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## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

|                                                                                                                 |                               |                        |                |
|-----------------------------------------------------------------------------------------------------------------|-------------------------------|------------------------|----------------|
| 1. Registrant name<br>Loeffler Jonas & Tuggey LLP                                                               |                               |                        |                |
| 2. Address <input type="checkbox"/> Check if different than previously reported<br>755 East Mulberry, Suite 200 |                               |                        |                |
| 3. Principal place of business (if different from line 2)                                                       |                               |                        |                |
| City                                                                                                            | San Antonio                   | State/Zip (or Country) | Texas 78212    |
| 4. Contact Name                                                                                                 | Telephone                     | E-mail (optional)      | 5. Senate ID # |
| W. James Jonas III                                                                                              | 210/354-4300                  |                        | 64634-2        |
| 7. Client Name                                                                                                  | <input type="checkbox"/> Self | 6. House ID #          |                |
| Investment Company Institute                                                                                    |                               | 355480C                |                |

**TYPE OF REPORT** 8. Year 2003 Midyear (January 1-June 30)  OR Year End (July 1-December)

9. Check if this filing amends a previously filed version of this report

10. Check if this is a Termination Report  ⇒ Termination Date \_\_\_\_\_

11. No Lobby Acti

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

| 12. Lobbying Firms                                                                                                                                                                                                             | 13. Organizations                                                                                                         |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------|
| INCOME relating to lobbying activities for this reporting period was:                                                                                                                                                          | EXPENSES relating to lobbying activities for this reporting period were:                                                  |
| Less than \$10,000 <input type="checkbox"/>                                                                                                                                                                                    | Less than \$10,000 <input type="checkbox"/>                                                                               |
| \$10,000 or more <input checked="" type="checkbox"/> ⇒ <u>\$80,000</u><br>Income (nearest \$20,000)                                                                                                                            | \$10,000 or more <input type="checkbox"/> ⇒ \$ _____<br>Expenses (nearest \$20,000)                                       |
| Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client). | <b>14. REPORTING METHOD.</b> Check box to indicate expert accounting method. See instructions for description of options. |
|                                                                                                                                                                                                                                | <input type="checkbox"/> <b>Method A.</b> Reporting amounts using LDA definitions                                         |
|                                                                                                                                                                                                                                | <input type="checkbox"/> <b>Method B.</b> Reporting amounts under section 6033(b)(1) Internal Revenue Code                |
|                                                                                                                                                                                                                                | <input type="checkbox"/> <b>Method C.</b> Reporting amounts under section 162(e) of Internal Revenue Code                 |

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title W. James Jonas, III, Partner

Form LD-2 (Rev 06/98)

Registrant Name Loeffler Jonas & Tuggey LLP Client Name Investment Company Institute

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the regi engaged in lobbying activity on behalf of the client during the reporting period. **Using a separate page for each** provide information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

Issues affecting ICI, including, but not limited to, tax policy.

17. House(s) of Congress and Federal agencies contacted  
House of Representatives, Senate.

Check if None

18. Name of each individual who acted as a lobbyist in this issue area

| Name               | Covered Official Position (if applicable) |
|--------------------|-------------------------------------------|
| Jon Plebani        | Hans C. Rickhoff                          |
| Tom Loeffler       | Cynthia Farrell                           |
| Daniel Cohen       |                                           |
| W. James Jonas III |                                           |
| Jose Martinez      |                                           |
| Mark Franz         |                                           |
| Michael Frazier    |                                           |

19. Interest of each foreign entity in the specific issues listed on the line 16 above

Check if None



11/10/14

Signature \_\_\_\_\_ Date 2/12/11

Printed Name and Title W. James Jonas III, Partner

Form LD-2 (Rev 06/98)