

Clerk of the House of Representatives
Legislative Resource Center
B-106 Cannon Building
Washington, DC 20515

Secretary of the Senate
Office of Public Records
232 Hart Building
Washington, DC 20510

SECRETARY OF THE SENATE

04 FEB 10 1962

LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required to Complete This Page

1. Registrant Name Humana Inc.			
2. Address <input type="checkbox"/> Check if different than previously reported 500 West Main Street			
3. Principal Place of Business (if different from line 2) Louisville KY 40202 City: State/zip (or Country)			
4. Contact Name Heidi Margulis	Telephone (502) 580-1854	E-mail (optional) hmargulis@humana.com	5. Senate ID # 18912-0
7. Client Name <input checked="" type="checkbox"/> Self			6. House ID # 32289000

TYPE OF REPORT 8. Year 2003 Midyear (January 1-June 30) ☐ OR Year End (July 1-December 31) ☐
9. Check if this filing amends a previously filed version of this report ☐10. Check if this is a Termination Report ☐ ⇨ Termination Date _____11. No Lobbying ☐**INCOME OR EXPENSES - Complete Either Line 12 OR Line 13**

12. Lobbying Firms INCOME relating to lobbying activities for this reporting period was: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input type="checkbox"/> ⇨ \$ _____ Income (nearest \$20,000) Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).	13. Organizations EXPENSES relating to lobbying activities for this reporting period were: Less than \$10,000 <input type="checkbox"/> \$10,000 or more <input checked="" type="checkbox"/> ⇨ \$ <u>220,000</u> Expenses (nearest \$20,000) 14. REPORTING METHOD. Check box to indicate exp accounting method. See instructions for description of opt <input checked="" type="checkbox"/> Method A. Reporting amounts using LDA definition <input type="checkbox"/> Method B. Reporting amounts under section 6033(f) Internal Revenue Code <input type="checkbox"/> Method C. Reporting amounts under section 162(e) Internal Revenue Code
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Signature Heidi Margulis Date 6.6.07

Printed Name and Title Heidi S. Margulis, SVP-Government Relations

LD-2 (REV. 4/03)

PAGE 1 of

Registrant Name Humana Inc. Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each information as requested. Attach additional page(s) as needed.

15. General issue area code DEF (one per page)

16. Specific lobbying issues

Issues related to TRICARE & military health care funding, including National Guard & Reservist health care funding
National Defense Authorization Act--HR 1588 & S. 1050
Supplemental Appropriations--HR 3289 & S. 1689

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
U.S. Senate
Department of Defense-Health Affairs & TRICARE Management Activity

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary McKenzie Rosado	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature

Leidi Rosado

Date

2-6-04

Printed Name and Title Heidi Margulis, SVP-Government Relations

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Registrant Name Humana Inc. Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each cod information as requested. Attach additional page(s) as needed.

15. General issue area code HCR (one per page)

16. Specific lobbying issues

HIPAA Privacy, Security & EDI rules
ERISA/COBRA rules
Genetic Non-Discrimination--S. 1053
Association Health Plans--HR 660, S. 545
Patient Safety & Quality Improvement--HR 663, HR 877 & S. 720
Physician Antitrust Bill--HR 1120
Mental Health Equitable Treatment Act 00S 486

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
U.S. Senate
Dept. of Health & Human Services
Dept. of Labor
Office of Mgt & Budget
White House Health Policy Office

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary McKenzie Rosado	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature *Heidi Magnus* Date 2-6-04

Printed Name and Title Heidi Margulis, SVP-Government Relations

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Registrant Name Humana Inc. Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each information as requested. Attach additional page(s) as needed.

15. General issue area code MMM (one per page)

16. Specific lobbying issues

Items related to Medicare reimbursement & prescription drug coverage--HR 1, HR 26, HR 1562, HR 1568, S 1, S. 7, S. 590

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

House of Representatives
U.S. Senate
Department of Health & Human Services
Office of Mgt & Budget
White House Health Policy Office

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary McKenzie Rosado	
Heidi Margulis	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☒ Check if None

Signature

Heidi Margulis

Date

2-6-04

Printed Name and Title Heidi Margulis, SVP-Government Relations

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Registrant Name Humana Inc. Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

Rollover of Flexible Spending Accounts--HR 176, HR 1177, HR 1776
Health Savings Accounts (including FSAs, MSAs, HSAs) HR 2351, HR 1
Regulatory issues related to Health Reimbursement Accounts & Flexible Spending Accounts
Regulatory issues related to implementation of the health care tax credit portion of the Trade Promotion Authority law

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
U.S. Senate
Dept. of Treasury
Office of Mgt & Budget

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary McKenzie Rosado	
Heidi Margulis	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☒ Check if None

Signature Heidi Margulis Date 2-6-04

Printed Name and Title Heidi Margulis, SVP-Government Relations

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Registrant Name Humana Inc. Client Name _____

LOBBYING ACTIVITY. Select as many codes as necessary to reflect the general issue areas in which the engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code TOR (one per page)

16. Specific lobbying issues

Help Efficient Accessible Low-Cost Timely Healthcare Act--HR 5, S. 607
Class Action Reform--HR 1115, S 274
Patients First Act (medical malpractice) S 11

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

House of Representatives
U.S. Senate
White House Health Policy Office

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Mary McKenzie Rosado	
Heidi Margulis	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature Heidi Margulis Date 2-6-04

Printed Name and Title Heidi Margulis, SVP-Government Relations

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Registrant Name Humana Inc. Client Name _____

Information Update Page - Complete ONLY where registration information has changed.

20. Client new address _____

21. Client new principal place of business (if different from line 20) _____

City _____ State/Zip (or Country) _____

22. New general description of client's business or activities _____

LOBBYIST UPDATE

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client _____

ISSUE UPDATE

24. General lobbying issues previously reported that **no longer** pertain _____

AFFILIATED ORGANIZATIONS

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Bu: (city and state or cou
N/A		

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client
N/A

FOREIGN ENTITIES

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities
N/A			

28. Name of each previously reported foreign entity that **no longer** owns, **or** controls, **or** is affiliated with the registrant, affiliated organization
N/A

Signature _____

Date _____

2-6-04

Printed Name and Title Heidi Margulis, SVP-Government Relations

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