

Clerk of the House of Representatives Legislative Resource Center B-106 Cannon Building Washington, DC 20515	Secretary of the Senate Office of Public Records 232 Hart Building Washington, DC 20510
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SECRETARY OF
04 FEB 17

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name ABASA			
2. Address <input type="checkbox"/> Check if different than previously reported 1120 Connecticut Avenue, N.W.			
3. Principal Place of Business (if different from line 2) City: Washington State/Zip (or Country) DC 20036			
4. Contact Name	Telephone	E-mail (optional)	5. Senate ID #
Sarah A. Miller	(202) 663-5325	Smiller@aba.com	44-
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 304

TYPE OF REPORT 8. Year 2003 Midyear (January 1-June 30) OR Year End (July 1-December 31)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report ⇒ Termination Date _____

11. No Lobbying

INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

12. Lobbying Firms
INCOME relating to lobbying activities for this reporting period was:
Less than \$10,000
\$10,000 or more ⇒ \$ _____
Income (nearest \$20,000)

Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

13. Organizations
EXPENSES relating to lobbying activities for this reporting period were:
Less than \$10,000
\$10,000 or more ⇒ \$ 45,630.00
Expenses (nearest \$20,000)

14. REPORTING METHOD. Check box to indicate accounting method. See instructions for description of

Method A. Reporting amounts using LDA definition

Method B. Reporting amounts under section 603: Internal Revenue Code

Method C. Reporting amounts under section 162 Internal Revenue Code

Signature _____

Printed Name and Title

Sarah A. Miller, General Counsel

LD-2 (REV. 6/98)

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Registrant Name ABASA Client Name Self

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the registrant engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code, information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

See Attached

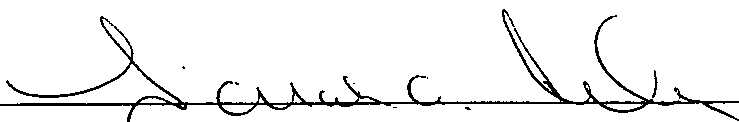
17. House(s) of Congress and Federal agencies contacted Check if None

House of Representatives, Senate, Board of Governors of the Federal Reserve System, Office of the Comptroller of Currency, Federal Deposit Insurance Corporation, Securities and Exchange Commission, Office of the Thrift Supervision, Department of Treasury, National Association of Securities Dealers, Municipal Securities Rulemaking Board

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Beth L. Climo	
James D. McLaughlin	
Sarah A. Miller	
J. Kevin A. McKechnie	

19. Interest of each foreign entity in the specific issues listed on line 16 above Check if None

Signature  Date 2/13/2004

Registrant: **American Bankers Association Securities Association**

Attachment to Page 2, LD-2 Report: Year End (July 1 – December 31, 2003)

FIN general issue area code

16. Specific lobbying issues

Implementing regulations for Public Law no.106-102: Gramm-Leach-Bliley Financial Modernization Act of 1999 –:

- a. Title II, Subtitle A – Brokers and Dealers

Implementing regulations for Public Law No. 107-56: USA Patriots Act of 2001

- a. Title III – Money Laundering Abatement

H.R. 1375	The Financial Services Regulatory Relief Act, Title V Cross Marketing
H.R. 975	Bankruptcy Abuse Prevention and Consumer Protection Act of 2003, Title IX
H.R. 2120	Bankruptcy Reform Act of 2003, Title IX
H.R. 2179	The Securities Fraud Deterrence and Investor Restitution Act of 2003, Sections 1-8
H.R. 2420	The Mutual Funds Integrity and Fee Transparency Act of 2003, Title I, II
S. 509	The Energy Market Oversight Act, Section 7

Agencies:

Implementation of Gramm-Leach-Bliley Financial Modernization Act, Pub L. No. 106-102 Titles I, II, V

Implementation of Sarbanes-Oxley Act of 2002, Pub L. No. 107-204, Title V

Section 106 (b) of the Bank Holding Company Act Amendments of 1970

