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02 FEB 14 AM 11

## LOBBYING REPORT

Lobbying Disclosure Act of 1995 (Section 5) - All Filers Are Required To Complete This Page

1. Registrant Name Morgan Stanley Dean Witter & Co.			
2. Address <input type="checkbox"/> Check if different than previously reported 401 9th Street, NW, Suite 650, Washington, DC 20004			
3. Principal Place of Business (if different from line 2) City: _____ State/Zip (or Country) _____			
4. Contact Name	Telephone	E-mail (optional)	5. Senate ID #
Samuel J. Baptista	(202) 654-2000		2584
7. Client Name <input type="checkbox"/> Self			6. House ID #
SELF			3181

**TYPE OF REPORT** 8. Year \_\_\_\_\_ Midyear (January 1-June 30) ☐ OR Year End (July 1-December 31) ☐

9. Check if this filing amends a previously filed version of this report ☐

10. Check if this is a Termination Report ☐ ⇨ Termination Date \_\_\_\_\_

11. No Lobbying ☐

### INCOME OR EXPENSES - Complete Either Line 12 OR Line 13

**12. Lobbying Firms**  
**INCOME** relating to lobbying activities for this reporting period was:  
Less than \$10,000 ☐  
\$10,000 or more ☐ ⇨ \$ \_\_\_\_\_  
Income (nearest \$20,000)  
Provide a good faith estimate, rounded to the nearest \$20,000, of all lobbying related income from the client (including all payments to the registrant by any other entity for lobbying activities on behalf of the client).

**13. Organizations**  
**EXPENSES** relating to lobbying activities for this reporting period were:  
Less than \$10,000 ☐  
\$10,000 or more ☒ ⇨ \$ \_\_\_\_\_ \$760,000.00  
Expenses (nearest \$20,000)  
**14. REPORTING METHOD.** Check box to indicate accounting method. See instructions for description of  
☒ Method A. Reporting amounts using LDA definition  
☐ Method B. Reporting amounts under section 603 Internal Revenue Code  
☐ Method C. Reporting amounts under section 162 Internal Revenue Code

Signature \_\_\_\_\_

Printed Name and Title \_\_\_\_\_

Samuel J. Baptista, Managing Director



Registrant Name Morgan Stanley Dean Witter & Co. Client Name SELF

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and provide the information as requested. Attach additional page(s) as needed.

15. General issue area code BAN (one per page)

16. Specific lobbying issues

Credit Card Issues (HR 3175)	Late Fee Relief Act (HR 3175)
Financial Information Privacy (S 30; HR 347)	
Money Laundering	
Fair Credit Reporting Act (HR 1593)	
Internet Gambling (HR 2579; HR 556; S 718)	
Deposit Insurance Reform (HR 1293)	
Unsolicited E-Mail (HR 788; HR 5633)	
Interest on Checking/NOW Accounts (HR 974)	

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U. S. House of Representatives  
U. S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Raymond A. Messina	
Samuel J. Baptista	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Samuel J. Baptista, Managing Director



Registrant Name Morgan Stanley Dean Witter & Co. Client Name SELF

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code BNK (one per page)

16. Specific lobbying issues

Bankruptcy Reform (HR 333; S 420)

17. House(s) of Congress and Federal agencies contacted

☐ Check if None

U.S. House of Representatives  
U.S. Senate

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Raymond A. Messina	
Samuel J. Baptista	

19. Interest of each foreign entity in the specific issues listed on line 16 above

☐ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Samuel J. Baptista, Managing Director



Registrant Name Morgan Stanley Dean Witter & Co. Client Name SELF

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

FASB Accounting Proposals	Issues related to Implementation of CFMA of 2000
Hedge Fund Regulation	ERISA Reform (HR 2269)
Issues related to Research Analysts	
Energy Legislation (HR 1468; SAFE Bill)	
Patent Reform (HR 1866; HR 1886; HR 1303; HR 1333)	
Issues related to Wholesale Power	
Issues related to Market Data	
Issues related to Initial Public Offerings	

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U. S. House of Representatives  
U. S. Senate  
Securities and Exchange Commission  
Commodity Futures Trading Commission  
Federal Energy Regulatory Commission

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Michael J. Stein	
Samuel J. Baptista	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Samuel J. Baptista , Managing Director





Registrant Name Morgan Stanley Dean Witter & Co. Client Name SELF

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and provide information as requested. Attach additional page(s) as needed.

15. General issue area code FIN (one per page)

16. Specific lobbying issues

Anti-Terrorism Legislation (HR 3162)  
Electronic Commerce Issues (HR 718; S630)  
Section 31 Transaction Fees (S 143; HR 1088)  
Financial Information Privacy (S 30; HR 347)  
Equity Market Restructuring  
Anti-Money Laundering (HR 3886)  
Regulations Implementing Patriot Act

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U. S. House of Representatives  
U. S. Senate  
U. S. Department of the Treasury  
Securities and Exchange Commission  
Commodity Futures Trading Commission

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Michael J. Stein	
Raymond A. Messina	
Samuel J. Baptista	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Samuel J. Baptista Managing Director



Registrant Name Morgan Stanley Dean Witter & Co. Client Name SELF

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and provide the information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

International Simplification and Reform  
Social Security Reform  
Foreign Sales Corporation Act  
Subpart F (HR 1357; S 676)  
Energy Bill (HR 4)  
Investment Advice Act (HR 2269)  
Amtrak Bond Issues (HR 2329)  
Internet Tax Moratorium

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives  
U.S. Senate  
U.S. Department of Labor  
U. S. Department of the Treasury  
Internal Revenue Service

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
David F. Pearce, Jr.	
Samuel J. Baptista	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Samuel J. Baptista , Managing Director



Registrant Name Morgan Stanley Dean Witter & Co. Client Name SELF

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code information as requested. Attach additional page(s) as needed.

15. General issue area code TAX (one per page)

16. Specific lobbying issues

Education Tax Breaks (HR 1; S 1)  
Sudan Peace Act (HR 2052; S 180)  
Economic Stimulus Bill (HR 3090)  
Mutual Fund Capital Gain Relief (HR 168)  
Trade Promotion Authority (HR 3005)  
Victims of Terrorism Tax Relief Act of 2001 (HR 2884)  
N.Y. Stimulus Package (HR 3373; HR 3402)

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives  
U.S. Senate  
U.S. Department of Labor  
U. S. Department of the Treasury  
Internal Revenue Service

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
David F. Pearce, Jr.	
Samuel J. Baptista	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Samuel J. Baptista , Managing Director

[REDACTED]

Registrant Name Morgan Stanley Dean Witter & Co. Client Name SELF

**LOBBYING ACTIVITY.** Select as many codes as necessary to reflect the general issue areas in which the registrant is engaged in lobbying on behalf of the client during the reporting period. Using a separate page for each code and provide information as requested. Attach additional page(s) as needed.

15. General issue area code TRD (one per page)

16. Specific lobbying issues

EU Privacy Directive/Safe Harbor	EU Financial Conglomerates Directive
2002 WTO Services Negotiations	China Sanctions
Financial Architecture Reform	Sudan Peace Act
E-Commerce	US Chile Free Trade Agreement
Transparency	Hague Convention on Collateral
Global Collateral	
U.S. Singapore Free Trade Agreement	
Free Trade Area of the Americas	

17. House(s) of Congress and Federal agencies contacted ☐ Check if None

U.S. House of Representatives  
U.S. Senate  
U.S. Department of Commerce  
U.S. Department of the Treasury  
U.S. Department of State  
U.S. Trade Representative  
Securities and Exchange Commission

18. Name of each individual who acted as a lobbyist in this issue area

Name	Covered Official Position (if applicable)
Emily Altman	
Samuel J. Baptista	

19. Interest of each foreign entity in the specific issues listed on line 16 above ☐ Check if None

Signature \_\_\_\_\_ Date \_\_\_\_\_

Printed Name and Title Samuel J. Baptista, Managing Director





Registrant Name Morgan Stanley Dean Witter & Co. Client Name SELF

**Information Update Page - Complete ONLY where registration information has changed.**

20. Client new address

21. Client new principal place of business (if different from line 20)

City

State/Zip (or Country)

22. New general description of client's business or activities

**LOBBYIST UPDATE**

23. Name of each previously reported individual who is **no longer** expected to act as a lobbyist for the client

**ISSUE UPDATE**

24. General lobbying issues previously reported that **no longer** pertain

**AFFILIATED ORGANIZATIONS**

25. Add the following affiliated organization(s)

Name	Address	Principal Place of Bus (city and state or cou

26. Name of each previously reported organization that is **no longer** affiliated with the registrant or client

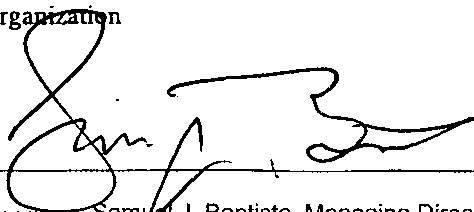
**FOREIGN ENTITIES**

27. Add the following foreign entities

Name	Address	Principal place of business (city and state or country)	Amount of contribution for lobbying activities

28. Name of each previously reported foreign entity that **no longer** owns, or controls, or is affiliated with the registrant affiliated organization

Signature



Date

2/13/02

Printed Name and Title Samuel J. Baptista, Managing Director

[REDACTED]