric Care Act; H.R. 2045, The Patient Right to Emergency Medical Care Act; H.R. 2046, The Patient Access to Information Act; H.R. 2047, The Small Business Access and Choice for Entrepreneurs Act; H.R. 2089, The Group Health Plan Review Standards Act; H.R. 2095, The Health Care Quality and Access Act; H.R. 2362, The Fair Care for the Uninsured Act; H.R. 2470, The Medical Information Protection and Research Enhancement Act; H.R. 2723, The Bipartisan Consensus Managed Care Improvement Act of 1999; H.R. 2824, The Health Care Quality and Choice Act; H.R. 2926, The Comprehensive Access and Responsibility in Health Care Act; H.R. 2990, The Quality Care for the Uninsured Act; H.R. 3434, The Labor-HHS-Education Appropriations Bill for Fiscal Year 2000; H.R. 4049, The Privacy Commission Act; H.R. 4571, The Labor-HHS-Education Appropriations Act for Fiscal Year 2001; H.R. 4585, The Medical Financial Privacy Protection Act; S.353, the "Class Action Fairness Act"; H.R. 4461, the "Agriculture Appropriations Act"; H.R. 5122, the "Patient Protection Act"; H.R. 5628, the "Consumer-Sense Patients' Bill of Rights Act".  
**Health Care (Regulatory):** ERISA claims regulations for health benefits; OPM plain language initiative; OPM mental health parity initiative; OPM patient safety initiative; implementation of HIPAA portability, accessibility and renewability requirements; HIPAA administrative simplification issues; including privacy, OPM enrollment data clearing house initiative; ERISA Request for Information of Fiduciary Disclosures.

17. House(s) of Congress and Federal agencies contacted  Check if None

Senate  
 House of Representatives  
 White House  
 Department of Health and Human Services  
 Department of Labor  
 Office of Personnel Management

18. Name of each individual who acted as a lobbyist in this issue area

Name	New
Julie Goon	<input type="checkbox"/>
Kaitlyn Wilber	<input checked="" type="checkbox"/>
Kristin Stewart	<input type="checkbox"/>
Jacqueline Pommert	<input type="checkbox"/>
Adrienne Bigger	<input type="checkbox"/>
Sonja Styles	<input type="checkbox"/>
Constance Schaller	<input type="checkbox"/>
Patricia Pergal	<input type="checkbox"/>
Diana C. Bennett	<input type="checkbox"/>
Rick Smith	<input type="checkbox"/>
Karen Ignagni	<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Louis Saccoccio, General Counsel

Form LD-2 (Rev.6/98)

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Registrant Name American Association of Health Plans, Inc. Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

See attached.

17. House(s) of Congress and Federal agencies contacted  Check if None
- Senate
  - House of Representatives
  - White House
  - National Bipartisan Commission on the Future of Medicare
  - Department of Health and Human Services
  - Department of Labor
  - Office of Personnel Management

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New
Julie Goot		<input type="checkbox"/>
Kristin Stewart		<input type="checkbox"/>
Jacqueline Ponthiel		<input type="checkbox"/>
Adrienne Biggeri		<input type="checkbox"/>
Sean Styles		<input type="checkbox"/>
Candace Schaller		<input type="checkbox"/>
Patricia Pergai		<input type="checkbox"/>
Diana C. Bennett		<input type="checkbox"/>
Collette Desmarais		<input type="checkbox"/>
Rick Smith		<input type="checkbox"/>
Karen Ignagni		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Louis Saccoccia, General Counsel Form ID-2  
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16. Specific lobbying issues

**Medicare/Medicaid (Regulatory):** Medicare+Choice implementation, including risk adjustment/encounter data; adjusted community rate (ACR) and plan benefit package (PBP) policies; National Medicare Education program; Medicare+Choice enrollment issues; Medicare managed care marketing materials review and standardization; Medicare+Choice appeals and grievances; quality oversight requirements, including Quality Improvement System for Managed Care (QISMC) and deeming. In addition, Medicaid managed care policies, including the Medicaid managed care actuarial soundness standard, and health plan-related State Children's Health Insurance Program (SCHIP), including eligibility and outreach issues.

**Medicare/Medicaid (Legislative):** S. 731, The Prescription Drug Fairness for Seniors Act; S. 841, The Access to Rx Medications in Medicare Act; S. 1788, The Medicare, Medicaid, and SCHIP Adjustment Act; S. 1895, The Medicare Preservation and Improvement Act; S. 2110, The Medicare+Choice Beneficiary Confidence Act; S. 2342, The Medicare Modernization Act; S. 2378, The Stop All Frequent Errors in Medicare and Medicaid Act; S. 2541, The Medicare Expansion for Needed Drugs Act; S. 2753, The Medicare Expansion for Needed Drugs Act; S. 2758, The Medicare Outpatient Drug Act; S. 2807, The Medicare Prescription Drug and Modernization Act; S. 2836, The Medicare Rx Drug Discount and Security Act; H.R. 664, The Prescription Drug Fairness for Seniors Act; H.R. 1495, The Access to Prescription Medications in Medicare; H.R. 2419, The Medicare+Choice Risk Adjustment Amendments; H.R. 3075, The Medicare Balanced Budget Refinement Act; H.R. 3092, The Save Medicare Beneficiaries Act; H.R. 3146, The Health Care Restoration Act; H.R. 3194, The Consolidated Omnibus Appropriations Bill for Fiscal Year 2001; H.R. 3284, The Medicare Beneficiaries' Choice Protection Act; H.R. 3426, The Medicare, Medicaid, and SCHIP Balanced Budget Refinement Act; H.R. 4236, The Seniors Health Choice Preservation Act; H.R. 4680, The Medicare Rx 2000 Act; S. 2610, the Medicare Fairness in Reimbursement Act; S. 2905, the Medicare+Choice Program Improvement Act; S. 2937, the Medicare Geographic Fair Payment Act; S. 2974, the Medicare Equity and Access Act; S. 3016, the Medicare Temporary Drug Assistance Act; S. 3017, the Medicare Temporary Drug Assistance Act; S. 3077, the Medicare, Medicaid, and SCHIP Balanced Budget Refinement Act; S. 3165, the Medicare, Medicaid, and SCHIP Balanced Budget Refinement Act; H.R. 4555, the Medicare+Choice Reliability Act; H.R. 4937, the Medicare Protection and Fairness Act; H.R. 4951, the Medicare Beneficiaries' Choice Stabilization Act; H.R. 4968, the Medicare Equity and Access Act; H.R. 5001, the Fair Care for Seniors and Children Act; H.R. 5039, the Medicare Choice Preservation Act; H.R. 5070, the Medicare Fairness in Reimbursement Act; H.R. 5099, the Medicare+Choice Program Improvement Act; H.R. 5288: Medicare+Choice Payment (no official title); H.R. 5291, the Beneficiary Improvement and Protection Act; H.R. 5296, the Medicare Quality Assurance Act; H.R. 5320, the Medicare+Choice Rescue Act; H.R. 5324, the Medicare, Medicaid, and SCHIP Balanced Budget Refinement Act; H.R. 5543, the Medicare, Medicaid, and SCHIP Benefits Improvement and Protection Act; H.R. 5661, the Medicare, Medicaid, and SCHIP Benefits Improvement and Protection Act.

✓ Registrant Name American Association of Health Plans, Inc. Client Name \_\_\_\_\_

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, provide information as requested. Attach additional page(s) as needed.

15. General issue area code PHA (one per page)

16. Specific lobbying issues

**Pharmacy (Regulatory): FDA Draft Guidance on Medical Product Promotion by Healthcare Organizations and PBMs**

17. House(s) of Congress and Federal agencies contacted  Check if None

Department of Health and Human Services

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)	New <input type="checkbox"/>
Candace Schaller		<input type="checkbox"/>
Patricia Pergas		<input type="checkbox"/>
Diana C. Bennett		<input type="checkbox"/>
		<input type="checkbox"/>

19. Interest of each foreign entity in the specific issues listed on line 16 above  Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Louis Saccoccio, General Counsel

Registrant Name American Association of Health Plans, Inc. Client Name \_\_\_\_\_

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City \_\_\_\_\_ State/Zip (or Country) \_\_\_\_\_

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is no longer expected to act as a lobbyist for the client

Patricia Pergal  
Colette Desmarais

**ISSUE UPDATE**

24. General lobbying issues previously reported that no longer pertain

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Business (city and state or country)

26. Name of each previously reported organization that is no longer affiliated with the registrant or client

**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities	Ownership percentage in client

28. Name of each previously reported foreign entity that no longer owns, or controls, or is affiliated with the registrant, client or affiliated organization

Signature \_\_\_\_\_



Date 2-14-01

Printed Name and Title Louis Saccoccia, General Counsel